1	Main drivers of plant diversity patterns of rubber plantations in the
2	Greater Mekong Sub-region
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16 17	Running headline: Drivers of plant diversity of rubber plantations

18 Abstract:

The Greater Mekong Sub-region (GMS) is one the global biodiversity hotspots. However, the 19 diversity has been seriously threatened due to environmental degradation and deforestation, 20 especially by expansion of rubber plantations. Yet, little is known about the impact of 21 expansion of rubber plantations on regional plant diversity as well as the drivers for plant 22 diversity of rubber plantation in this region. In this study, we analyzed plant diversity patterns 23 of rubber plantations in the GMS based on a ground survey of a large number of samples. We 24 found that diversity varied across countries due to varying agricultural intensities. Laos had 25 26 the highest diversity, followed China, Myanmar, Cambodia. Plant species richness of Laos was about 1.5 times that of Vietnam. We uncovered latitudinal gradients in plant diversity 27 across these artificial forests of rubber plantations and these gradients caused by 28 29 environmental variables such as temperature. Results of RDA, multiple regression as well as Random Forest demonstrated that latitude and temperature were the two most important 30 drivers for the composition and diversity of rubber plantations in GMS. Meanwhile, we also 31 found that higher dominance of some exotic species (such as Chromolaena odorata and 32 *Mimosa pudica*) were associated with a loss of plant diversity within rubber plantations, 33 however, not all exotic plants cause the loss of plant diversity in rubber plantations. In 34 conclusion, not only environmental factors (temperature), but also exotic species were the 35 main factors affecting plant diversity of these artificial stands. Much more effort should be 36 made to balance agricultural production with conservation goals in this region, particularly to 37 minimize the diversity loss in Vietnam and Cambodia. 38

39 Keywords: Rubber plantation, Plant diversity, Exotic species, Mekong regions, Greater

40 Mekong Sub-regions (GMS)

41 **1. Introduction**

Many tropical regions contain hotspots of biodiversity (Myers et al., 2000), especially for the 42 Great Mekong Sub-region (GMS), threatened by agriculture (Delzeit et al., 2017; Egli et al., 43 2018; Shackelford et al., 2014; Kehoe et al., 2017). Much of the land has recently been 44 converted from forest to agriculture (Li et al., 2007), and rubber plantations have quickly 45 expanded throughout the region (Ziegler et al., 2009; Li et al., 2015; Ahrends et al., 2015) 46 due to a surge in the global demand for natural rubber, driven largely by the growth of tire 47 and automobile industries. For example, 23.5% of Cambodia's forest cover was destroyed 48 between 2001 and 2015 make way for crops such as rubber (Figure S1h) and palm oil 49 (Grogan et al., 2019). In southwest China, nearly 10% of the total area of nature reserves had 50 51 been converted to rubber monoculture by 2010 (Chen et al., 2016). At present, GMS are globally important rubber-planting regions (Xiao et al., 2021). 52 Agricultural land-uses can exacerbate many infectious diseases in Southeast Asia (Shah et 53 al., 2019) and reduce biodiversity (Xu, 2011; Warren-Thomas et al., 2018; Fitzherbert et al., 54 2018; Zabel et al., 2019; Singh et al., 2019). Previous study have shown that rubber 55 cultivation not only affect plant diversity (Hu et al., 2016), but also affects the soil fauna 56 (Chaudhuri et al., 2013; Xiao et al., 2014), bird diversity (Aratrakorn et al., 2006; Li et al., 57 2013) as well as bat diversity (Phommexay et al., 2011). There is also a large body of 58 literature on the effects of forest conversion from tropical forest to rubber plantations on soil 59 microbial composition and diversity (Tripathi et al., 2012; Schneider et al., 2015; Kerfahi et 60 al., 2016, Lan et al., 2017a; 2017b; 2017c; Cai et al., 2018; Lan et al., 2020a; 2020b; 2020c). 61

62	However, the impact of expansion of rubber plantations on regional plant diversity as well as
63	the drivers for plant diversity of rubber plantation in GMS are still unclear.

Latitudinal gradients in species diversity are well known (Mccoy and Connor, 1980), 64 which holds that there is a fairly regular increase in the numbers of species of some higher 65 taxon from the poles to the equator. It has been suggested that the latitudinal diversity 66 gradient could be caused by environmental variables such as temperature and precipitation. 67 Previous studies have also demonstrated temperature (Nottingham et al., 2018) and soil 68 nutrients (Soons et al., 2017) as well as water resource utilization efficiency (Han et al., 69 70 2020), were the dominant drivers of plant diversity. However, whether latitudinal gradients in species-diversity exists in rubber plantation which is greatly affected by management 71 measures, is still unknown. 72

73 In addition, rubber plantations have lower biodiversity than natural forests (Chaudhary et al., 2016). Generally speaking, species rich zones showed a higher proportion of alien plant 74 species in their flora (Stadler et al., 2000), thus exotic plants are ubiquitous in rubber 75 plantations which in indicating that. Though exotic species invasion significantly decreased 76 plant diversity (Xu et al., 2022) is universally known, we still do not have idea that whether 77 exotic species are the main driver for the sharp decline of plant diversity in rubber plantation. 78 Thus, we hypothesize that (1) latitudinal gradients in plant diversity would not exit in rubber 79 plantation due to strong intensity of management; (2) exotic plants will result in a sharp 80 decline in the plant diversity of rubber plantation because areas of low plant species richness 81 may be invaded more easily than areas of high plant species richness (Stohlgren et al., 1999) 82 and exotic species may results in loss of plant diversity (Xu et al., 2022). To test these 83

hypothesis, we surveyed a large number of plots on rubber plantations in the GMS to
investigate plant diversity and analyzed the associated drivers. Our study provides an
empirical case for understanding the effect of rubber plantations on plant diversity in the
Greater Mekong region and the restoration and protection of biodiversity in this region.

88 **2.** Methods

89 *2.1 Study area*

The Mekong River Basin has a total length of 4880 km and a drainage area of 795000 square kilometers, with 326 million people living in the basin. The GMS encompasses a variety of climate types and geographical characteristics, and is rich in water and biological resources (Wu et al., 2020). Rubber plantations are one of the most widespread vegetation types in the region, and are distributed throughout the south of Yunnan province, almost all states of Thailand and Laos, the southern half of Vietnam and Myanmar, and the eastern half of Cambodia.

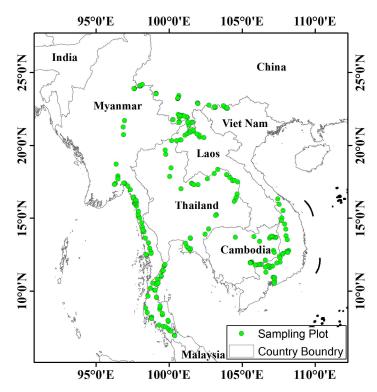


Figure 1 Sampling plot localities within rubber plantations in GMS

99

100 2.2 Sampling methods

Before the field investigation, we first determined the investigation route according to the 101 distribution of rubber plantation in this regions. Then, plots were randomly selected 102 approximately equidistant from each other (every 10-20 km according to the actual situation) 103 along the investigation route (Yaseen, 2013). We did not deliberately select plots according 104 types of rubber plantation, and thus these plots were independent from each other. 105 Consequently, a total of 240 plots, each with an area of 100 m² (10 m \times 10 m), were selected 106 in the GMS, with 32 plots in Vietnam, 24 in Cambodia, 15 in Laos, 73 in Thailand, 47 in 107 Myanmar, and 49 in China (Figure 1). 108

109 We started the investigation only after the guide (local people) asked the farmer's consent. Plot measurements, such as longitude, latitude, elevation, slope degree, slope aspect, rubber 110 tree height, and canopy density were recorded in detail (Table S1). Annual and perennial plant 111 112 species, shrubs, trees and lianas as well as theirs seedlings were recorded. We do not investigate bryophytes, but ferns were investigated. Species information, such as species name, height and 113 coverage, life form (non-woody, shrub, liana or tree) (Lan et al., 2014), from each plot in the 114 rubber plantations were also recorded. We visually assigned a cover value to each species in 115 each quadrant of the plot, using an ordinal cover class scale with class limits 0.5%, 1%, 2%, 116 5%, 10%, 15%, 20%, and thereafter every 10% up to 100%. The cover values for each species 117 in the plot were then averaged across the four quadrants (Sabatini et al., 2016). Climate data, 118 including annual average temperature and annual average precipitation, were obtained from 119

WorldClim2 (Fick and Hijmans, 2017) (<u>http://worldclim.org</u>) based on the geographic
coordinates of each sample site.

122 2.3 Data analysis

123 Relative height (*RH*), relative dominance (*RD*, using coverage), and relative frequency (*RF*)

were calculated for each species to estimate the importance value (*IV*). Importance value, as

defined here, differs from previous studies (e.g., Curtis and Mcintosh 1950, 1951; Greig-

126 Smith 1983; Linares-Palomino and Alvarez 2005) because most understory species are herbs,

127 which make precise measure of abundance difficult. We define the importance value as:

128 Importance value: $IV_j = RF_j + RH_j + RD_j$, Relative frequency: $RF_j = 100 \times F_j / \sum_j F_j$

129 Relative height: $RH_j = 100 \times H_j / \sum_j H_j$, Relative dominance: $RD_j = 100 \times D_j / \sum_j D_j$

130 where F_j was the number of plots containing species j; D_j was the coverage of species j; and

131 H_j was the height of species j. For local community, there was no frequency data, therefore

132 importance value is defined as:
$$IV_j = RH_j + RD_j$$
.

Species richness, the Shannon index were used to measure α diversity of each plot. It 133 134 should be noted that the importance values of each species were used to calculate the Shannon diversity (i.e., replace "abundance" or "number of individuals" with "important 135 value"). Principal coordinates analysis (PCoA) based on Bray-Curtis distance of species IVs 136 (importance values) was performed to compare plant species composition across countries 137 using R package "amplicon". Analysis of similarity (ANOSIM) was used to test for 138 differences in diversity indices among countries. Multiple linear regression was used to find 139 whether there were positive or negative correlations between diversity (richness) and 140 environmental variables including latitude, longitude, elevation, rainfall, temperature, slope 141

degree, tree age, tree height as well as canopy density. Machine learning algorithm, Random
Forest (Breiman, 2001), was used to model α diversity (richness) and rank the feature
importance of environmental factors with 999 iterations. In order to understand how plant
compositions are structured by environmental factors, a redundancy analysis (RDA) for the
importance value of species was carried out using the Vegan package (version 2.5-7)
(Oksanen et al., 2020) in R (version 4.04) environment (R Core Team, 2021). Statistical
significance was assessed using Monte Carlo tests with 999 permutations.

149 **3 Results**

150 *3.1 Plant composition of rubber plantations*

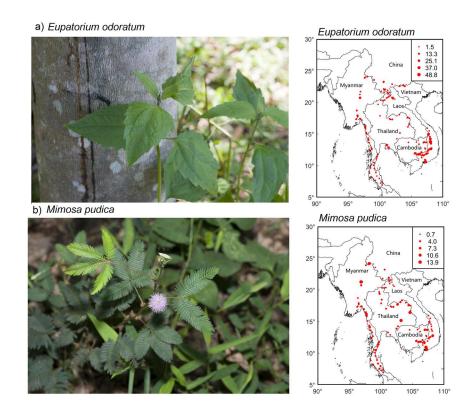
A total of 949 plant species, representing 550 genera and 153 families, were recorded across 151 rubber plantations of the six countries (Table 1 & Table S2). Our results also showed that 445 152 153 (46.89%) were herbs, with a largest number of Compositae (Table 1). Plant communities of rubber plantation tended to be dominated by Fabaceae, Euphorbiaceae, Poaceae, Rubiaceae, 154 and Compositae (Table S3). The five most common species observed were Cyrtococcum patens, 155 156 Chromolaena odorata, Asystasia chelonoides, Axonopus compressus, and M. pudica (Table S4). 237 plots containing exotic plant species, most of them were from tropical America. A 157 total of 121 (12.75%) species were identified as exotic (belonging to 45 families and 91 genera). 158 The five most common exotic species were C. odorata, M. pudica, Axonopus compressus, 159 Ageratum conyzoides, and Borreria latifolia. C. odorata and M. pudica were recorded in almost 160 every plot (Figure 2). 161

PCoA and ANOSIM were used to reveal the difference in plant compositions among these six countries. And the results showed that significant differences (R = 0.383, P = 0.001) in species composition among these countries (Figure 3a-b). Meanwhile, the first and second axes of RDA explained 5.95% and 3.11% of variation of species compositions, respectively (Figure 4a). All environmental factors explained 18.65% of the total variation (Figure 4b). Countries, latitude, longitude, canopy height as well as elevation all significantly impacted plant compositions of rubber plantations in GMS, and explained 5.62%, 3.37%, 3.14%, 1.11% and 1.10% of the total variations (Table 2).

- 170
- 171

Table 1 Composition of plants of rubber plantations in GMS

Types	No. of	Lifeform (%)	No. of families	No. of genera	No. of species
Ferns	76 (8.00)	Non-woody plant	86 (38.05)	278 (45.65)	445 (46.89)
Gymnosperms	3 (0.32)	Liana	32 (14.16)	62 (10.18)	101 (10.64)
Angiosperm	870 (91.68)	Shrub	42 (18.58)	118 (19.38)	192 (20.23)
		Tree	66 (29.20)	151 (24.79)	211 (22.23)
Total	949 (100)	Total	226 (100.00)	609	949



174 Figure 2 Distribution maps of two common exotic species (a: Chromolaena odorata, b:

175 *Mimosa pudica*) of rubber plantation in the GMS (circle size is proportional to importance



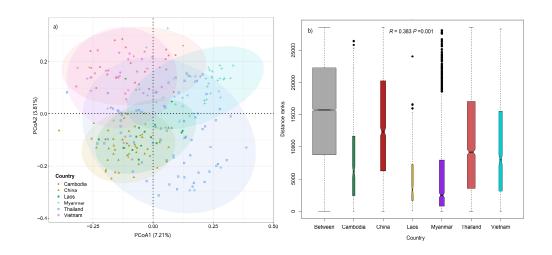




Figure 3 Significant difference in plant community compositions of rubber plantations among
countries in GMS. a: Principal coordinate analysis (PCoA) based on Bray-curtis distance; b:
Analysis of similarity among countries.

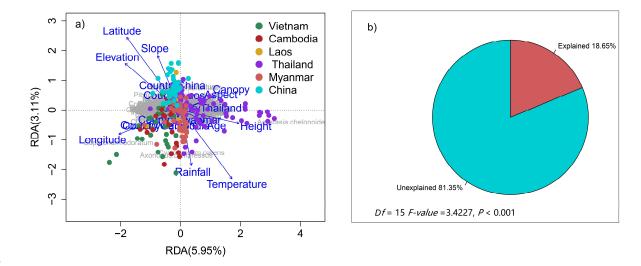




Figure 4 Redundancy analysis of plant community compositions of rubber plantation in the
GMS (a: RDA ordination, b: Percentage of explained and unexplained by RDA results)

185	Table 2 Explained	l percentage of	environmental	factors on the	variation of plant	community
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Contents	Df	Variance	Explained (%)	F	Pr (> F)
Country	1	33.18	5.62	3.08	0.007 **
Latitude	1	19.89	3.37	9.22	0.001 ***
Longitude	1	18.53	3.14	8.59	0.001 ***
Height	1	6.54	1.11	3.03	0.001 ***
Elevation	1	6.50	1.10	3.01	0.001 ***
Age	1	5.54	0.94	2.56	0.001 ***
Slope	1	5.01	0.85	2.32	0.002 ***
Temperature	2	4.63	0.78	2.16	0.005**
Rainfall	2	3.19	0.54	1.49	0.032*
Canopy	1	4.01	0.68	1.86	0.001 ***
Aspect	1	2.97	0.50	1.38	0.073
Residual	224	479.91	82.68		

186 compositions of rubber plantations in GMS based on the RDA results

187

188 *3.2 Plant diversity of rubber plantations*

189 Species richness of rubber plantations in Laos was the highest among the six countries,

190 followed by China and Myanmar, while the richness of Thailand, Cambodia, and Vietnam

191 were relatively lower (Figure 5a). The same was true for Shannon diversity (Figure 5b).

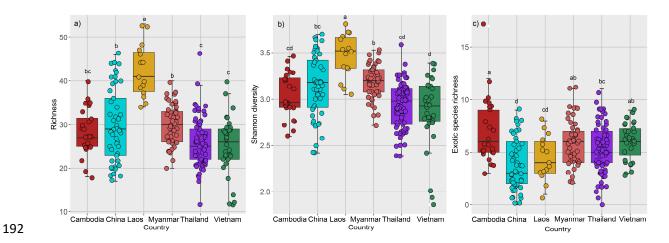


Figure 5 Plant species diversity of rubber plantations across countries in the GMS (a: species
richness; b: Shannon diversity; c: Exotic species richness).

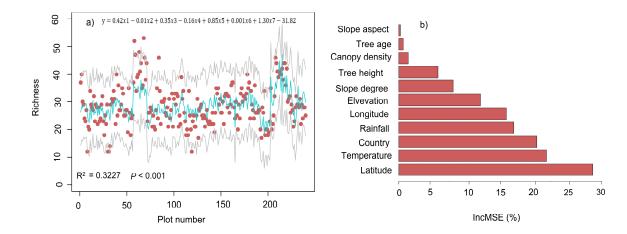


Figure 6 Factors affecting plant diversity of rubber plantation in GMS. a: Predicting species
richness by using multiple linear regression (The red point was the observed richness, the green
solid line was the estimated richness, and the grey solid line was the 95% confidence interval.
y: Richness, x1: Latitude, x2: Elevation, x3: Slope, x4: Age, x 5: Height, x6:
Rainfall, x7: Temperature.) b: Predictions of the importance of environmental variables
based on Random Forest.

The results of multiple linear regression ($R^2 = 0.3227$, P < 0.001) showed that

temperature (P < 0.001), tree height (P < 0.001), latitude (P < 0.01) and slope degree (P < 0.01)

205 0.001) were positively correlated with the species richness (Figure 6a). Among these factors,

temperature (with the highest intercept 1.3) is the most important factor affecting plant

207 diversity. Random Forest results showed that high mean squared errors of latitude,

- temperature, and countries were the top three features affecting plant diversity of rubber
- 209 plantation (Figure 6b).

196

210 3.3 Effects of exotic species on plant diversity of rubber plantations

211 The exotic species richness of rubber plantations was relatively higher in Cambodia, Vietnam,

- and Myanmar compared to China, Laos, and Thailand (Figure 3c). In order to clarify whether
- exotic species can reduce plant diversity, we analyzed the relationship between the dominance

of exotic species and the species richness in the plot. In view of the fact that C. odorata and M. 214 pudica are the two most common exotic species in rubber plantations (Figure 7a) the two 215 species were selected for analysis. The importance values of exotic species C. odorata (Figure 216 S2a) and *M. pudica* (Figure S2b) were negatively correlated with species richness, suggesting 217 that exotic species with high dominance will reduced rubber plantation diversity. However, 218 exotic species richness was positively correlated with species richness (Figure 7c). Richness of 219 communities where C. odorata (M. pudica) was present was not lower than those where it was 220 absent (Figure 7b). In sum, diversity of the community was reduced only when the dominance 221 of exotic species was high. 222

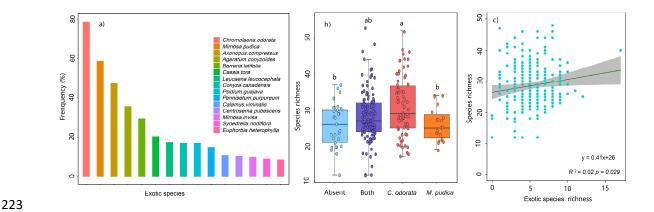


Figure 7 Effects of exotic species on plant diversity of rubber plantations in the GMS (a: Frequency of the most common exotic species; b: Richness comparison of different communities (sky blue bar: plots without *C. odorata* and *M. pudica*; blue bar: plots with both *C. odorata* and *M. pudica*; red bar: plots only with *C. odorata*; yellow bar: plots only with *M. pudica*) c: relationship between exotic species richness of given plot and species richness of given plot)

230

231 4. Discussion

4.1 Main drivers for plant composition and diversity of rubber plantations

Rubber plantations constitute one of the most important agro-ecosystems of tropical regions 233 and play an important role in their carbon budgets (Chen et al., 2020). For, plant composition, 234 latitude ranks second (Table2) in terms of its impact on plant composition which indicating 235 that latitude is an important driver of plant composition of rubber plantation. For plant 236 diversity, both multiple linear regression and Random Forest showed that temperature was 237 the most important factor for plant diversity of rubber plantations. Our results are consistent 238 with previous study which revealed that temperature is the main driver for plant diversity 239 240 (Nottingham et al., 2018). We were surprised to find that understory plant diversity of artificial rubber plantations 241 increased with latitude, similar to that of the global diversity patterns (Rohde 1992; Perrigo et 242 243 al., 2013) that latitudinal gradients are known in which maximum diversity does not occur near the equator (Stehli, 1968). One study suggest that the diversity of plant communities was 244 directly affected by latitude (Li et al., 2019). Our results showed that elevation was not as 245 important as other factors which is different from our previous study in which elevation 246 significantly affect plant species diversity (Li et al., 2019). 247

Plant diversity of north Laos and south China was relatively higher than other countries.
This observation may be due to the large variation in elevation in these areas, which
translates into greater environmental heterogeneity. In addition, greater slope may increase
environmental heterogeneity and expand niche space (Morrison-Whittle and Goddard, 2015).
Anyway, temperature could largely contribute to explaining the latitudinal diversity gradient
patterns of rubber plantations.

4.2 Not all exotic plants cause the loss of plant diversity in rubber plantations

Rubber plantation expansion and intensification has occurred in many regions that are key for 255 256 biodiversity conservation. Monoculture plantations have been promoted to restore the world's forested areas, but have done little to slow the loss of biodiversity (Zhang et al., 2021). It has 257 been hypothesized that exotic species might more easily invade areas of low species diversity 258 than areas of high species diversity (Stohlgren et al., 1999). A recent study shows exotic 259 plants account for ~17% and ~35% of the total importance value indices of natural and 260 human-modified ecosystems, respectively (Chandrasekaran et al., 2000). Here, in rubber 261 262 plantations, exotic plants made up roughly 12% of the total recorded species and 22.80% of the coverage. C. odorata is a noxious perennial weed in many parts of the world (Kushwaha 263 et al., 1981), and it is unsurprising that it was recorded in almost all plantation plots in our 264 265 study. These indicated that invasion by exotic species has either already occurred or is inevitable in many systems (Stohlgren et al., 1999). M. pudica, the "sensitive plant", is a 266 worldwide, pan-tropical invasive species (Melkonian et al., 2014). M. pudica, as many 267 tropical grasses and herbs, is tolerant of low pH (Humphreys 1997, Paudel 2018), which 268 explains its ubiquity in acidic rubber plantation soil. 269

More importantly, our study demonstrated that the diversity of the community was reduced only when the importance value of exotic species is large enough, not all exotic species cause the loss of plant diversity in rubber plantations, which follows the theory that many species can coexist in spatially heterogeneous areas as long as nutrients and light are not limiting (Huston and DeAngelis, 1994). Our results also were consistent with idea that inhibition of plant diversity by exotic species invasion gradually weakened with increased precipitation 276 (Xu et al., 2022) due to higher precipitation in GMS. In addition, management of rubber

- 277 plantation reduces the dominance of exotic species to a great extent, thus providing space for
- the survival of other plants.

279 4.3 Plant composition and diversity is largely affected by of management

Forests that are intensively managed for production purposes generally have lower biodiversity 280 than natural forests (Chaudhary et al., 2016), and this is especially true for rubber plantations 281 (He and Martin, 2016). In artificial forests such as rubber plantations, there is no doubt that 282 management measures and agricultural intensity are two most important factors affecting plant 283 diversity. The application of herbicides and sprout control causes low diversity of understory 284 plants, this is especially true of rubber plantations of Vietnam (Figure S1f). Also, it is not easy 285 for farmers to clear understory plants on the steep slopes of rubber plantations at high elevation; 286 thus high slope degree indirectly results in low agricultural intensity and high diversity. RDA 287 analysis only explained 18.65% of the variation of community compositions, and multiple 288 linear regression only explained 32.27% of the variation of plant diversity. Most of the 289 unexplained variation are caused by management intensity and measures. In sum, plant 290 compositions and diversity is largely affected by the measures and intensity of management. 291

In poor areas, we cannot just talk about ecological goals without first understanding local cultures and economies. Well-managed forests can alleviate poverty in rural areas, as outlined by the United Nations Sustainable Development Goals (Lewis et al., 2019). Previous study conducted in India demonstrated that a no-weeding practice in mature rubber plantations did not affect rubber yield (Abraham and Joseph, 2016). A similar study conducted in China also showed that natural management strategies can improve biodiversity without reducing latex production (Lan et al., 2017d). There is strong evidence that adopting more natural management strategies improves plant diversity without reducing latex production (Lan et al., 2017d). More innovative management measures, such as cease of weeding and herbicide application (He and Martin, 2015), must be implemented to improve the biodiversity of rubber plantations, so as to promote the biodiversity of the region.

303

304 5. Conclusion

We provide a large regional study on the plant diversity of rubber plantations in a global 305 biodiversity hotspot. Plant diversity followed global trends with respect to latitude and 306 temperature. Exotic species were very common in rubber plantations, especially where 307 agricultural intensity was strong. However, not all exotic species directly drive the loss of 308 309 biodiversity. Only higher dominance of some exotic species were associated with a loss of plant diversity within rubber plantations. We must make greater efforts to balance agricultural 310 production with conservation goals in this region, particularly in Vietnams and Cambodia, to 311 minimize the loss of biodiversity. 312

313

- 314 Code availability
- 315 Not applicable
- 316 Authors' contributions

317 Guoyu Lan: Conceptualization, Methodology, Writing, Reviewing and Editing; Bangqian

318 Chen: Methodology, Reviewing and Editing, Chuan Yang, Rui Sun, Bangqian Chen,

319 **Zhixiang Wu and Xicai Zhang**: Investigation

320	Compe	eting	interests

321 The authors declared that they have no conflicts of interest to this study.

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338

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531 **Figure captions**

Figure 1 Sampling plot localities within rubber plantations in GMS

533 Figure 2 Distribution maps of two common exotic species (a: Chromolaena odorata, b:

534 *Mimosa pudica*) of rubber plantation in the GMS (circle size is proportional to importance

535 value)

Figure 3 Significant difference in plant community compositions of rubber plantations among

537 countries in GMS. a: Principal coordinate analysis (PCoA) based on Bray-curtis distance; b:

538 Analysis of similarity among countries.

539 Figure 4 Redundancy analysis of plant community compositions of rubber plantation in the

540 GMS (a: RDA ordination, b: Percentage of explained and unexplained by RDA results)

Figure 5 Plant species diversity of rubber plantations across countries in the GMS (a: species
richness; b: Shannon diversity; c: Exotic species richness).

Figure 6 Factors affecting plant diversity of rubber plantation. a) Predicting species richness
by using multiple linear regression (The red point was the observed richness, the green solid
line was the estimated richness, and the grey solid line was the 95% confidence interval. y:
Richness, x1: Latitude, x2: Elevation, x3: Slope, x4: Age, x 5: Height, x6:
Rainfall, x7: Temperature.) b): Predictions of the importance of environmental variables
based on Random Forest.

Figure 7 Effects of exotic species on plant diversity of rubber plantations in the GMS (a:
Frequency of the most common exotic species; b: Richness comparison of different
communities (sky blue bar: plots without *C. odorata* and *M. pudica*; blue bar: plots with both *C. odorata* and *M. pudica*; red bar: plots only with *C. odorata*; yellow bar: plots only with *M.*

- *pudica*) c: relationship between exotic species richness of given plot and species richness of
- 554 given plot)