

# L G N

LORENTZ GROUP NETWORK

# LORENTZ GROUP EQUIVARIANT NEURAL NETWORK

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Fermilab — October 15, 2020

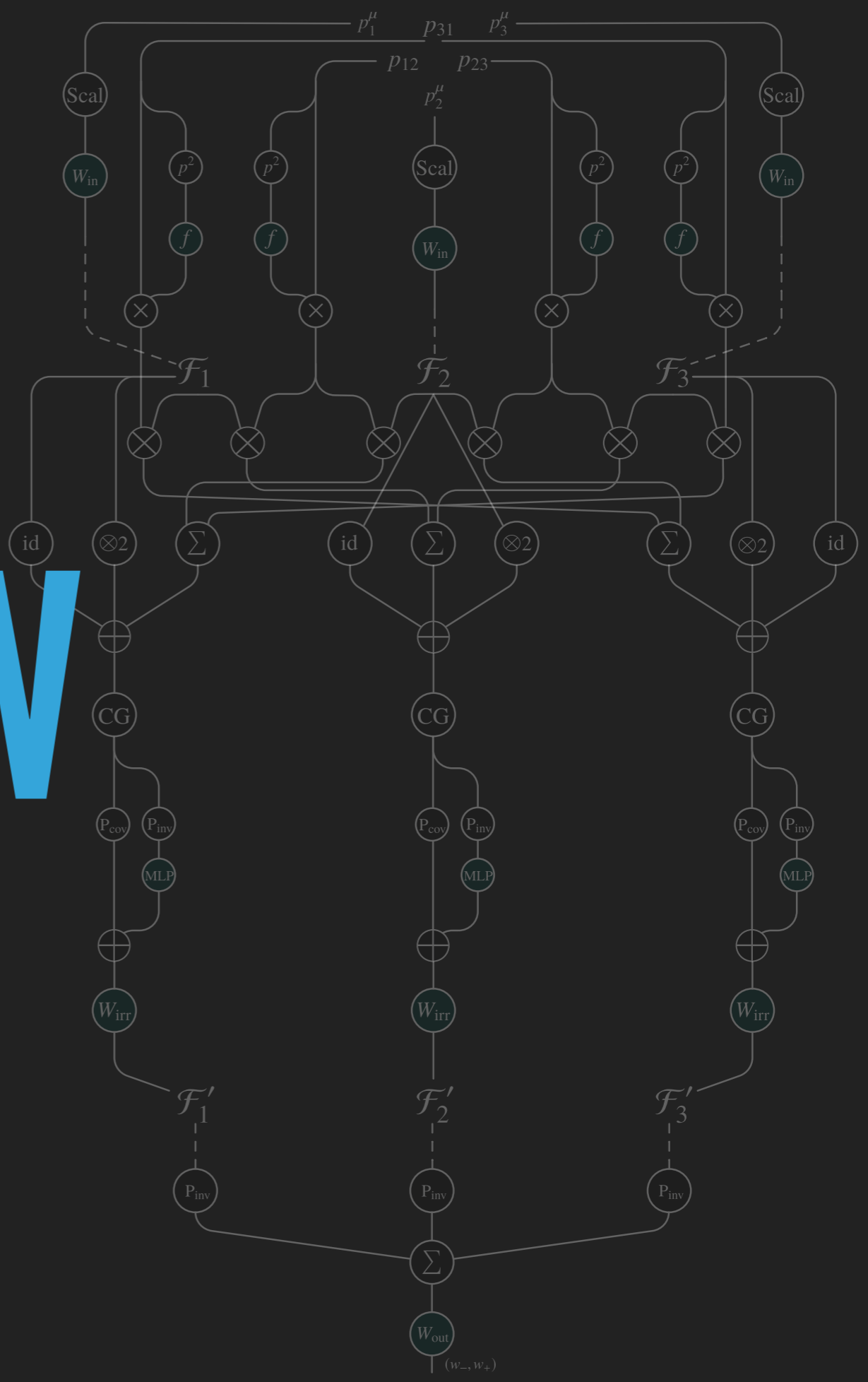


**Center for Data  
and Computing**  
AT THE UNIVERSITY OF CHICAGO



**FLATIRON  
INSTITUTE**

# OVERVIEW



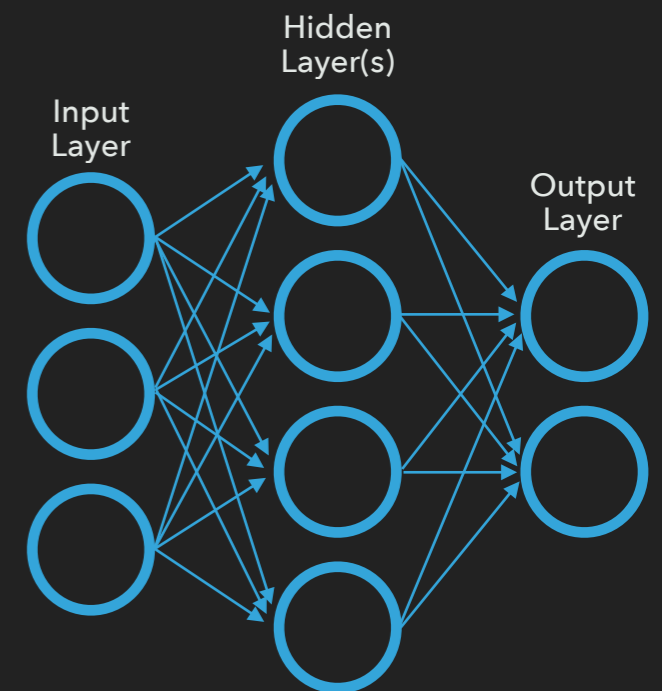
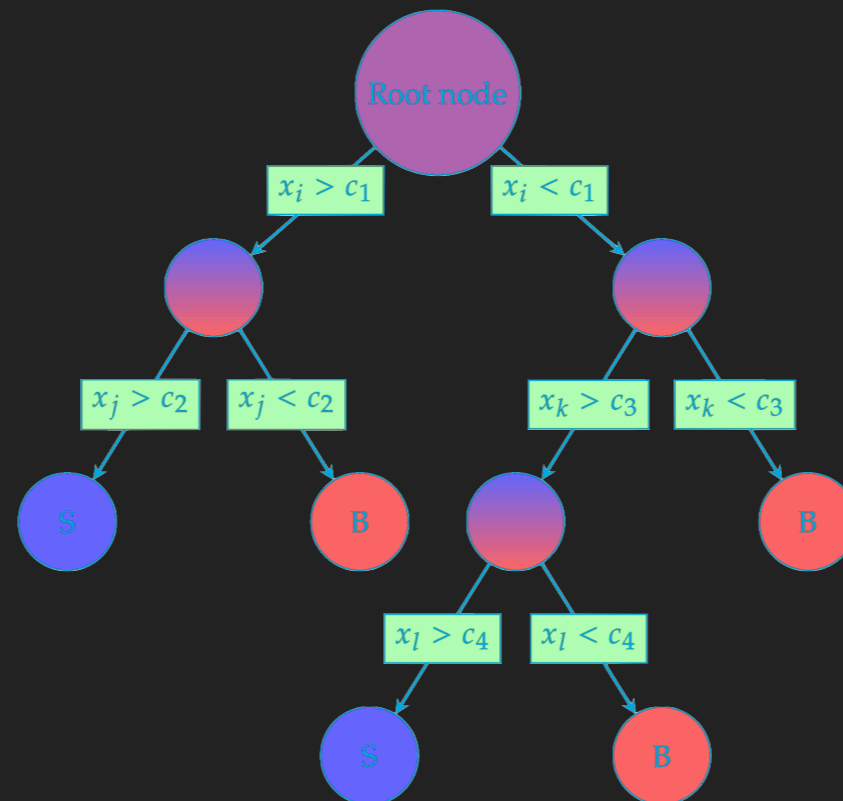
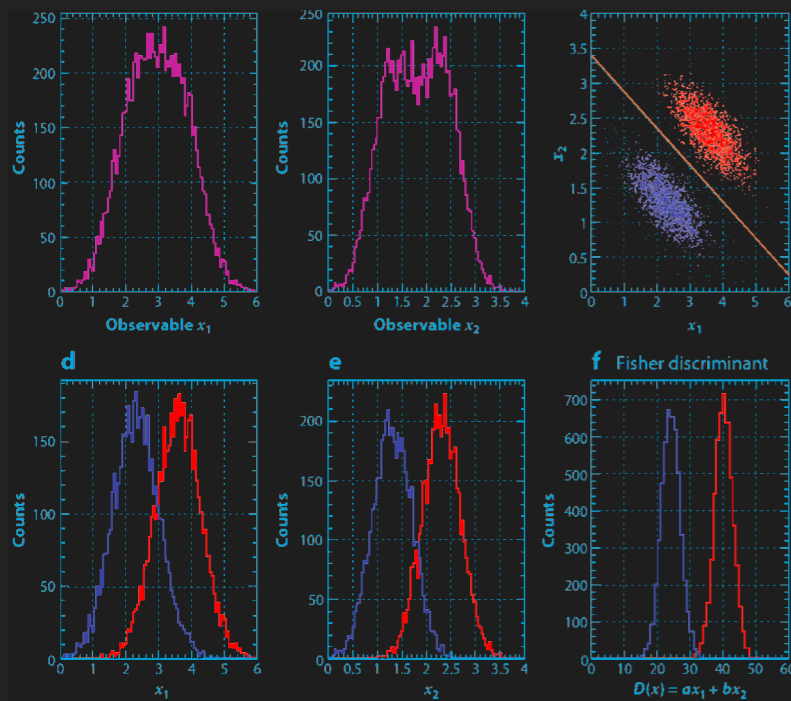
# MACHINE LEARNING IN HIGH-ENERGY PHYSICS

- ▶ ML has a long history of use in HEP.

MULTIVARIATE  
METHODS

BOOSTED  
DECISION  
TREES

NEURAL  
NETWORKS



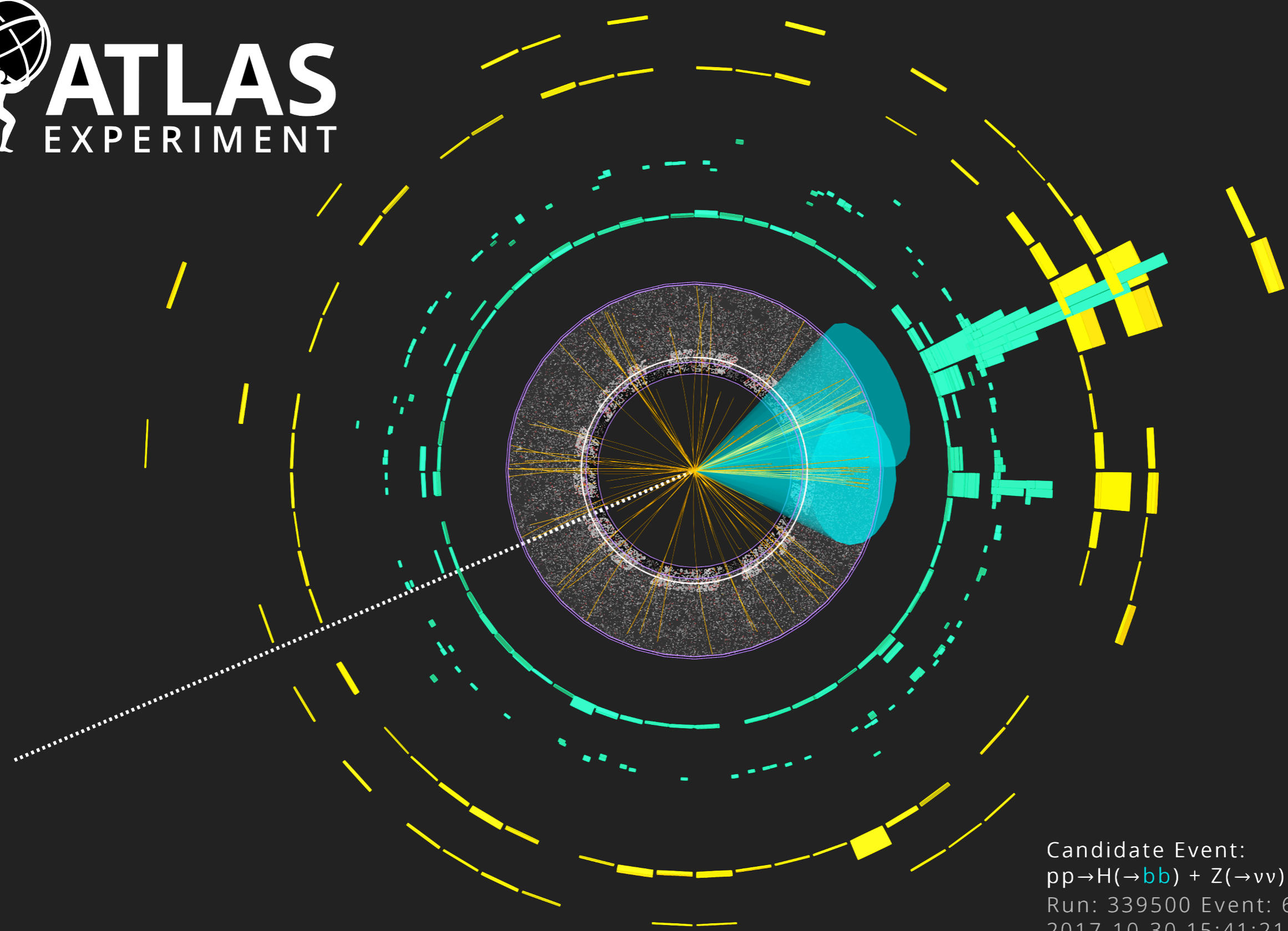
# MACHINE LEARNING IN HIGH-ENERGY PHYSICS

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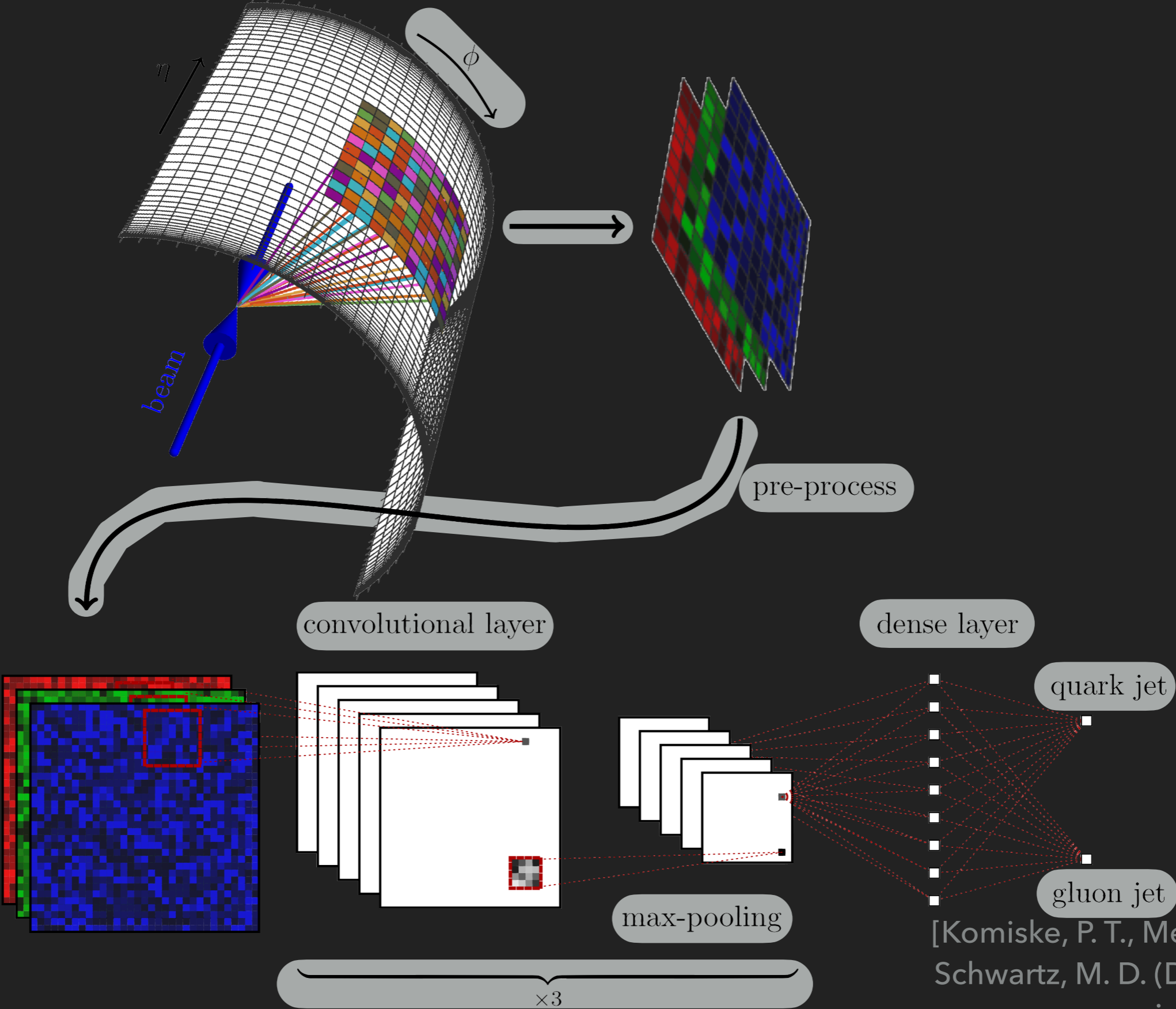


- ▶ This story is over-simplified.
  - ▶ Neural networks have been used in HEP since the late 1980's (track-finding, classification).
  - ▶ Multivariate methods and BDT's are still in use.

- ▶ HEP is replete with examples and uses of neural networks.
- ▶ One common task – our focus for today – is **jet tagging**.
- ▶ Some approaches use out-of-the-box methods from other fields, e.g. image recognition.
- ▶ Others use more *physics-inspired* architectures.

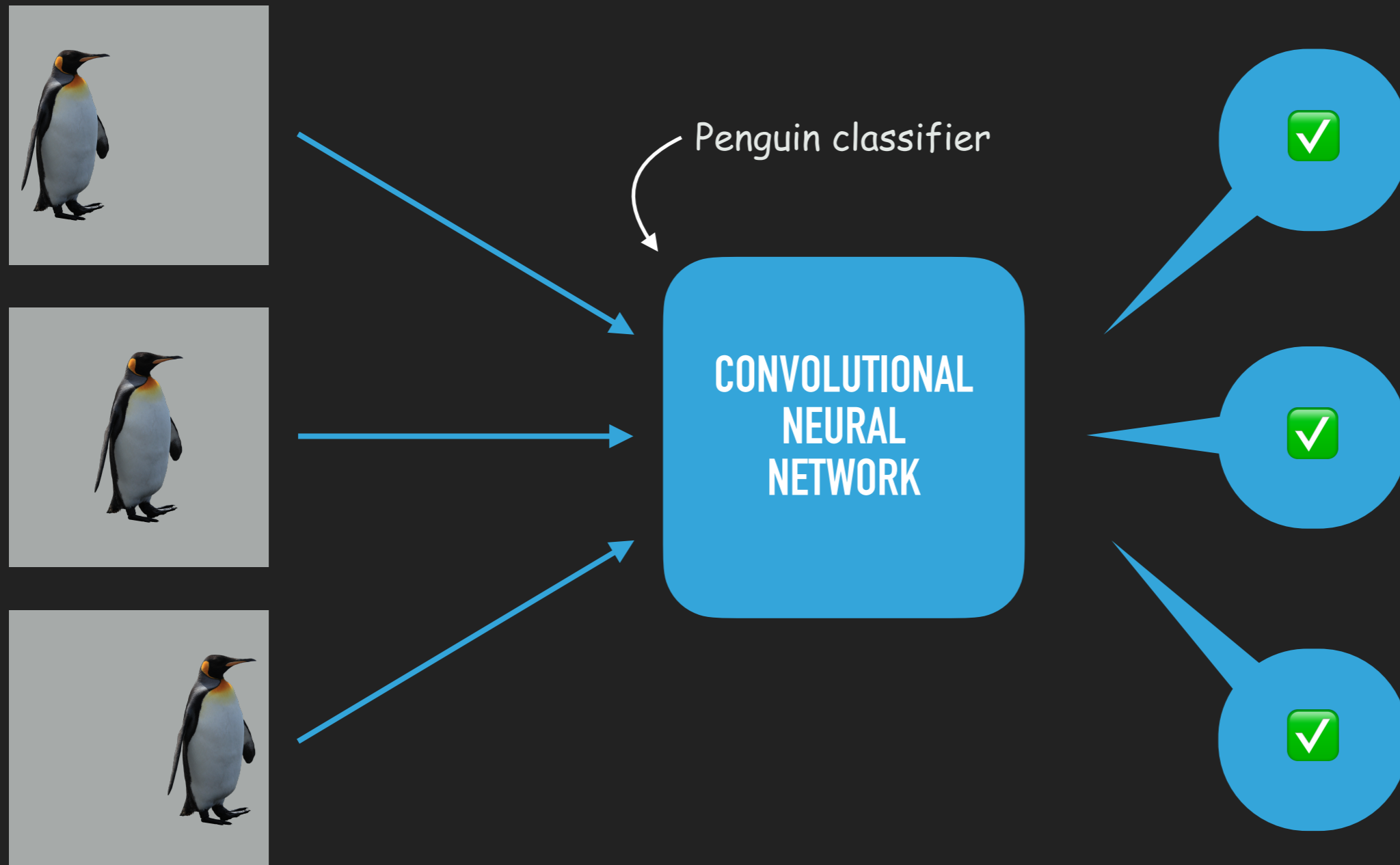


Candidate Event:  
 $pp \rightarrow H(\rightarrow bb) + Z(\rightarrow \nu\nu)$   
Run: 339500 Event: 694513952  
2017-10-30 15:41:21 CEST



[Komiske, P. T., Metodiev, E. M., Schwartz, M. D. (Deep learning in color, 2016)]

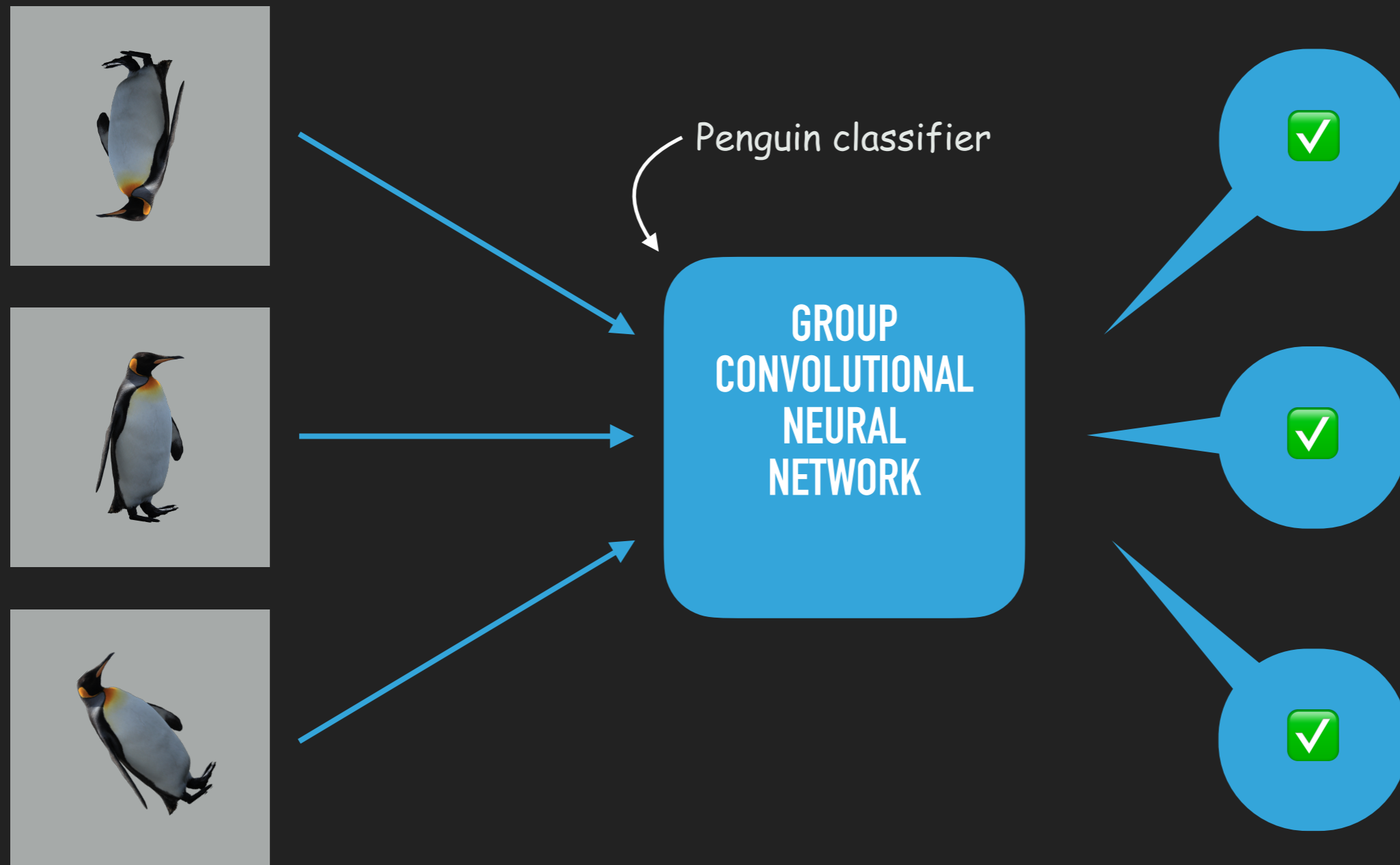
- ▶ Convolutional neural networks allow one to take advantage of **symmetries** in image-recognition.



**Translational** Symmetry



- ▶ Convolutional neural networks allow one to take advantage of **symmetries** in image-recognition.

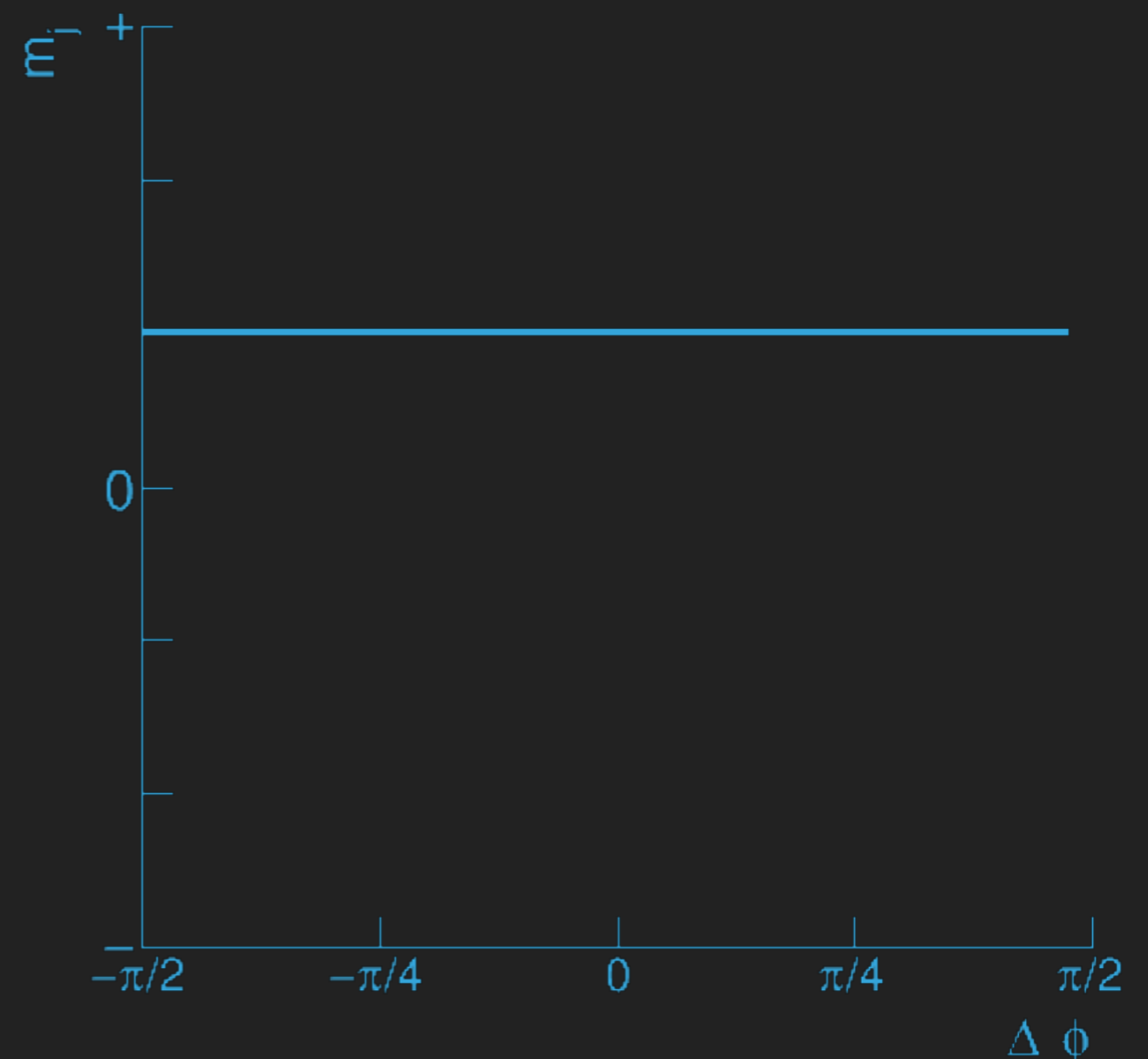
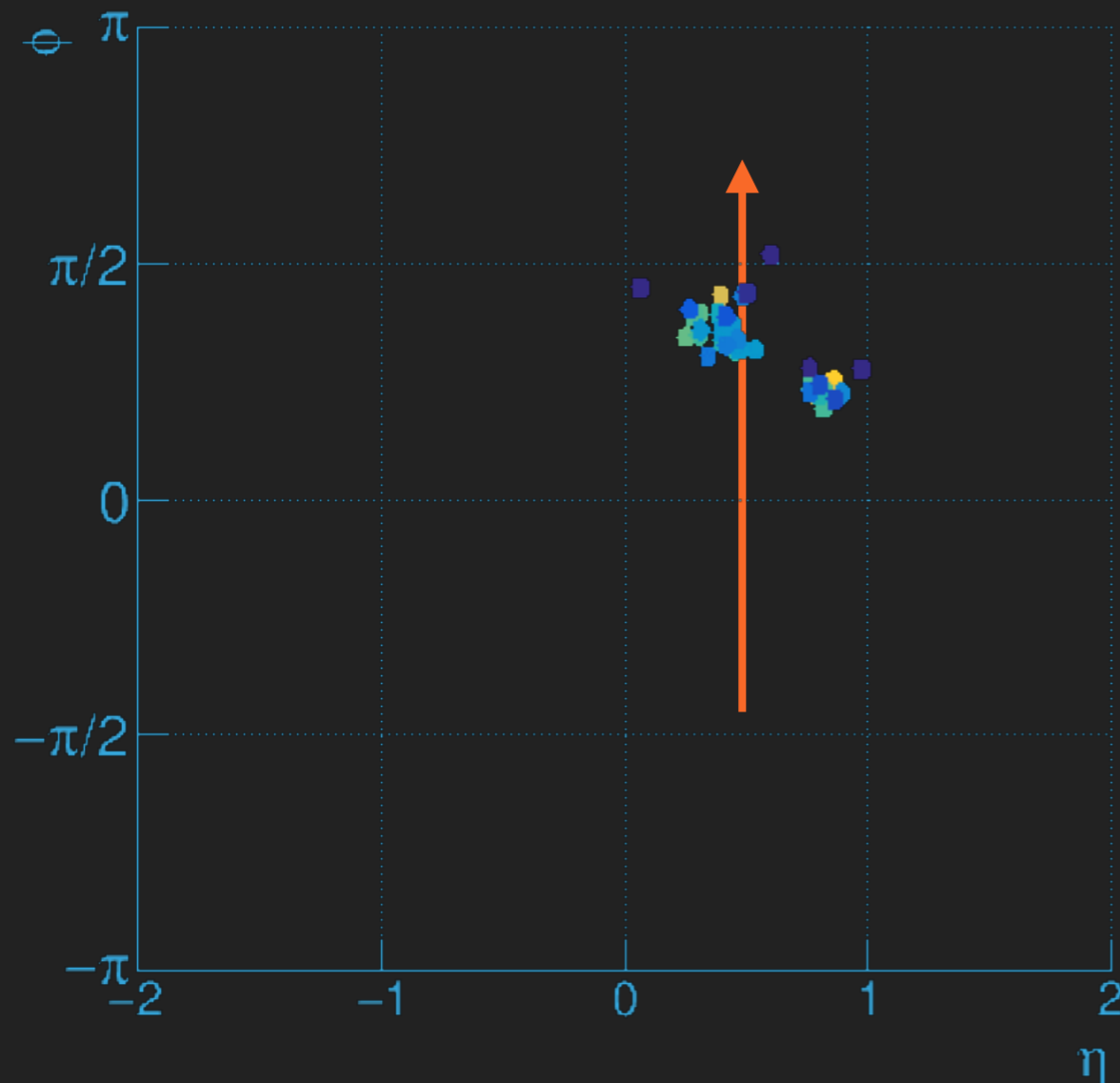


**Rotational** Symmetry

[Cohen, T., Welling, M.  
(Group Equivariant  
Convolutional Networks)]

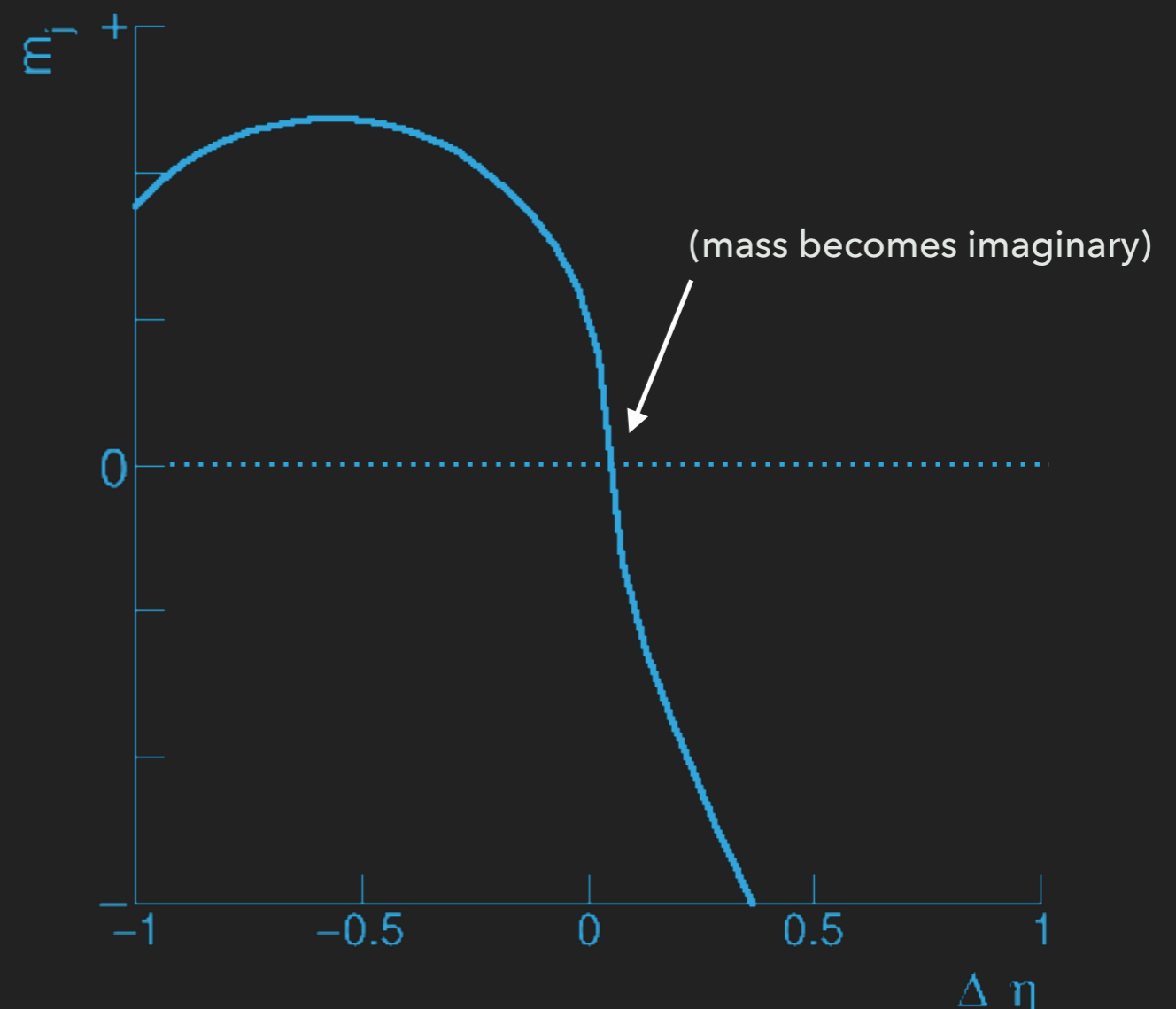
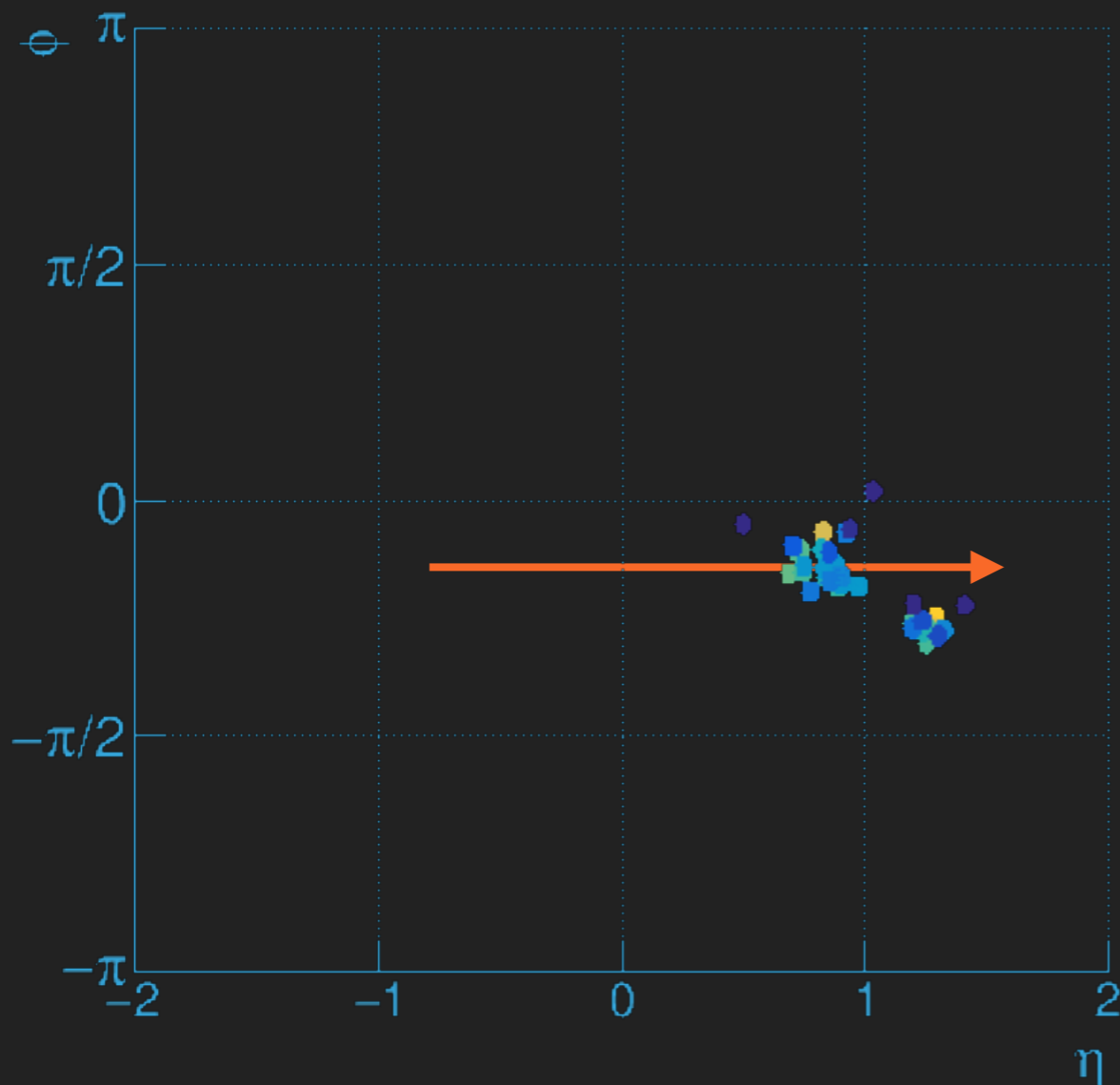
## BUT WAIT...

- ▶ What if the data does *not* exhibit these symmetries?
- ▶ Consider “jet images” – projections of jet constituents onto  $(\eta, \phi)$ .
  - ▶ Let's fix  $E$  and  $p_{Tl}$  and transform the images in the  $(\eta, \phi)$  plane.



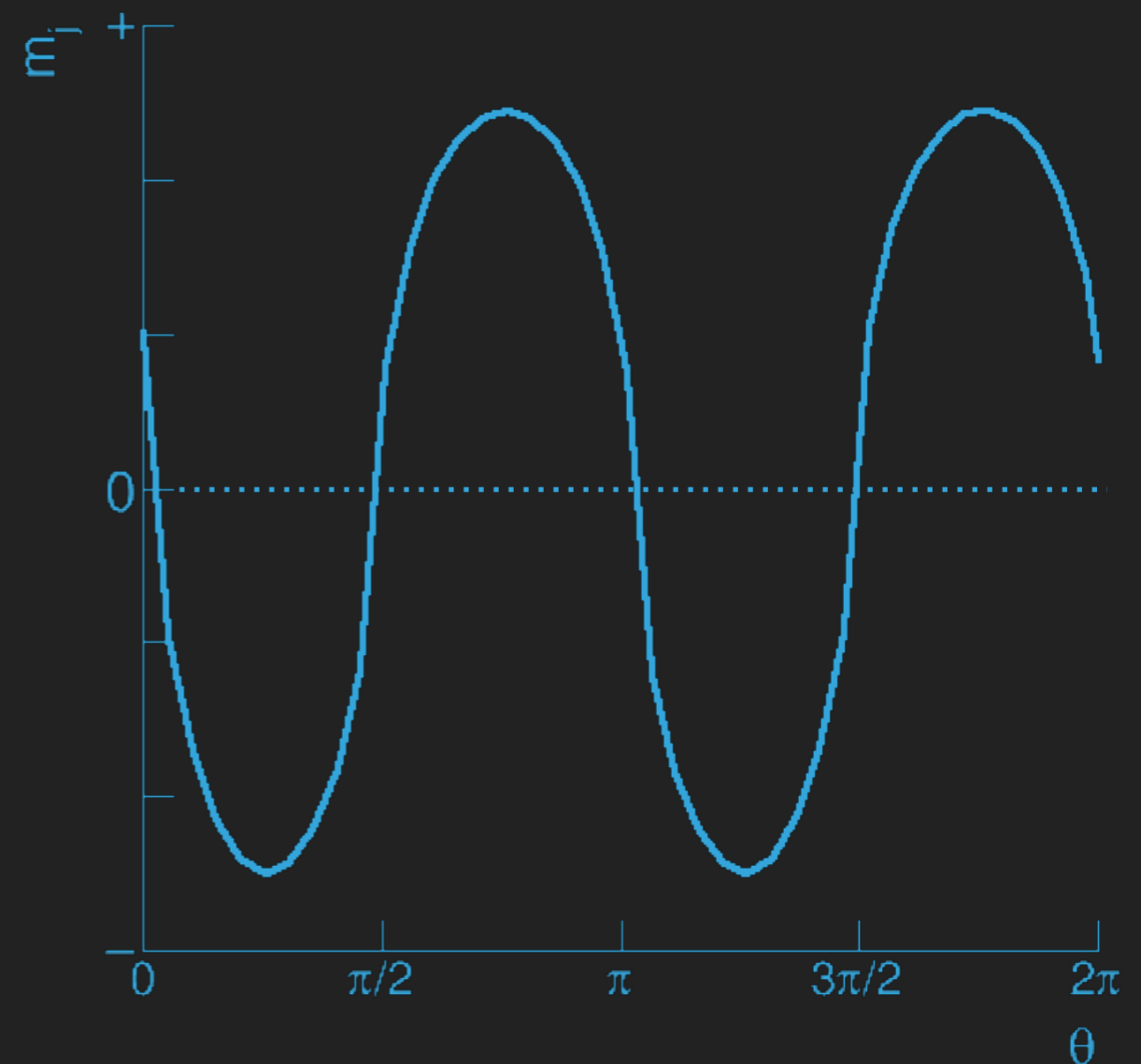
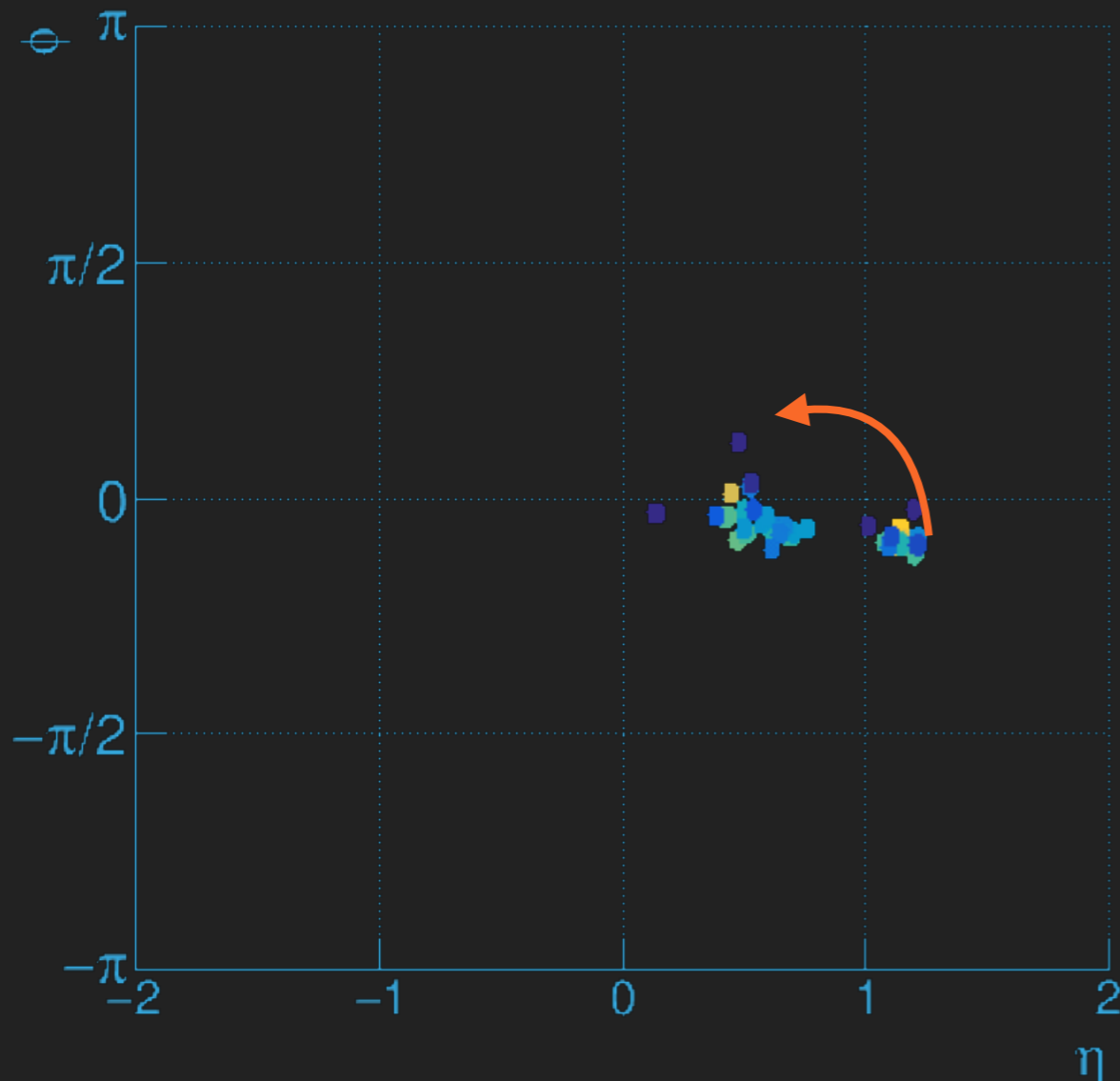
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## SOME NOTABLE EXAMPLES

### ▶ Lorentz Layer<sup>[1]</sup>

- ▶ Network layer explicitly calculates *Lorentz invariants*  $m^2, p_T$  etc. from some input  $p^\mu$ .

### ▶ Particle/Energy Flow Networks<sup>[2]</sup>

- ▶ Construct observables as some  $F \left( \sum_{i=1}^M (z_i) \Phi(\hat{p}_i) \right)$ .

### ▶ Lorentz Boost Networks<sup>[3]</sup>

- ▶ Lorentz-boosts into momenta's rest frames to extract features (to feed into a deep neural network).

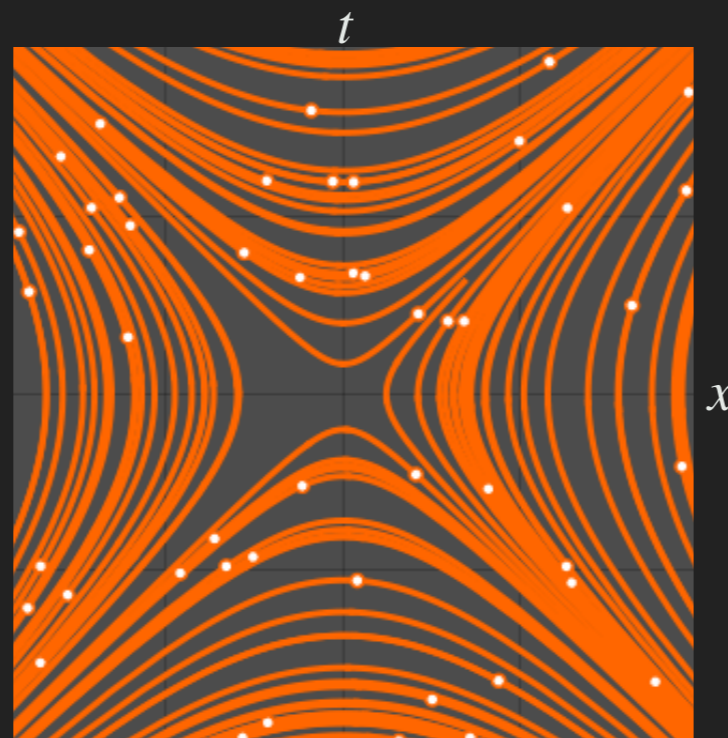
[Butter, A., Kasieczka, G., Plehn, T., Russell, M. (LoLa – Lorentz Layer, 2018)]

[Thaler, J., Komiske, P. T., Metodiev, E. M. (Energy/Particle Flow Networks, 2018)]

[Erdmann, M., Geiser, E., Rath, Y., Rieger, M. (Lorentz Boost Networks, 2018)]

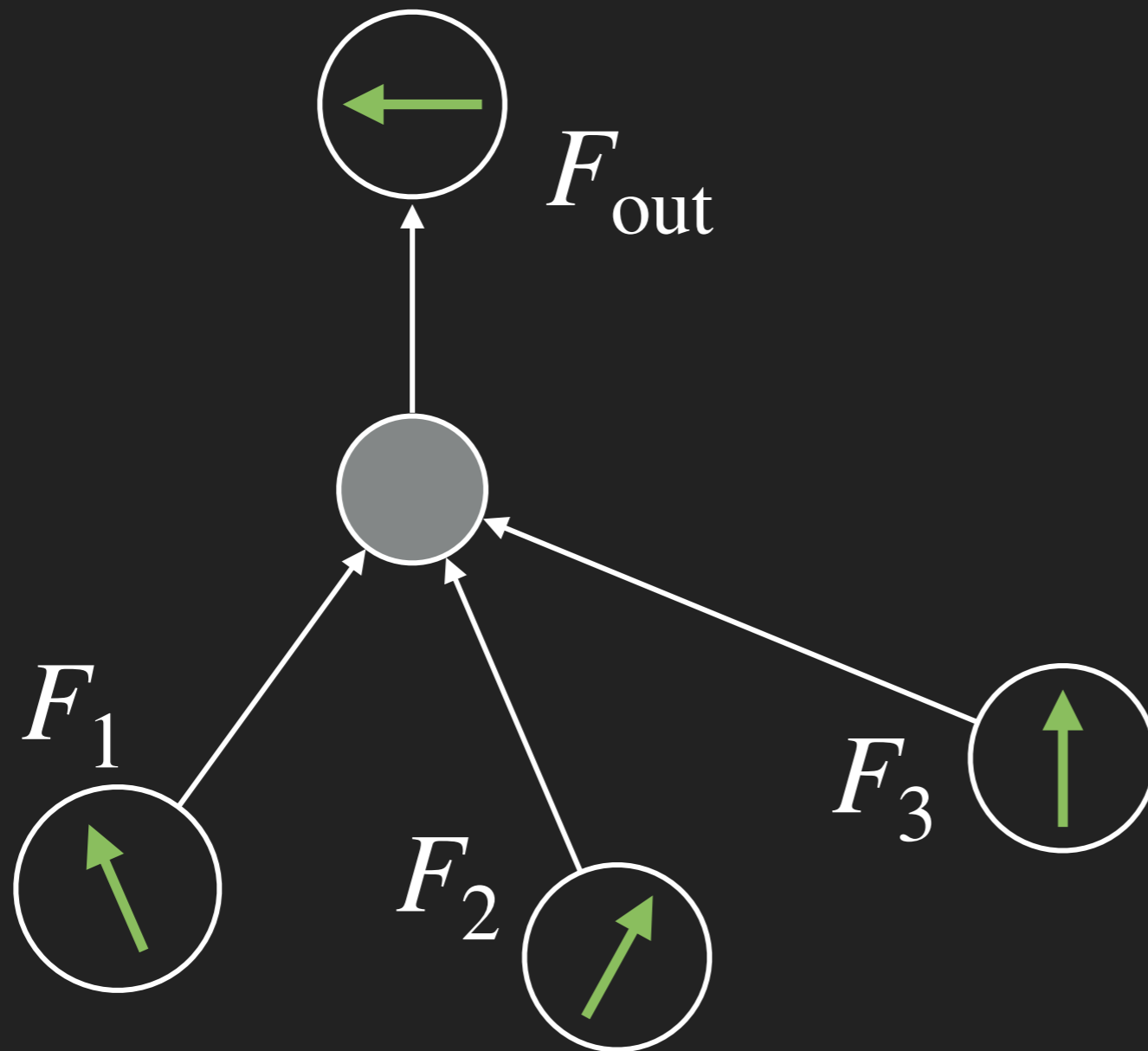
## LGN: THE MOTIVATING IDEA

- ▶ We wish to construct a network *equivariant* under action by members of the **Lorentz** group.
- ▶ Similar in spirit to image identification, but built from the correct symmetry group for the problem:  $SO(1,3)^+$  vs  $SO(3)$ .



$$F_{\text{out}} \rightarrow \rho_{\text{out}}(g)F_{\text{out}}$$

OUTPUTS



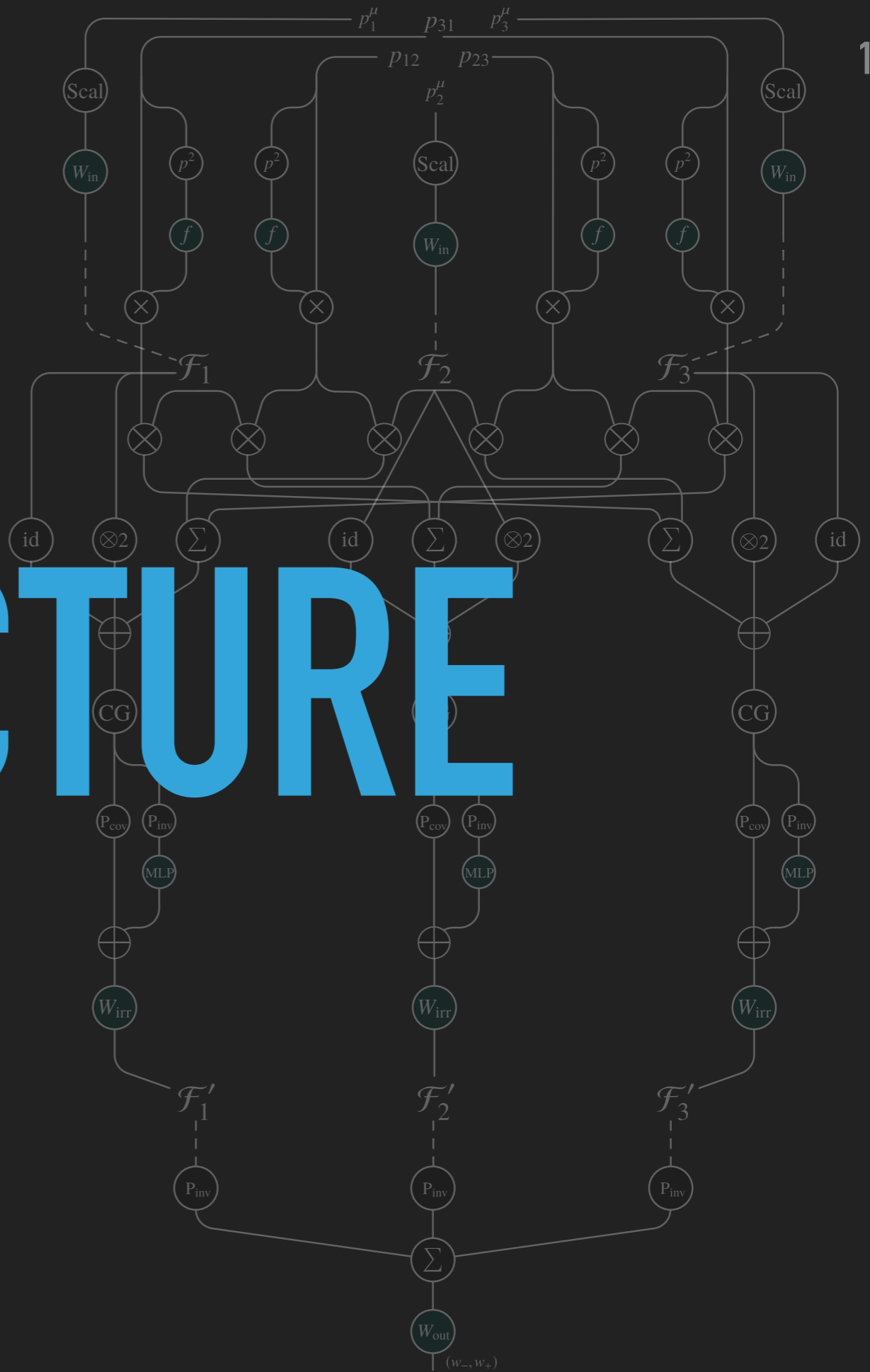
INPUTS

$$F_1 \rightarrow \rho_1(g)F_1$$

$$F_2 \rightarrow \rho_2(g)F_2$$

$$F_3 \rightarrow \rho_3(g)F_3$$





# ARCHITECTURE

( $w_-, w_+$ )

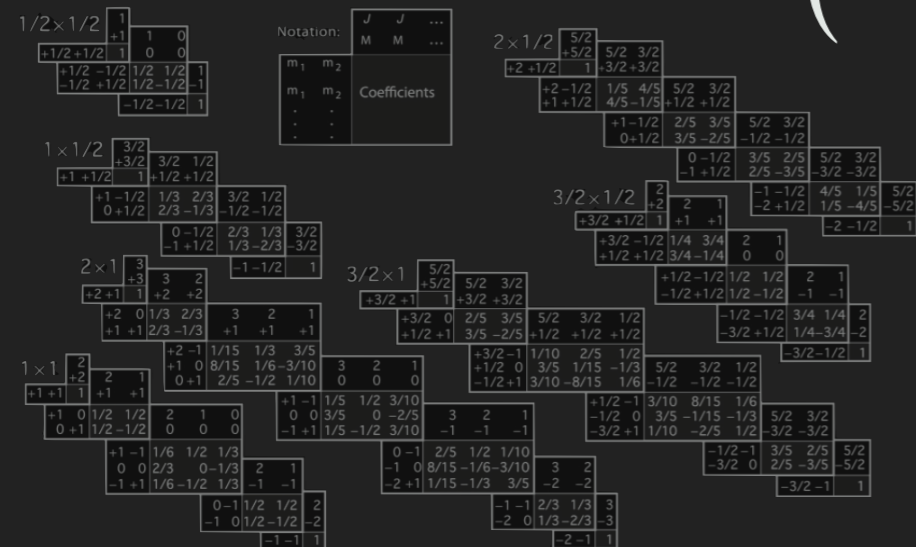
- ▶ **Input:**  $N$  particles' 4-momenta  $p_i^\mu$ .
- ▶  $N$  activations  $\mathcal{F}_i$  at each level live in representations of the Lorentz group.
- ▶ The update rule involves pair interactions.

$$\begin{aligned}
 & \mathcal{F}_i \mapsto W \cdot \left( \mathcal{F}_i \oplus \mathcal{F}_i^{\otimes 2} \oplus \sum_j \left( \overset{\substack{\text{permutation} \\ \text{invariance}}}{\downarrow} \begin{matrix} p_{ij} \equiv p_i - p_j \\ \downarrow \\ p_{ij}^2 \end{matrix} f(p_{ij}^2) \cdot \mathcal{Y}_{ij}(\mathcal{F}_i \otimes \mathcal{F}_j) \right) \otimes \mathcal{F}_j \right) \\
 & \quad \quad \quad \uparrow \quad \quad \quad \uparrow \quad \quad \quad \uparrow \\
 & \quad \quad \quad \text{self-interaction} \quad \quad \quad \text{learnable scalar} \quad \quad \quad \text{zonal harmonics} \\
 & \quad \quad \quad \quad \quad \quad \quad \quad \quad \quad \text{function} \\
 \\
 & \text{Level 0: } p_i \mapsto W \cdot \left( p_i \oplus p_i^{\otimes 2} \oplus \sum_j f(p_{ij}^2) \cdot p_{ij} \otimes p_j \right)
 \end{aligned}$$

- ▶ Arbitrary traditional sub-networks can be applied to Lorentz invariants.
- ▶ Output layer sums over  $i$  and projects onto invariants.**(or other irrep).**

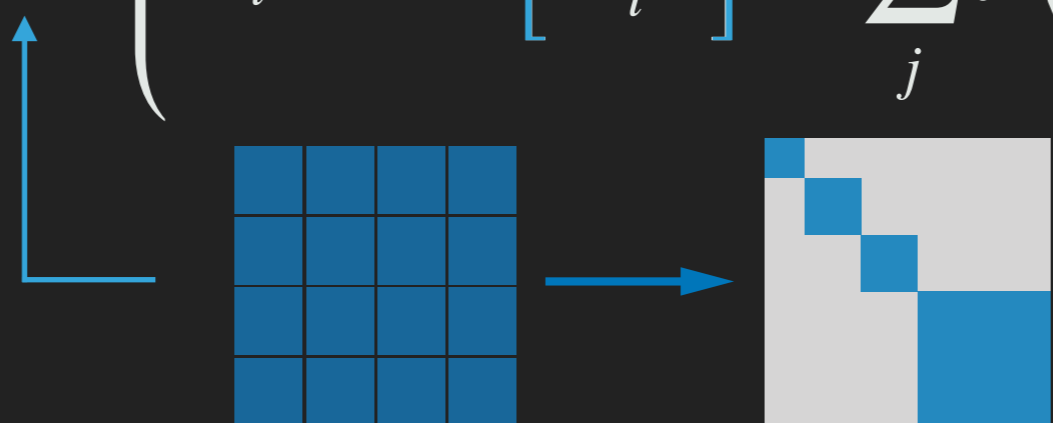
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$$\mathcal{F}_i \mapsto W \cdot \left( \mathcal{F}_i \oplus \text{CG} \left[ \mathcal{F}_i^{\otimes 2} \right] \oplus \sum_j f(p_{ij}^2) \cdot \text{CG} \left[ p_{ij} \otimes \mathcal{F}_j \right] \right)$$



↑ Clebsch-Gordan decompositions ↑

- ▶ Finite-dimensional representations of the Lorentz group are **decomposable**.
- ▶ We decompose the activations via **Clebsch-Gordan decompositions**.

$$\mathcal{F}_i \mapsto W \cdot \left( \mathcal{F}_i \oplus \text{CG} \left[ \mathcal{F}_i^{\otimes 2} \right] \oplus \sum_j f(p_{ij}^2) \cdot \text{CG} \left[ p_{ij} \otimes \mathcal{F}_j \right] \right)$$


- ▶ We require  $W$ , our linear operation, to observe **Lorentz equivariance**.
- ▶ As a consequence of **Schur's lemma**,  $W$  acts as a scalar multiplication on each irrep, and only linearly combines vectors of the same weight.

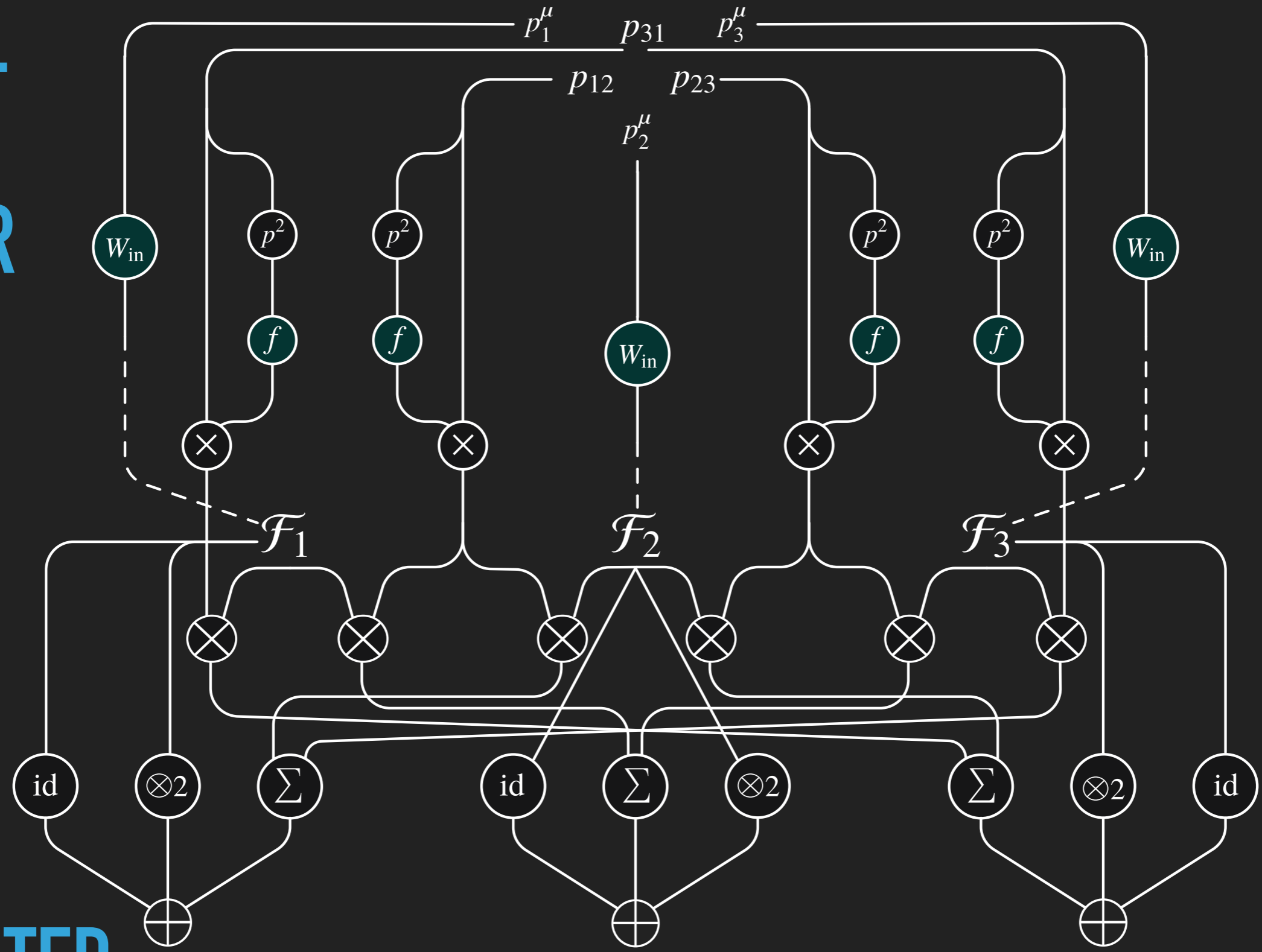
Let  $U$  and  $V$  be completely reducible representations of  $G$ :

$$V = \bigoplus_{\alpha} R_{\alpha}^{\oplus \tau_{\alpha}}, \quad U = \bigoplus_{\alpha} R_{\alpha}^{\oplus \tau'_{\alpha}}.$$

Then linear equivariant map  $W : V \rightarrow U$  can be parametrized by

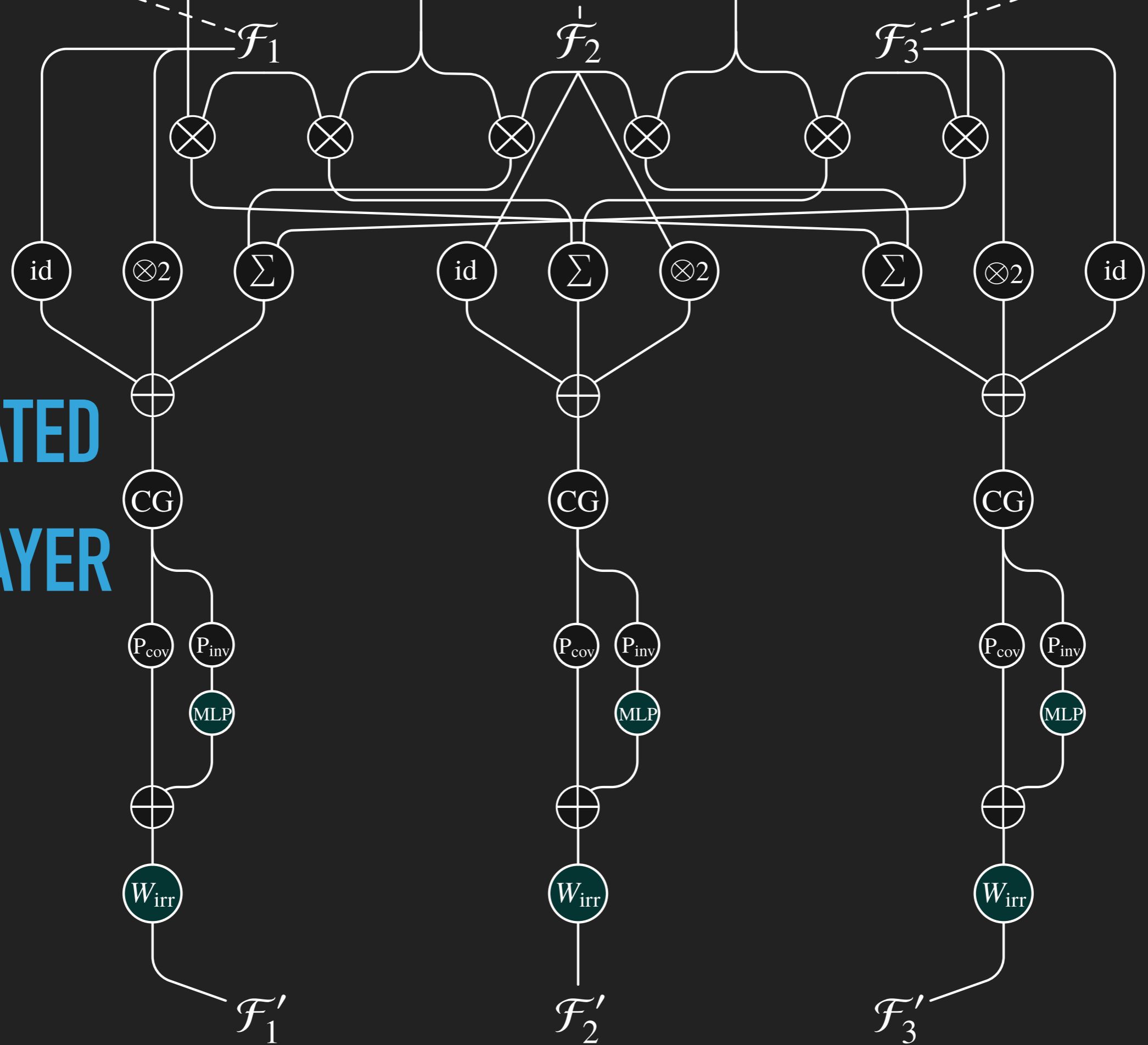
$$\{W_{\alpha} \in \text{Mat}(\tau'_{\alpha}, \tau_{\alpha})\}, \text{ with } W_{\alpha} \text{ acting on the irreps within } R_{\alpha}^{\oplus \tau_{\alpha}}.$$

INPUT  
LAYER

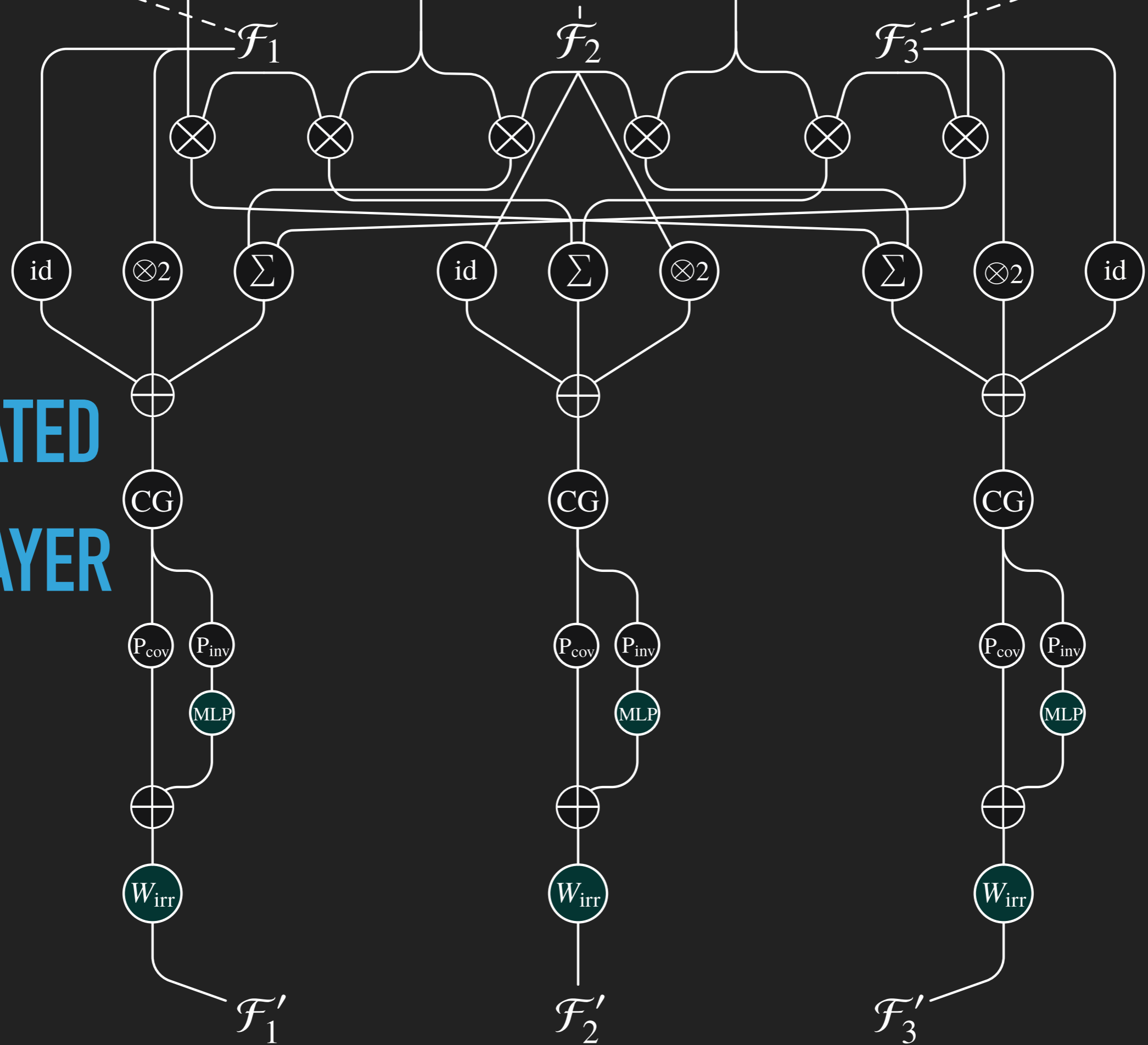


ITERATED  
CG LAYER

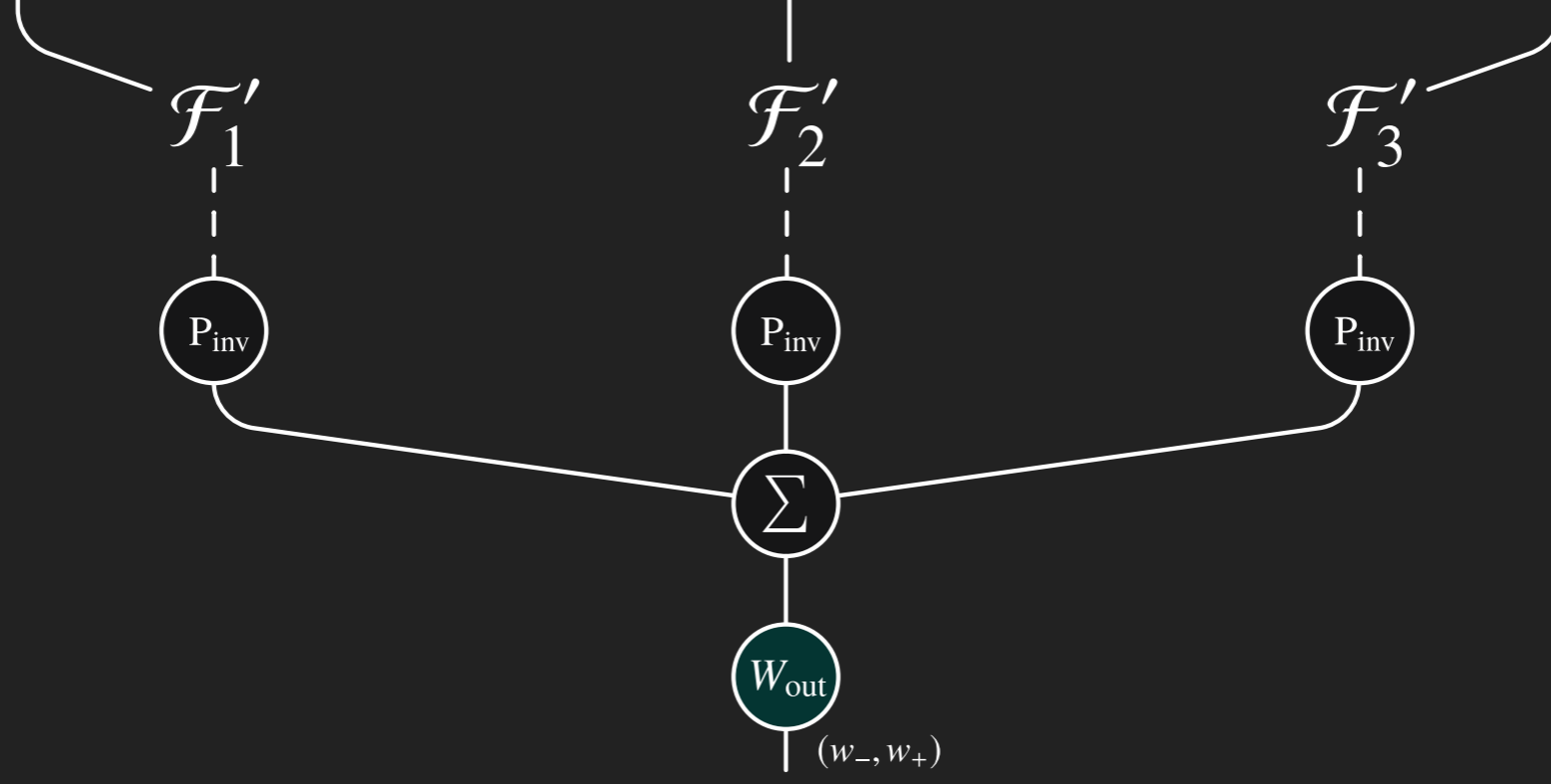
# ITERATED CG LAYER



# ITERATED CG LAYER

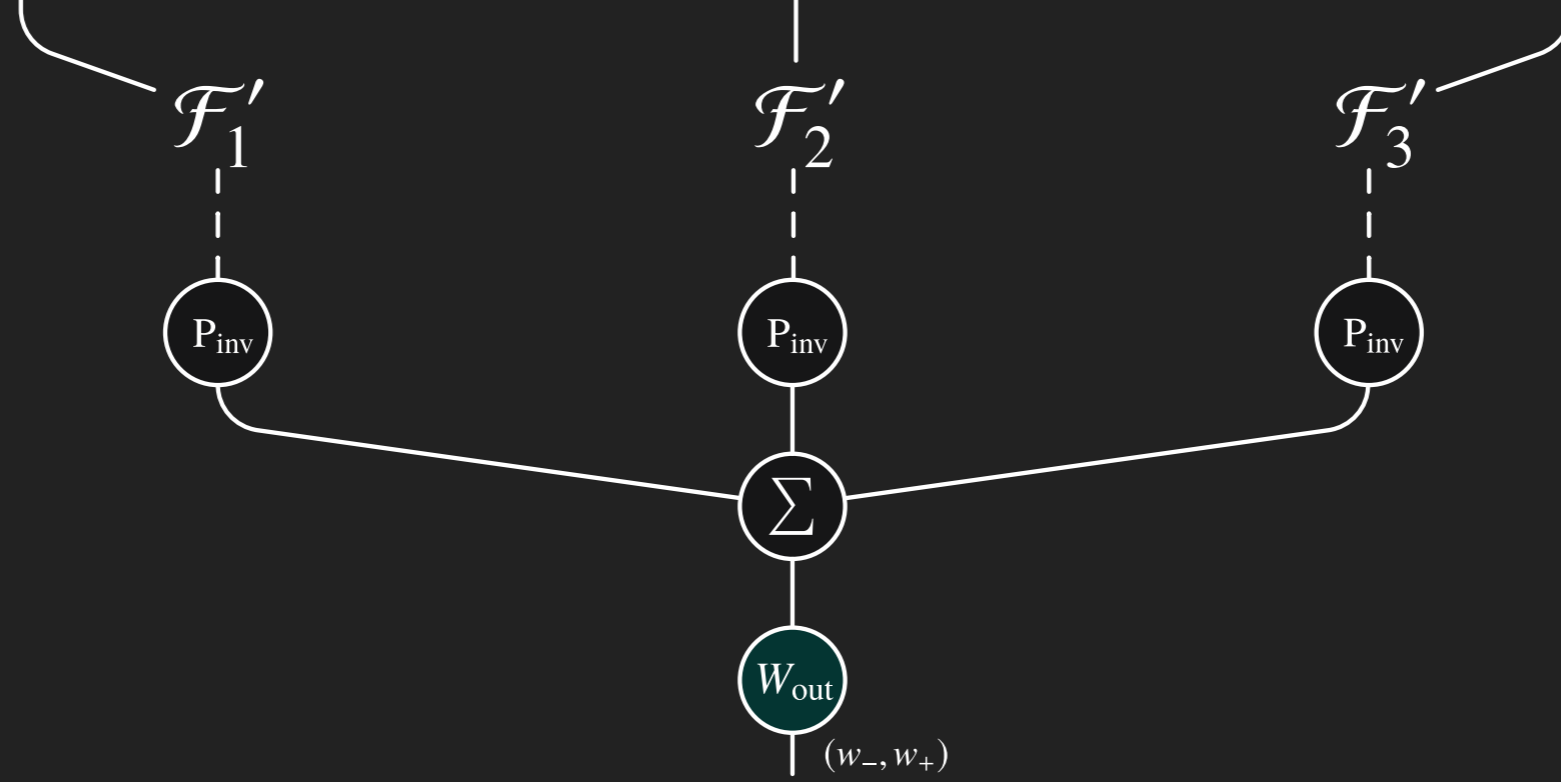


# OUTPUT LAYER

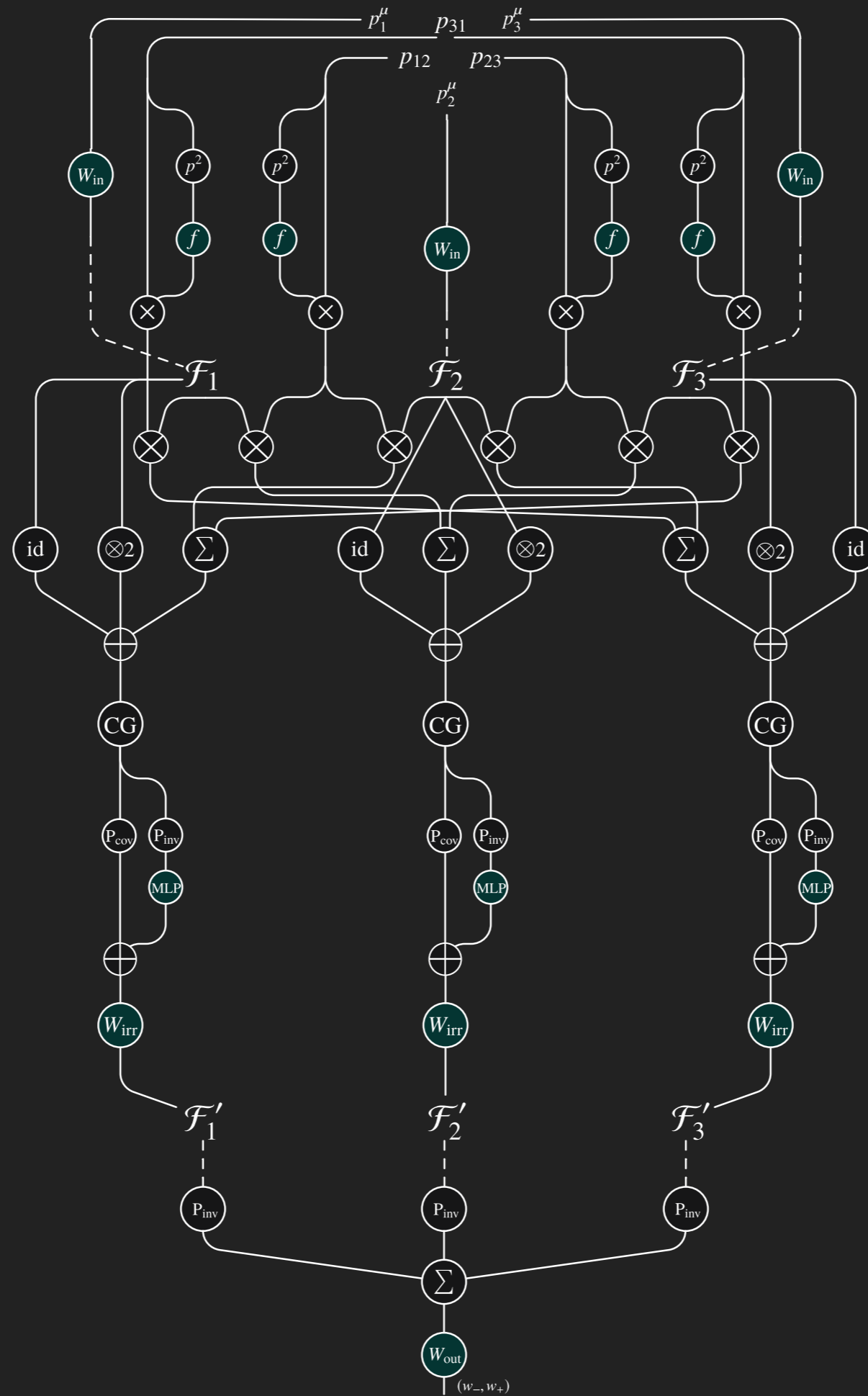




# OUTPUT LAYER



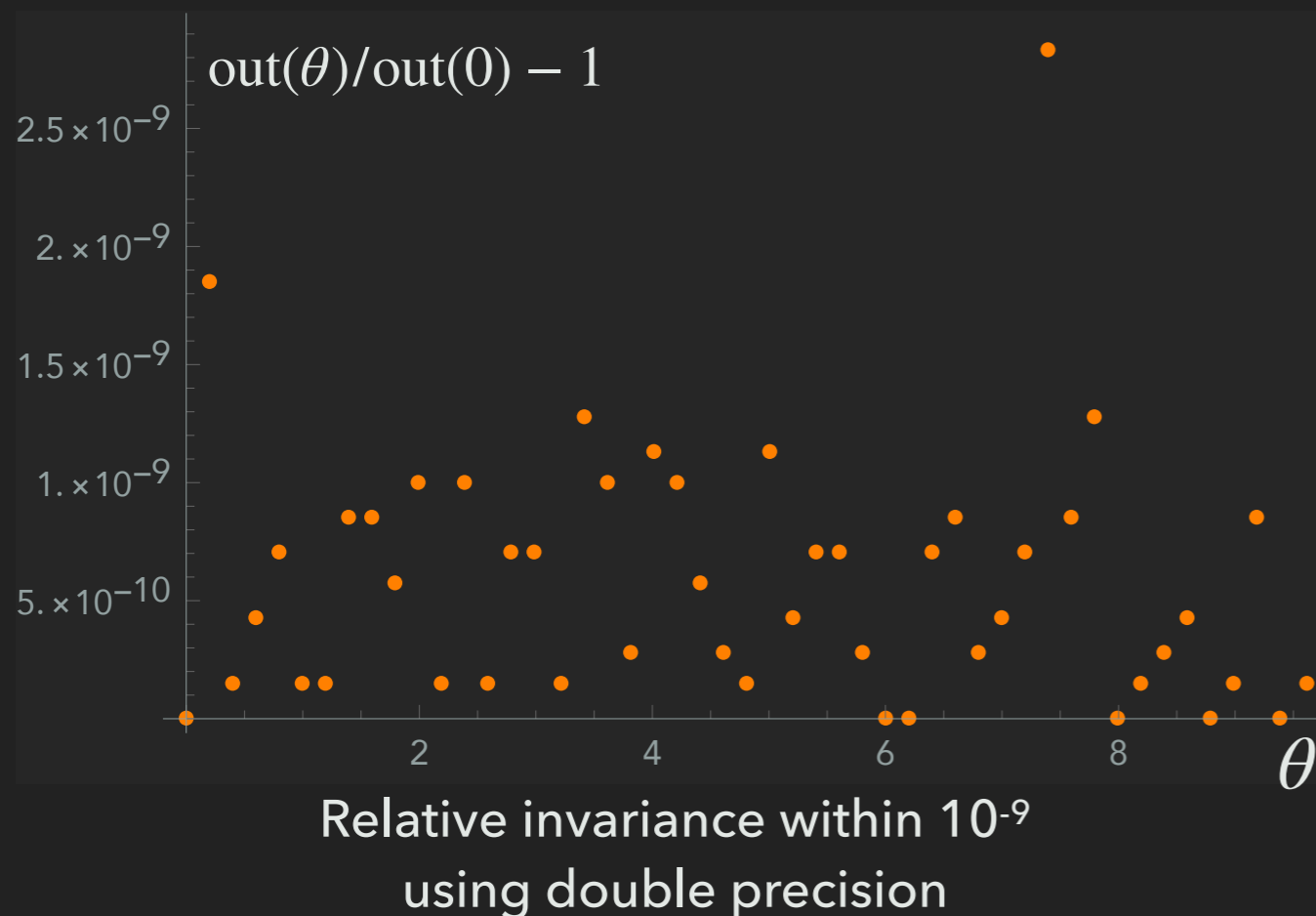
# LGN



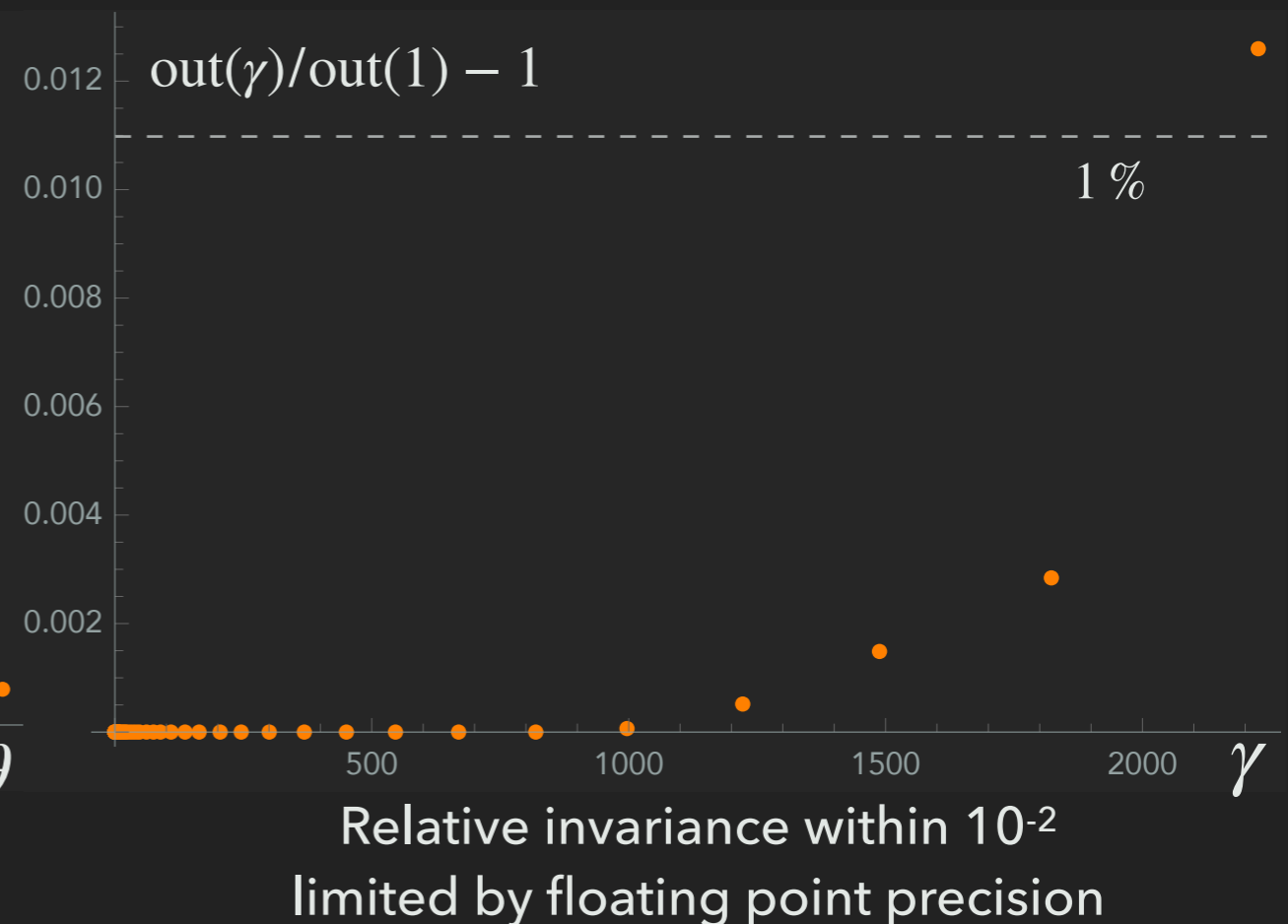
## TESTING LORENTZ INVARIANCE

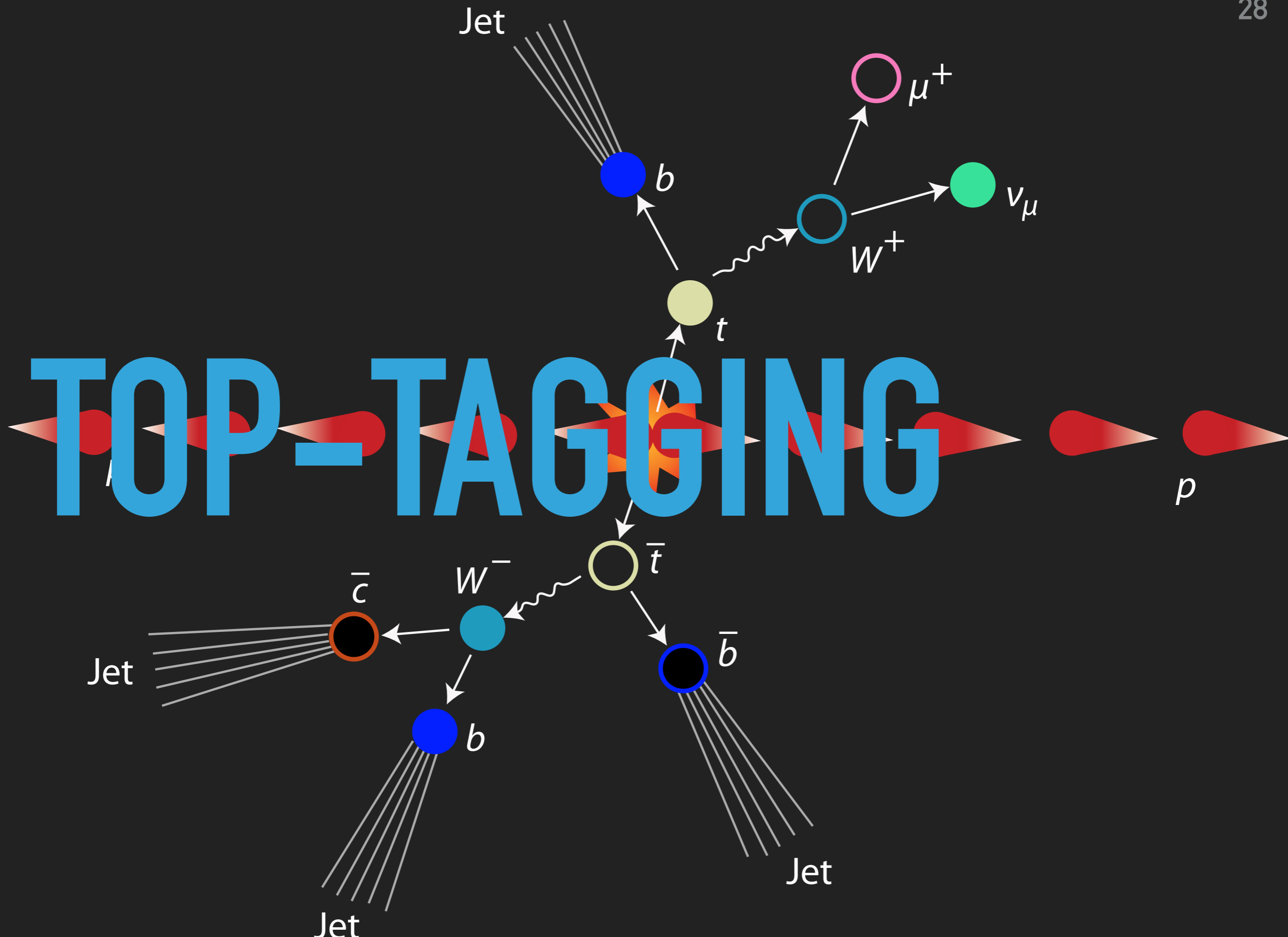
- ▶ We have set up **LGN** for top-tagging, a **Lorentz-invariant** task.
- ▶ Let's test network invariance.
  - ▶ Feed in some dummy  $p^\mu$  twice – once with some Lorentz boost applied – and look for differences in network output.

Rotational invariance

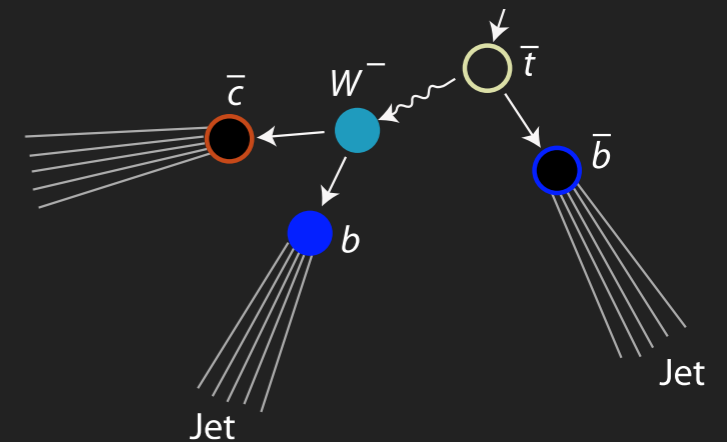


Boost invariance



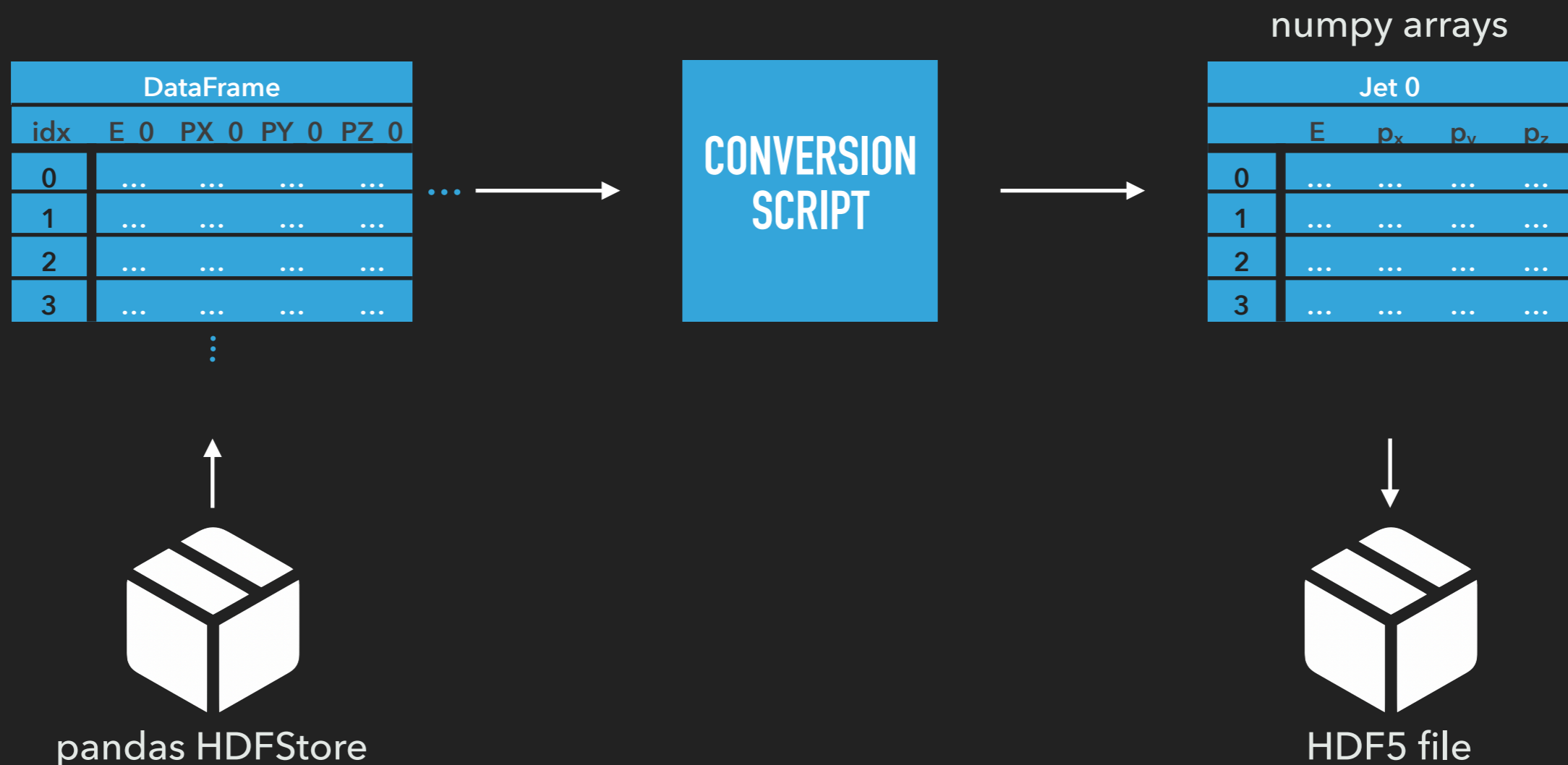


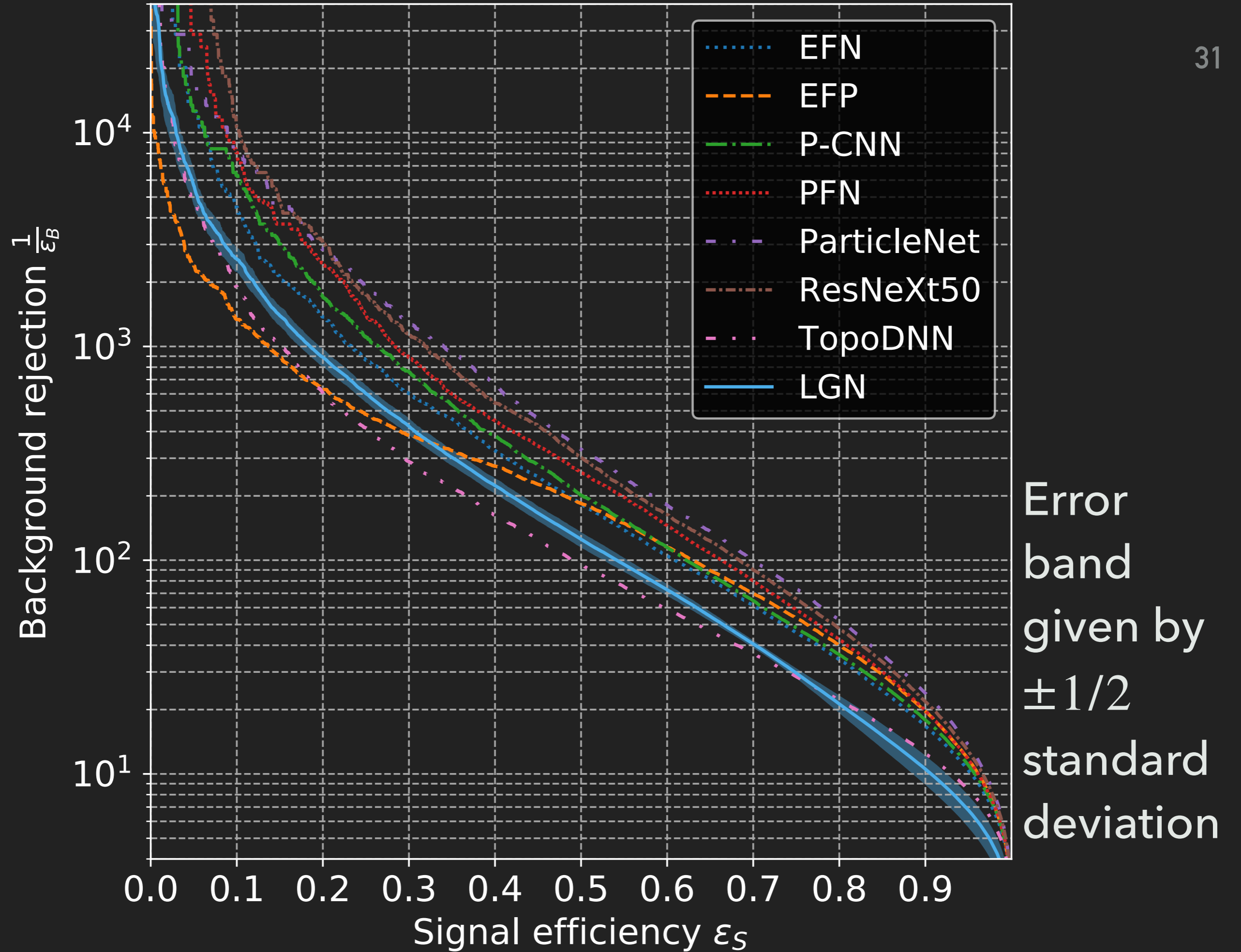
- ▶ 2M jets (anti- $k_T$   $R = 0.8$ ).
  - ▶  $p_T(j) \in [550, 650]$  GeV,  $|\eta(j)| < 2$ .
  - ▶ 1M hadronic top decays (**signal**).
  - ▶ 1M leading jets from QCD dijet events (**background**).
- ▶ Simulated with DELPHES + E-flow (fast detector sim).
- ▶ For each jet, the 200 leading jet constituents'  $p^\mu$  stored in Cartesian coordinates, along with the truth top  $p^\mu$  for signal.



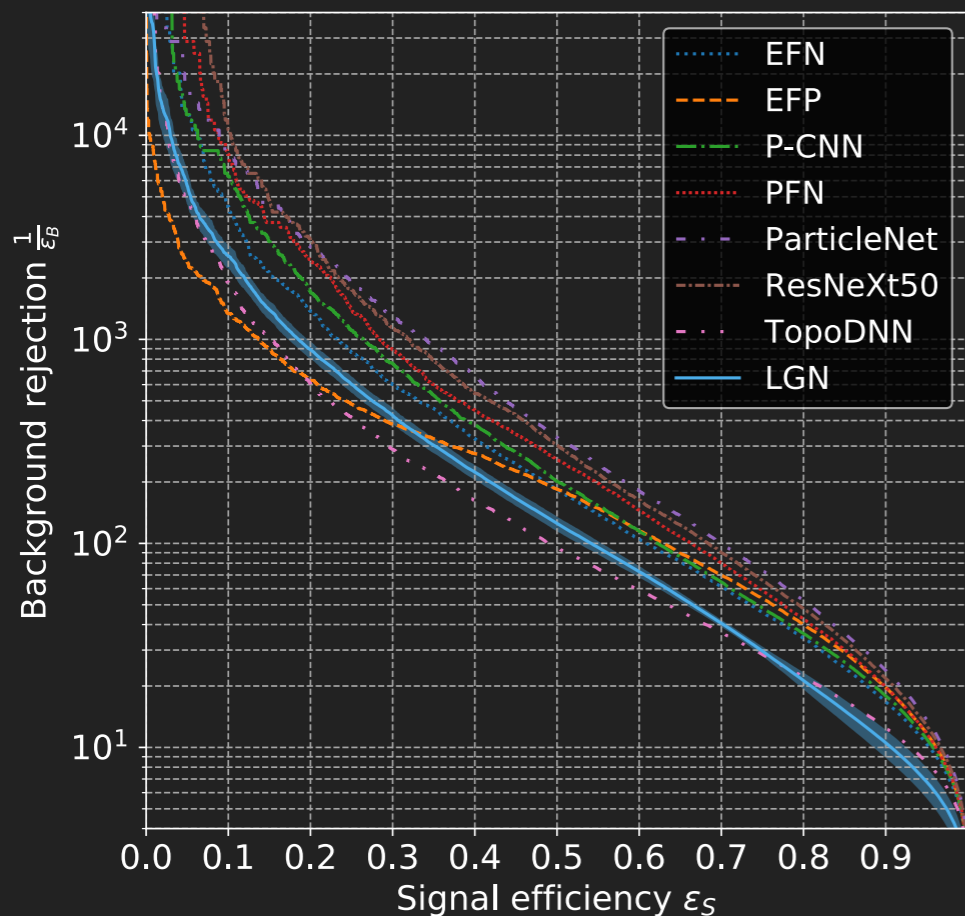
## PREPARING THE DATA

- ▶ **LGN** does not require any pre-processing of data.
- ▶ We *repackage* the dataset from a pandas DataFrame saved in an HDF5 file, to a native HDF5 format via h5py.





	AUC	Acc	$1/\epsilon_B$ ( $\epsilon_S = 0.3$ )			#Param	
			single	mean	median		
CNN	0.981	0.930	914±14	995±15	975±18	610k	
ResNeXt	0.984	0.936	1122±47	1270±28	1286±31	1.46M	
TopoDNN	0.972	0.916	295±5	382±5	378±8	59k	
Multi-body $N$ -subjettiness 6	0.979	0.922	792±18	798±12	808±13	57k	
Multi-body $N$ -subjettiness 8	0.981	0.929	867±15	918±20	926±18	58k	
TreeNiN	0.982	0.933	1025±11	1202±23	1188±24	34k	
P-CNN	0.980	0.930	732±24	845±13	834±14	348k	
ParticleNet	0.985	0.938	1298±46	1412±45	1393±41	498k	
LBN	0.981	0.931	836±17	859±67	966±20	705k	
LoLa	0.980	0.929	722±17	768±11	765±11	127k	[Kasieczka, G.,
LDA	0.955	0.892	151±0.4	151.5±0.5	151.7±0.4	184k	Plehn, T.,
average of 6 independently- trained instances $\longrightarrow$ Energy Flow Polynomials	0.980	0.932	384			1k	et. al.
Energy Flow Network	0.979	0.927	633±31	729±13	726±11	82k	(ML Landscape
Particle Flow Network	0.982	0.932	891±18	1063±21	1052±29	82k	of top taggers,
<b>LGN</b>	<b>0.964</b>	<b>0.929</b>	<b>424 ± 82</b>			<b>4.5k</b>	[2019])]



[Macaluso, S., Shih, D. (CNN, 2018)]

[Xie, S., Girshick, R., Dollár, P., Tu, Z., He, K. (ResNeXt, 2016)]

[Pearkes, J., Fedorko, W., Lister, A., Gay, C. (TopoDNN, 2017)]

[Moore, L., Nordström, K., Varma, S., Fairbairn, M. (Multi-body  $N$ -subjettiness, 2018)]

[Macaluso, S., Cranmer, K (TreeNiN, 2019)]

[The CMS Collaboration (P-CNN, 2017)]

[Qu, H., Gouskos, L. (ParticleNet, 2019)]

[Erdmann, M., Geiser, E., Rath, Y., Rieger, M. (LBN, 2018)]

[Butter, A., Kasieczka, G., Plehn, T., Russell, M. (LoLa, 2018)]

[Dillon, B. M., Faroughy, D. A., Kamenik, J. F. (LDA, 2019)]

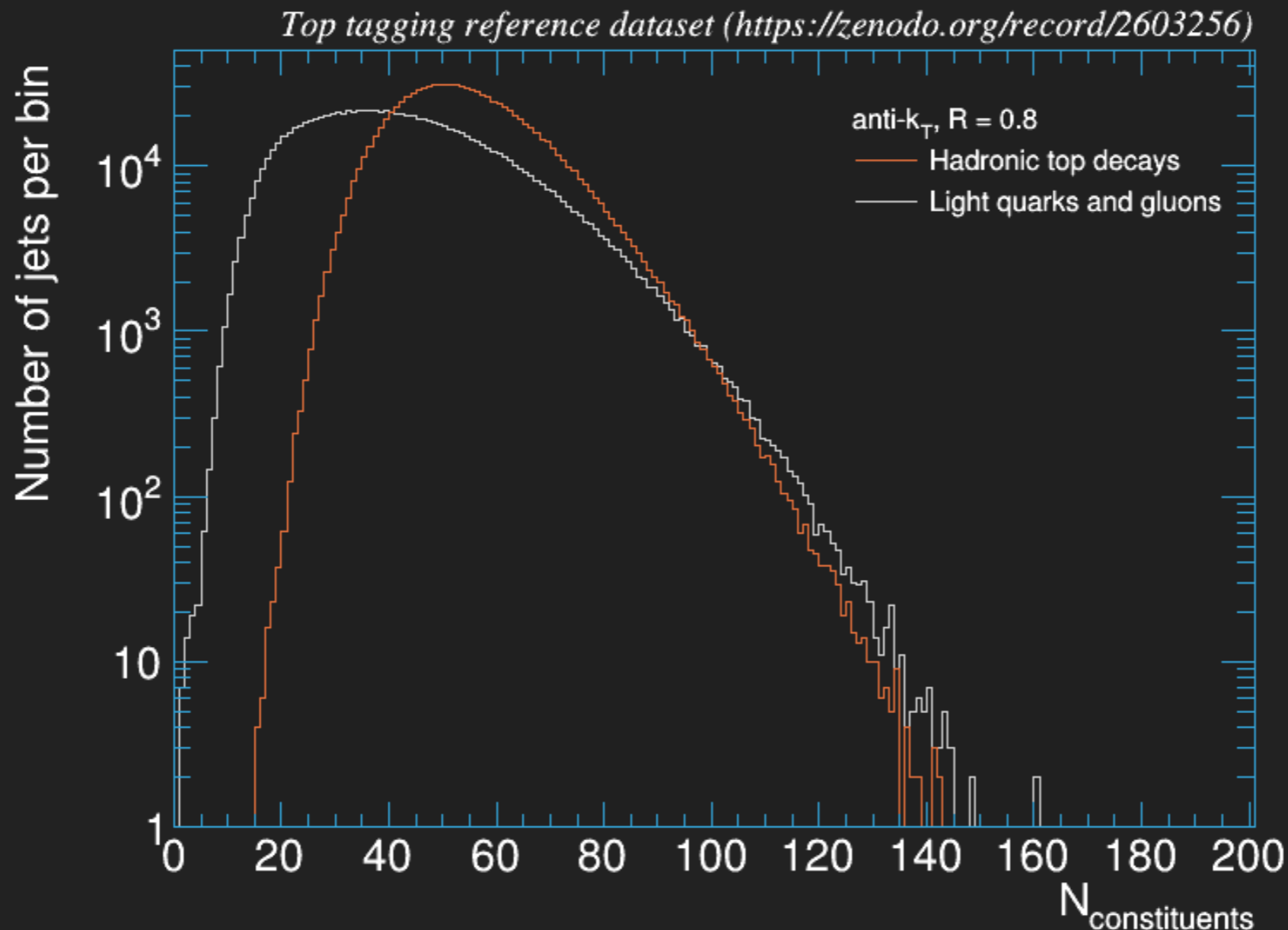
[Komiske, P. T., Metodiev, E. M., Thaler, J. (Energy Flow Polynomials, 2017)]

[Komiske, P. T., Metodiev, E. M., Thaler, J. (Energy/Particle Flow Networks, 2018)]



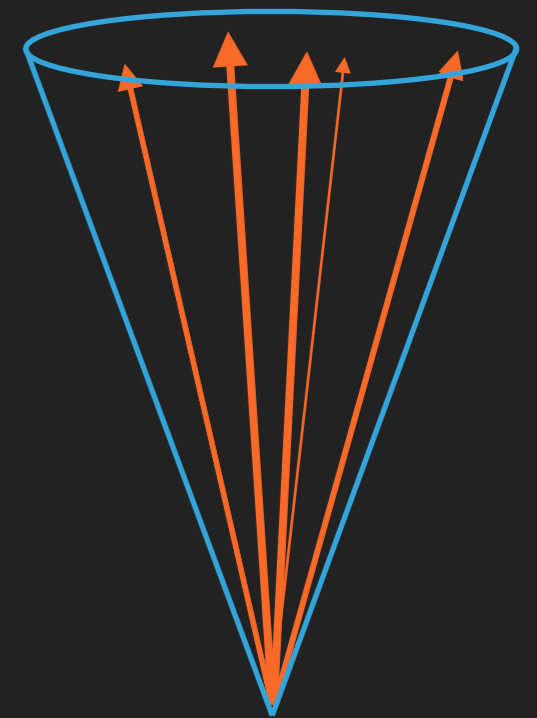
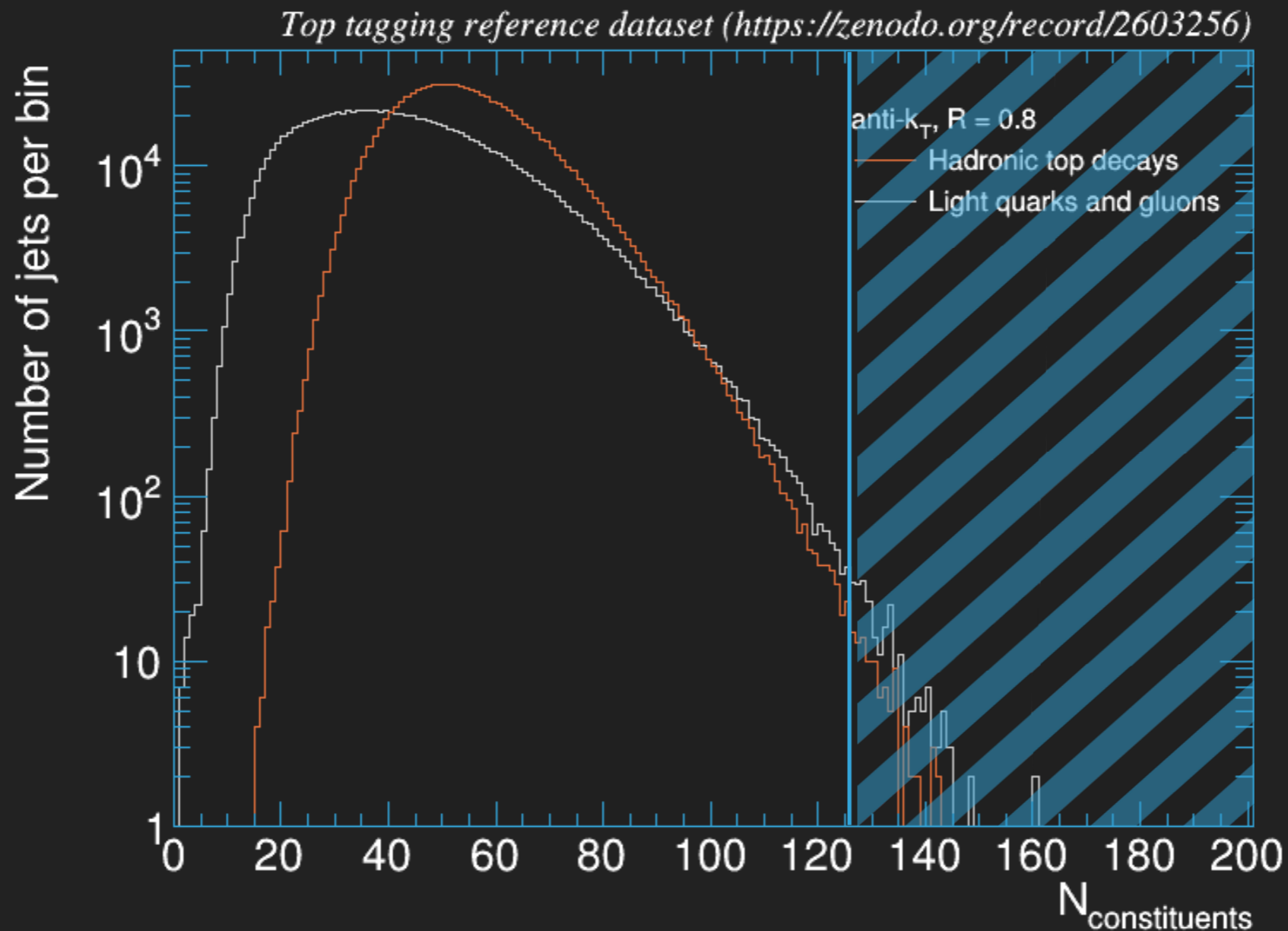
## TRIMMING JET CONSTITUENTS

- ▶ All jets have notably fewer jet constituents than the maximum value of 200.



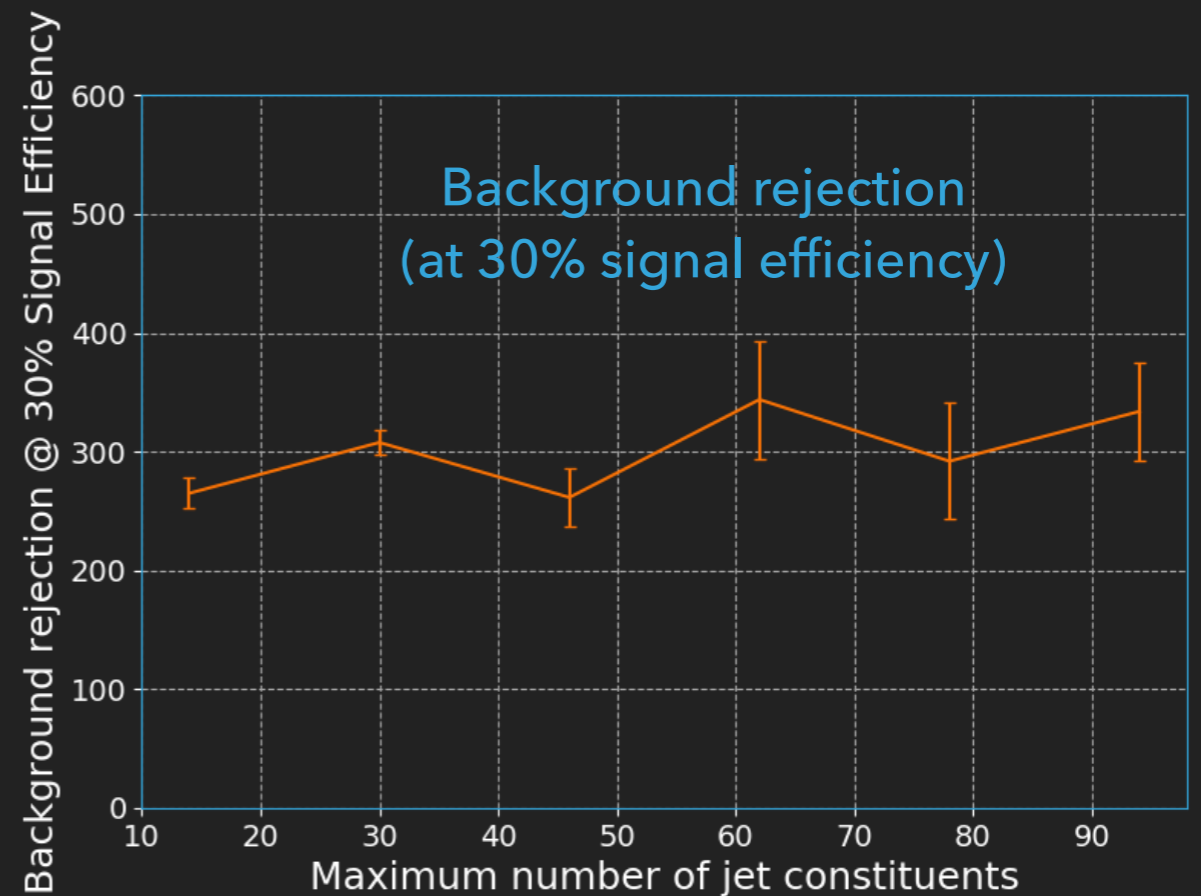
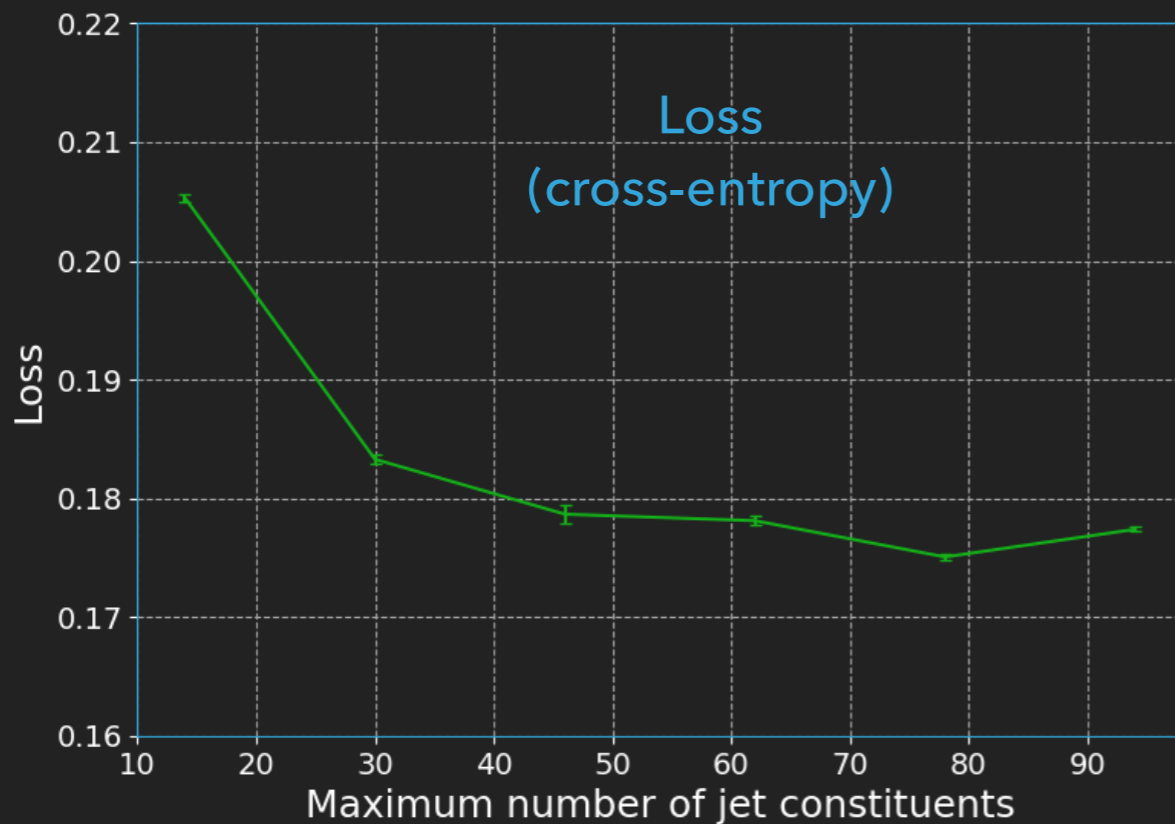
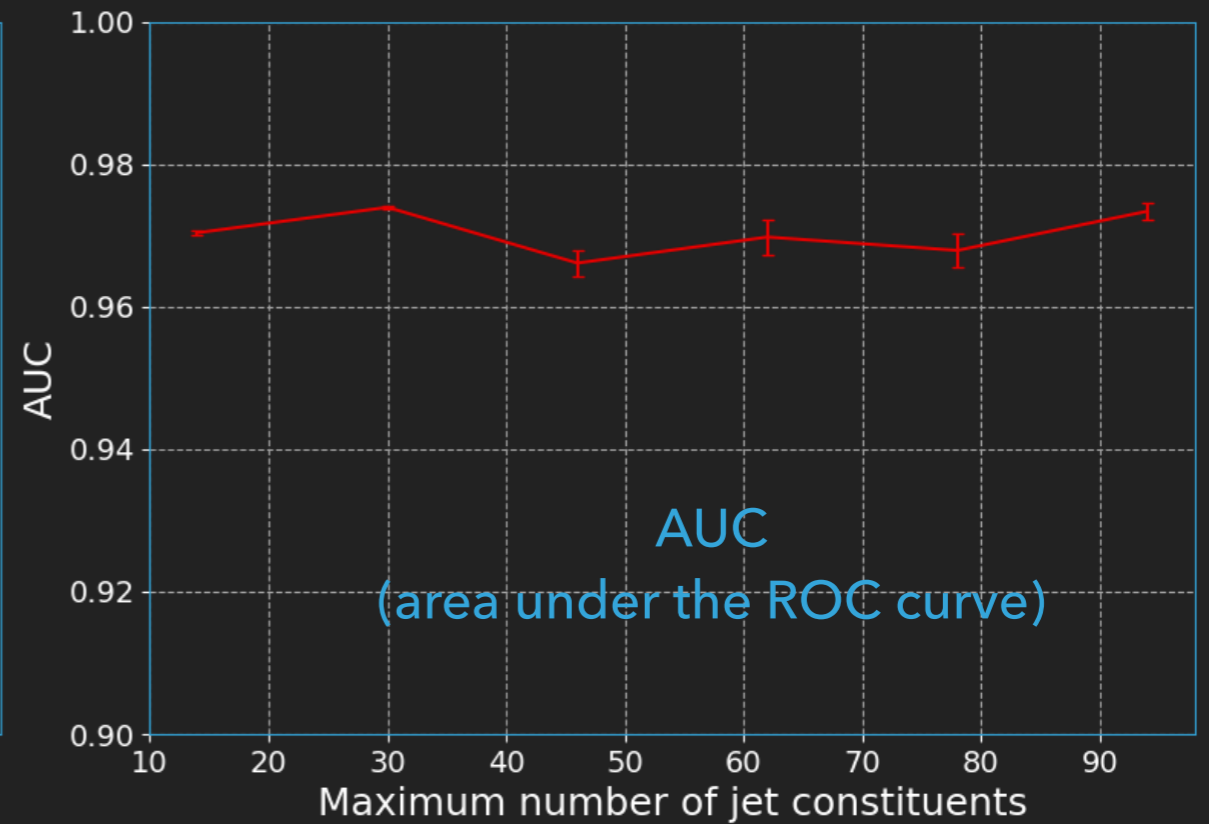
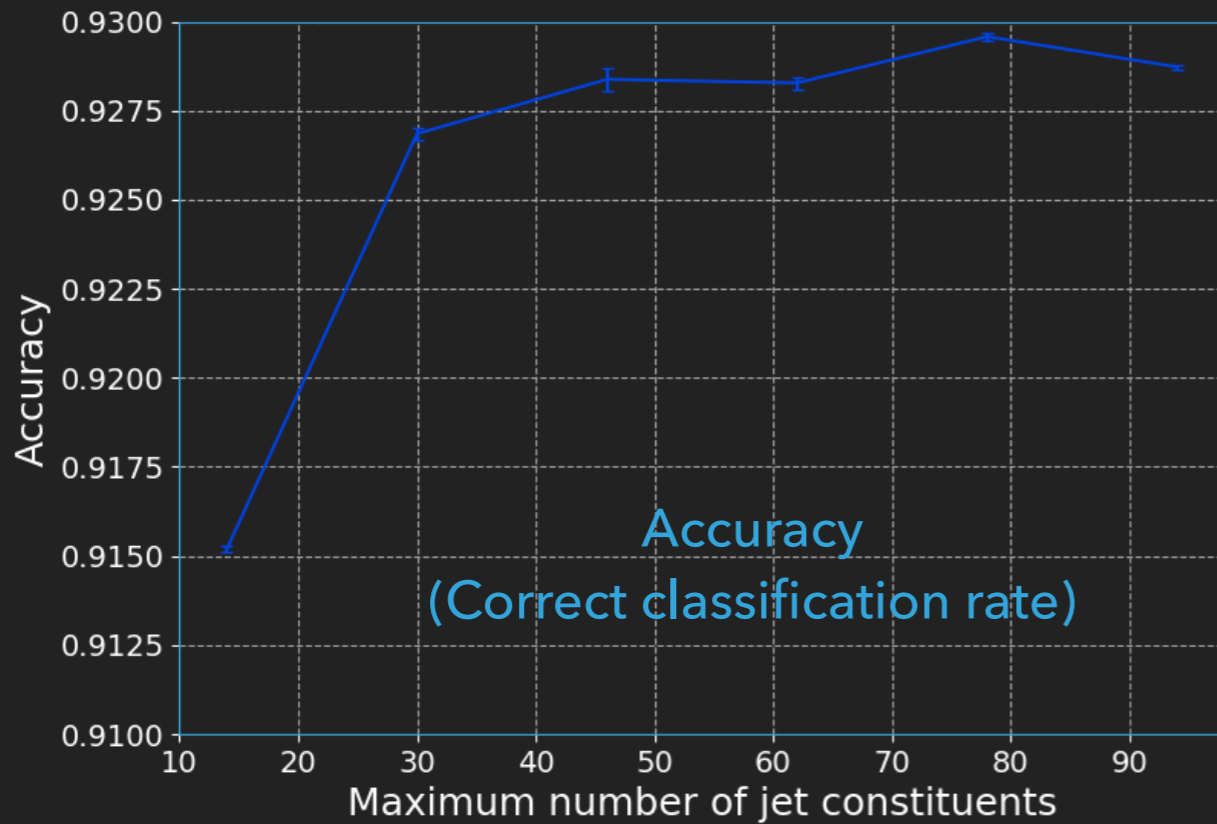
## TRIMMING JET CONSTITUENTS

- ▶ By default, we use the **126** leading constituents of each jet as input. We can alter this cut to test network dependence.



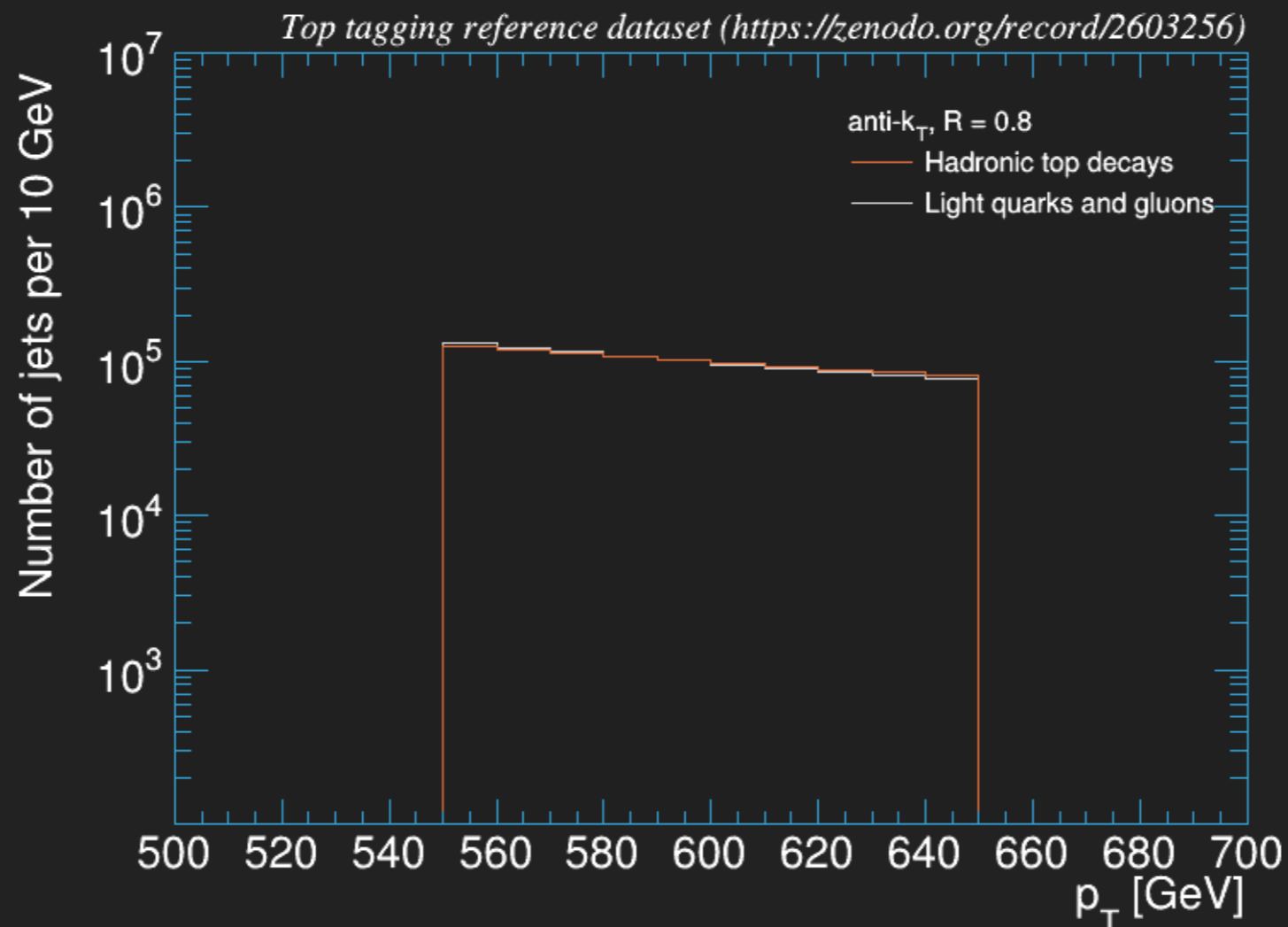
## TRIMMING JET CONSTITUENTS

- ▶ We find that performance is quite stable across choices of the cut in number of jet constituents used as input.
- ▶ We can characterize performance by looking at the network accuracy, area under the ROC curve, loss (cross-entropy), and background rejection at 30% signal efficiency as performance benchmarks.



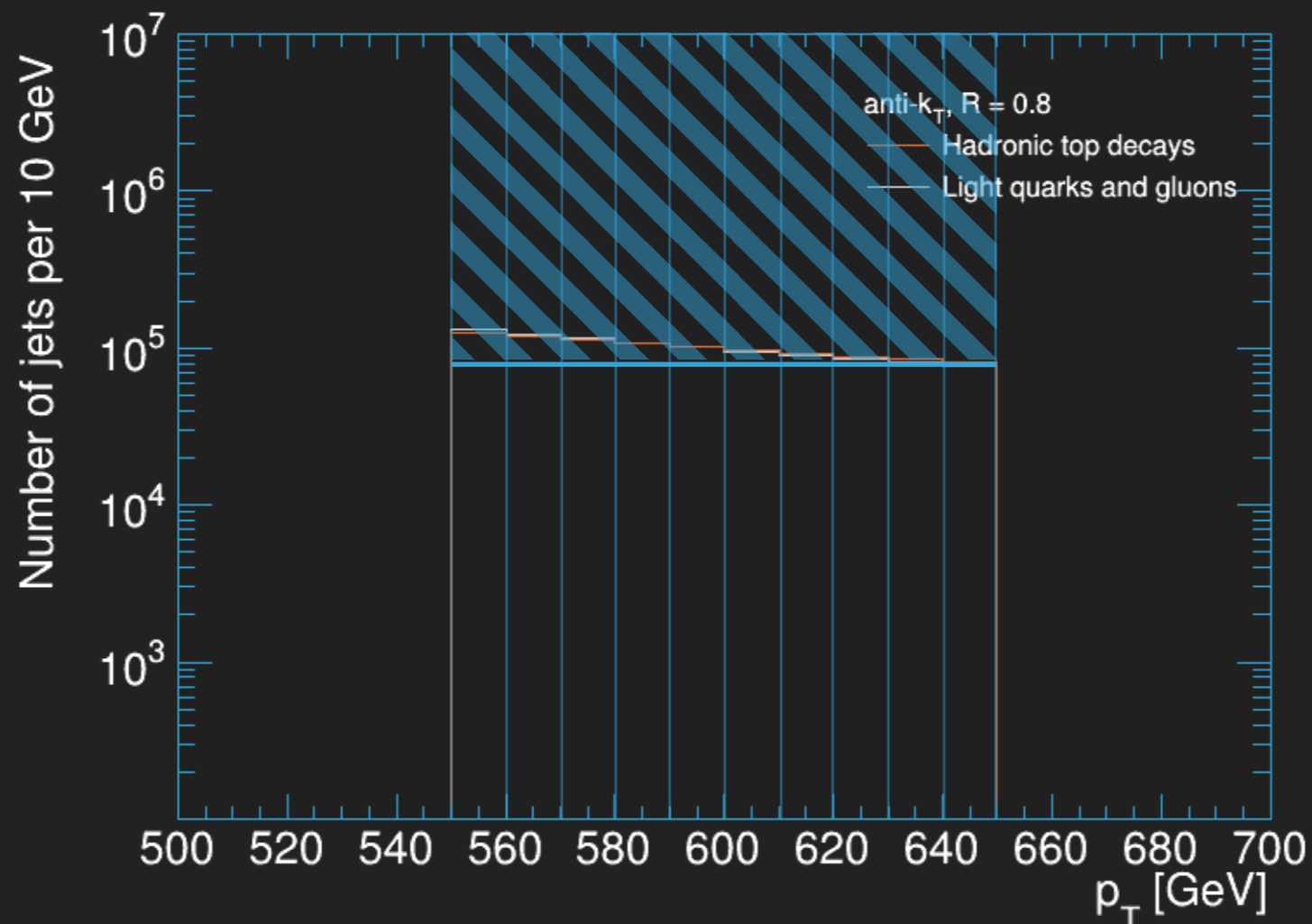
## TRANSFER LEARNING

- ▶ We can also explore how well **LGN** can extrapolate results from one region of phase space to another.
- ▶ Consider the **reconstruction-level jet  $p_T$**  distribution.



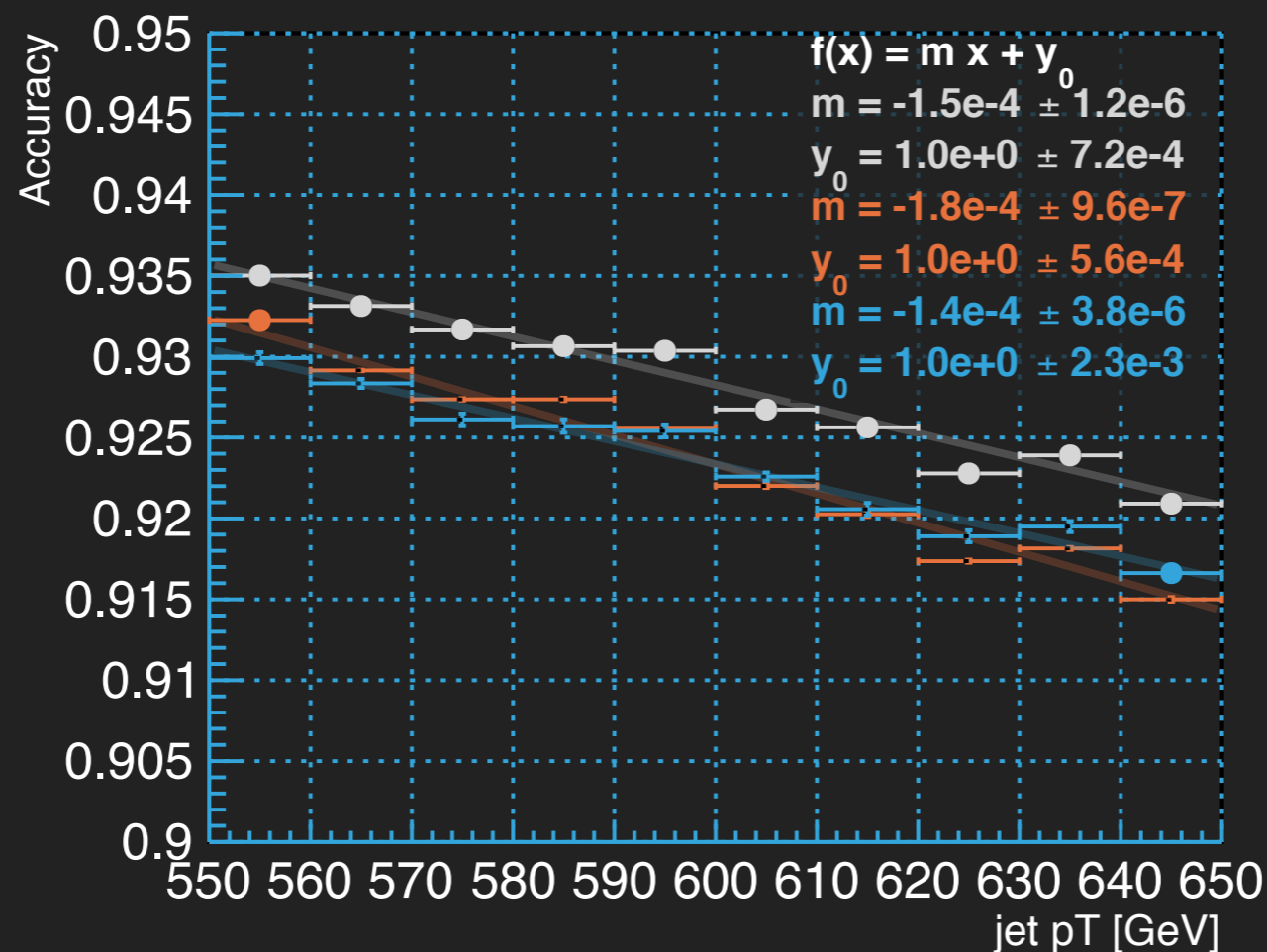
## TRANSFER LEARNING: JET $p_T$

- ▶ We divide the data into ten 10 GeV reco jet  $p_T$  bins.
- ▶ We discard events such that each bin has an even split of signal and background, and the same total number of training events.

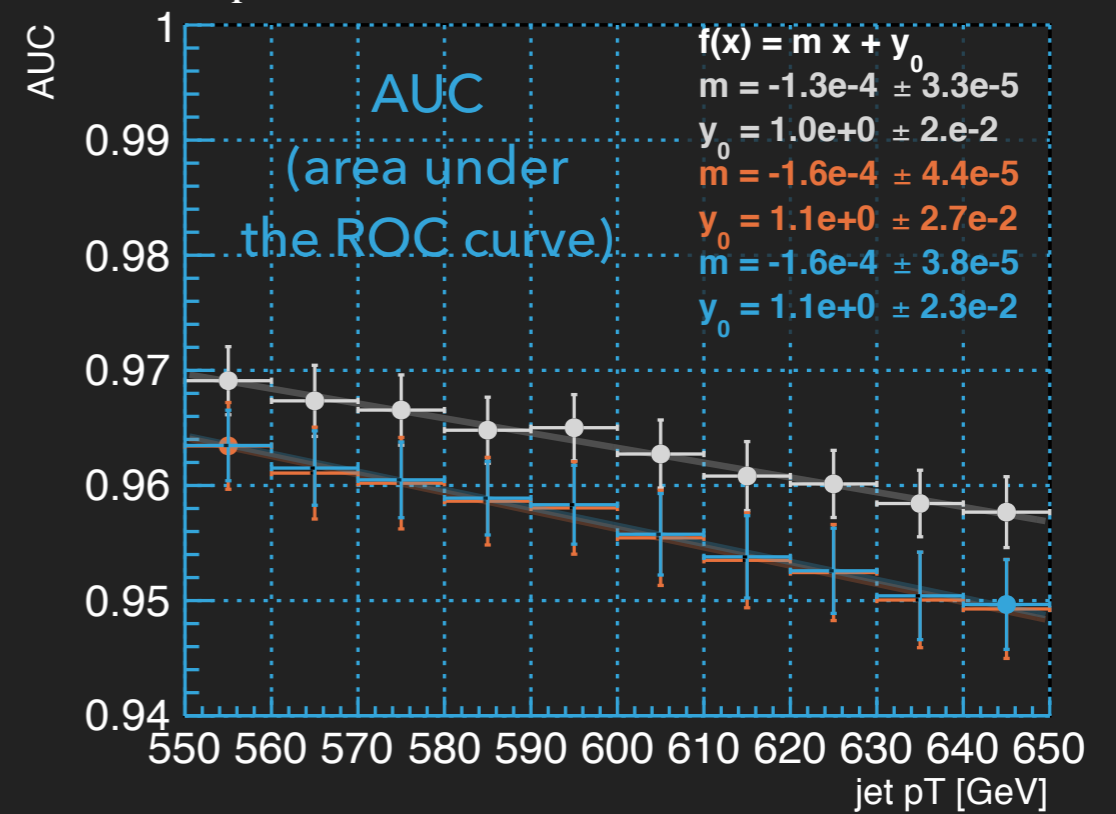
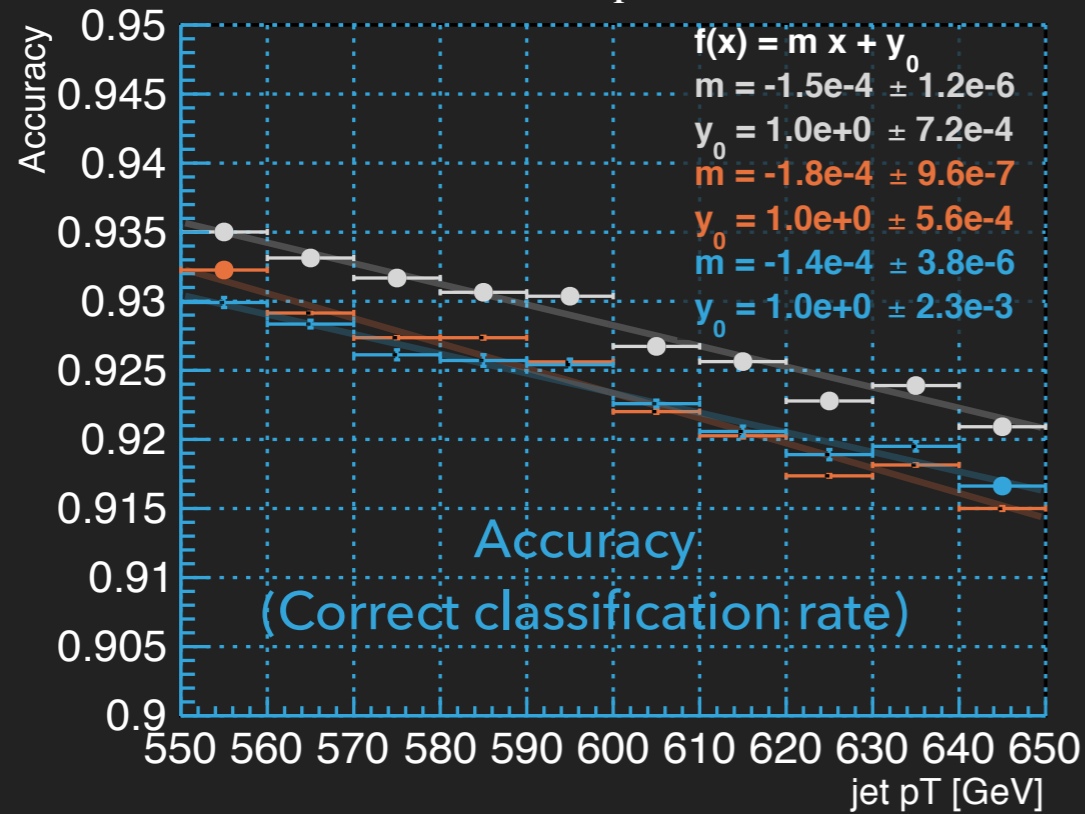


## TRANSFER LEARNING: JET $p_T$

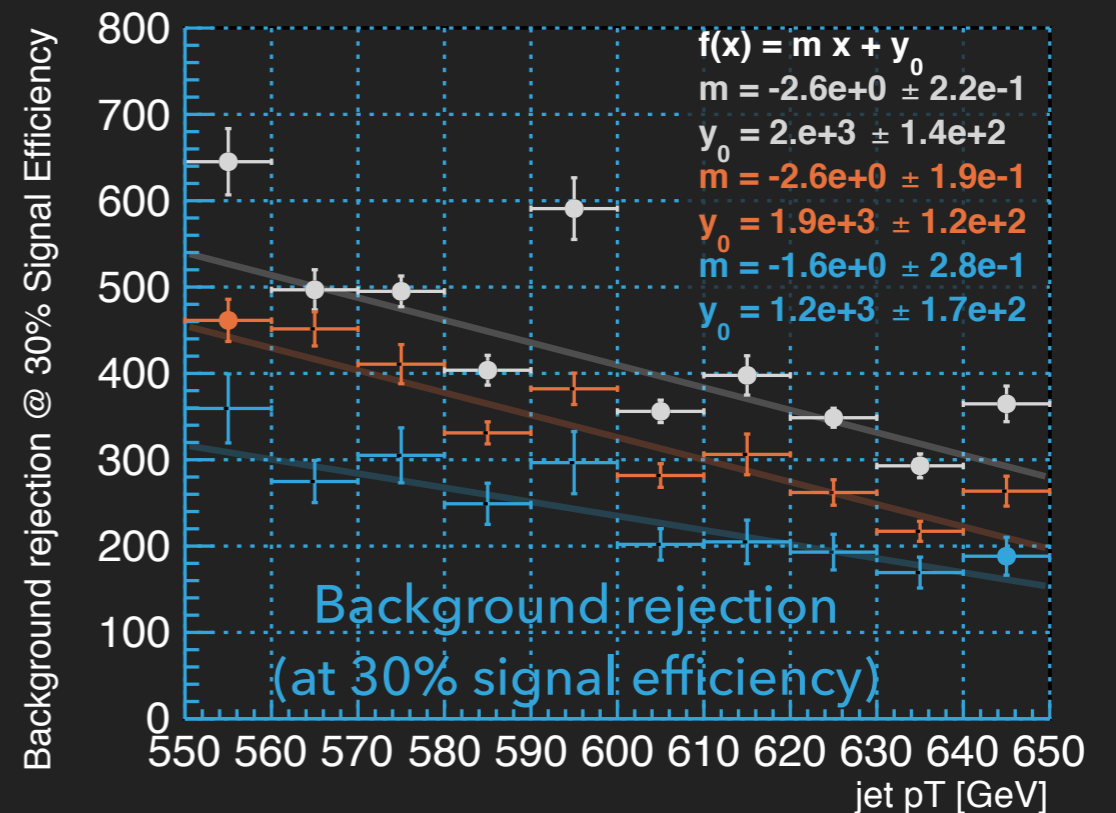
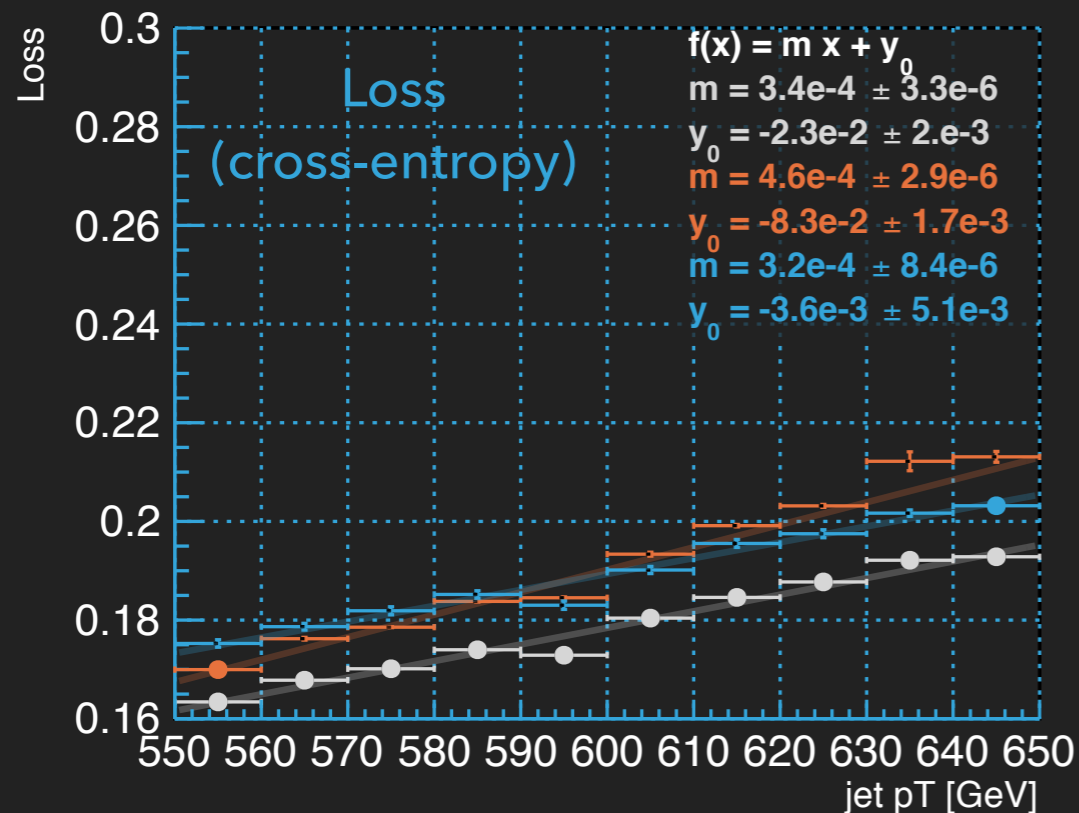
- ▶ **LGN** is relatively agnostic to the jet  $p_T$  bin used for training.
- ▶ Performance is correlated with the jet  $p_T$  of the testing bin, but this correlation is consistent across training bins.



All metrics evaluated on jet  $p_T$  bins from the testing set. Circles indicate the  $p_T$  jet bin(s) used for training.



Each metric is averaged over 6-8 trained instances. Error bars are given by  $\pm 1/2$  standard error on the mean.





## A FEW IMPORTANT DETAILS...

- ▶ **LGN** is currently slow to train.
  - ▶ ~8 hours/epoch, on a single Nvidia GeForce RTX 2080.
  - ▶ Could be improved by parallelization across GPU's, or a custom CUDA kernel.
- ▶ We have not performed a full hyper-parameter scan.
  - ▶ Better-performing configurations *may* exist.

# INTERPRETABILITY

- ▶ **LGN** is not the highest performer, but trades a small amount of performance for prospects of **interpretability**.

	#Param
CNN	610k
ResNeXt	1.46M
TopoDNN	59k
Multi-body $N$ -subjettiness 6	57k
Multi-body $N$ -subjettiness 8	58k
TreeNiN	34k
P-CNN	348k
ParticleNet	498k
LBN	705k
LoLa	127k
LDA	184k
Energy Flow Polynomials	1k
Energy Flow Network	82k
Particle Flow Network	82k
<b>LGN</b>	<b>4.5k</b>



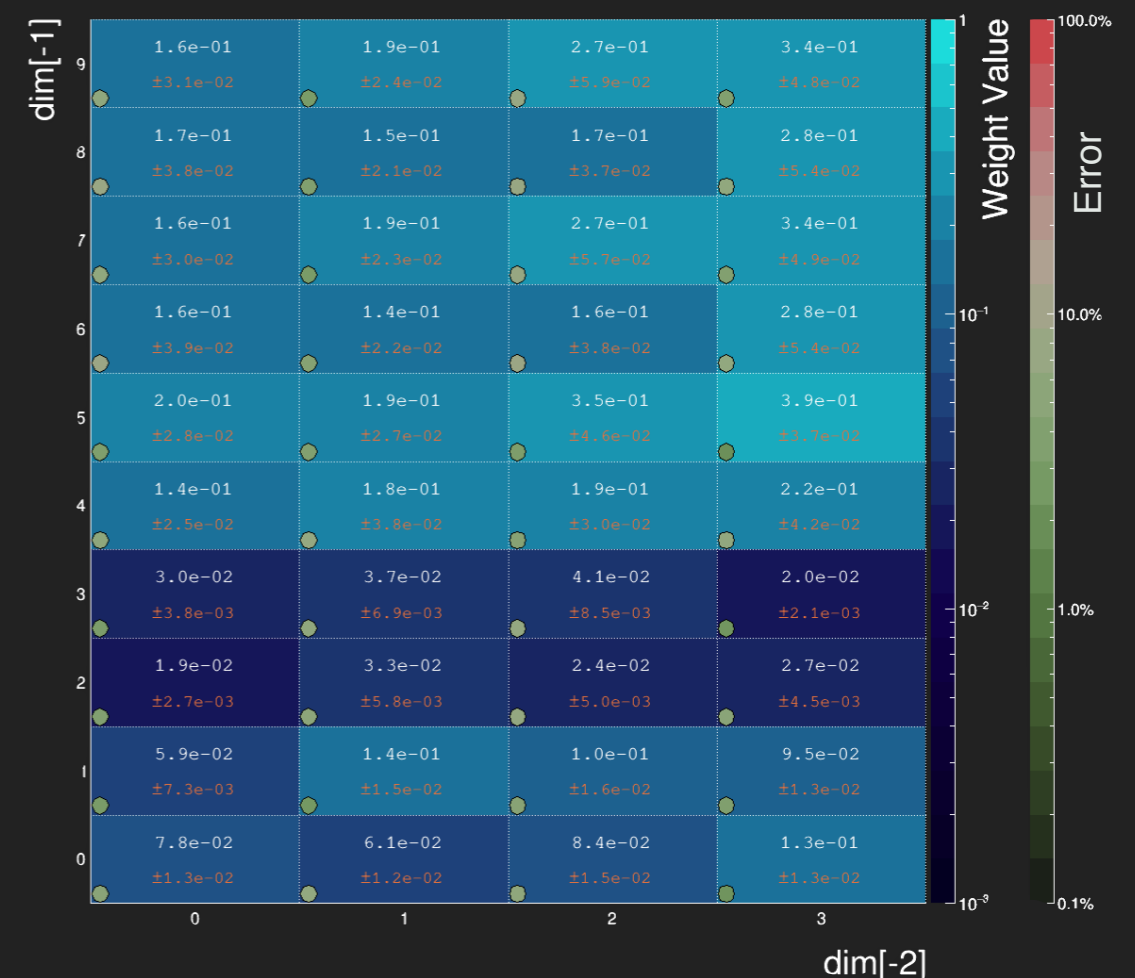
(Work in Progress)

# INTERPRETABILITY

- ▶ **LGN** is not the highest performer, but trades a small amount of performance for prospects of **interpretability**.
- ▶ Among theory-inspired networks, it has far fewer learnable parameters than any others except for **EFP**.

	#Param
LBN	705k
LoLa	127k
LDA	184k
Energy Flow Polynomials	1k
Energy Flow Network	82k
Particle Flow Network	82k
<b>LGN</b>	<b>4.5k</b>

lgn\_cg.atom\_levels.0.cat\_mix.mix\_reps.weights.(1, 1) (mag)



(Work in Progress)

# INTERPRETABILITY

- Furthermore, these parameters correspond with physically-meaningful quantities – **Lorentz-equivariant** expressions formed by tensor products of momenta.



(Work in Progress)

## CONCLUSION

- ▶ To the best of our knowledge, **LGN** is the first example of a neural network in particle physics with the symmetries of the Lorentz group fully embedded in the architecture.
- ▶ This architecture can be naturally extended for data containing additional particle information, such as charge.
- ▶ Furthermore, **LGN** can in principle be used for **Lorentz-covariant** tasks, such as four-momentum regression.

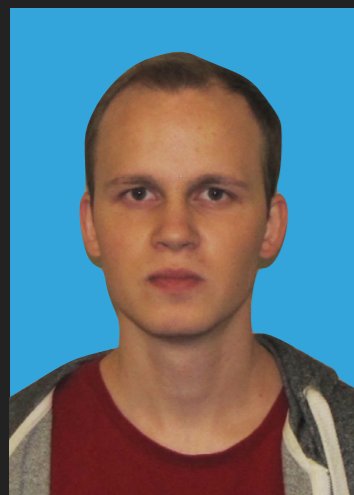
## ONGOING AND NEAR-FUTURE WORK

- ▶ Studying irrep mixing weights.
  - ▶ Are there patterns among better-performing networks?
  - ▶ Correlations with training bin jet  $p_T$ ?
- ▶ Covariant top quark four-momentum measurement.
  - ▶ Can we predict momenta in  $t \rightarrow W(q\bar{q})b$ ?\*

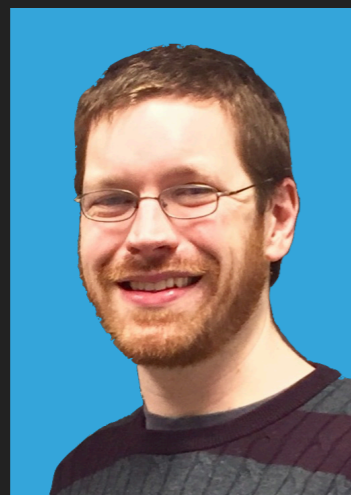
\* requires a different dataset

## REFERENCES

- ▶ Past talks:
  - ▶ ML4Jets 2020: <https://indi.to/xmXL8>
  - ▶ ICML 2020: <https://icml.cc/virtual/2020/poster/5843>
- ▶ Papers:
  - ▶ ICML 2020: <https://arxiv.org/abs/2006.04780>
  - ▶ A more HEP-oriented companion paper coming soon...
- ▶ GitHub: <https://github.com/fizisist/LorentzGroupNetwork>



Alexander Bogatskiy



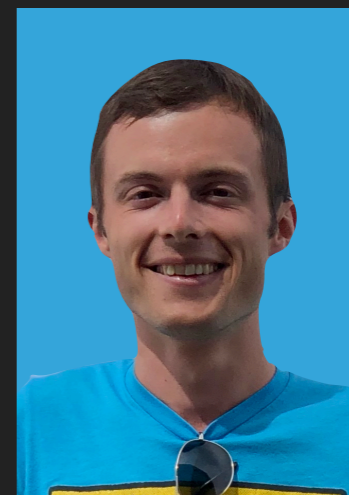
Brandon Anderson



Risi Kondor



David Miller



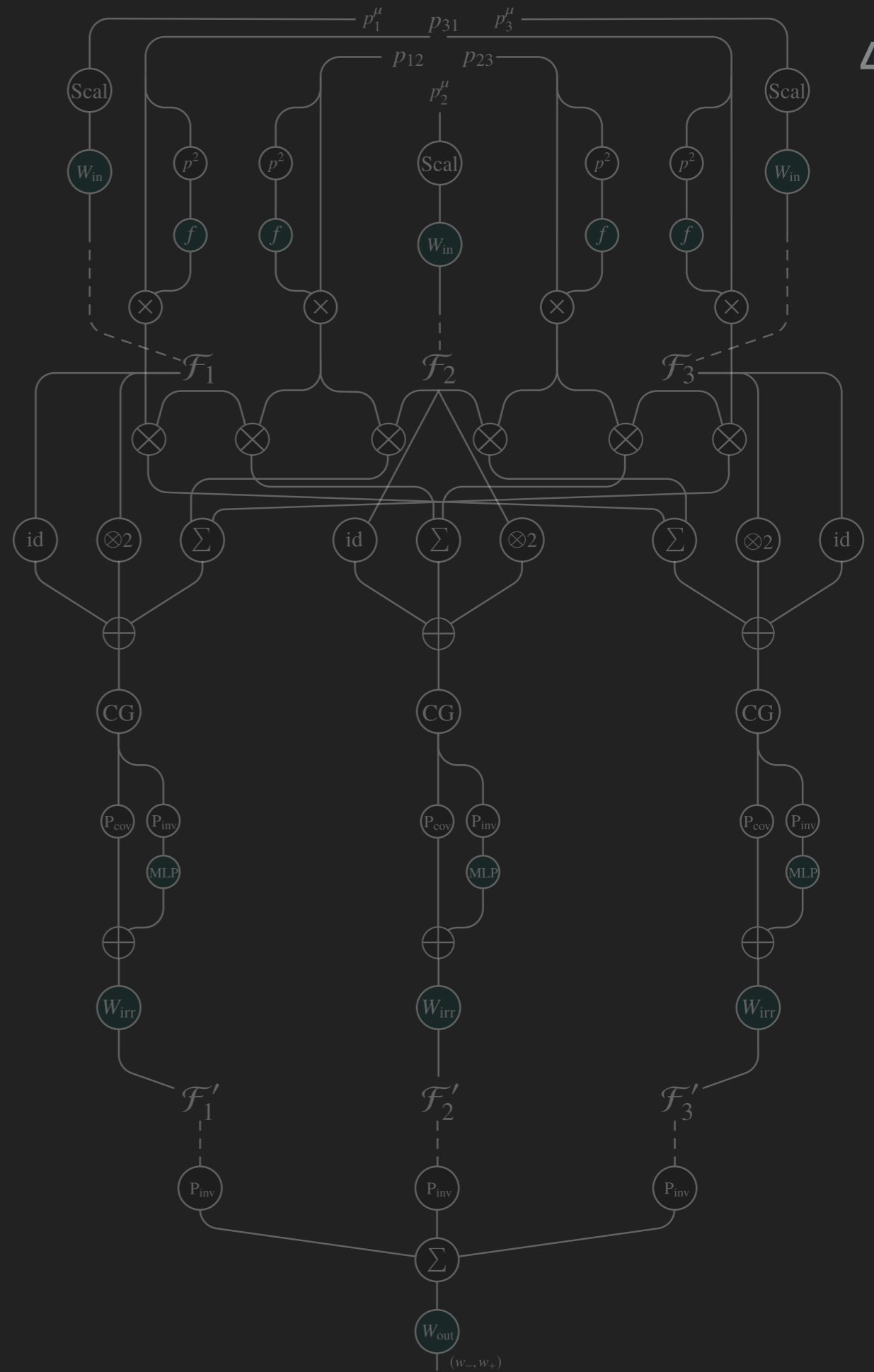
Jan Offermann



Marwah Roussi



# BACKUP



1) DATASET KINEMATIC DISTRIBUTIONS

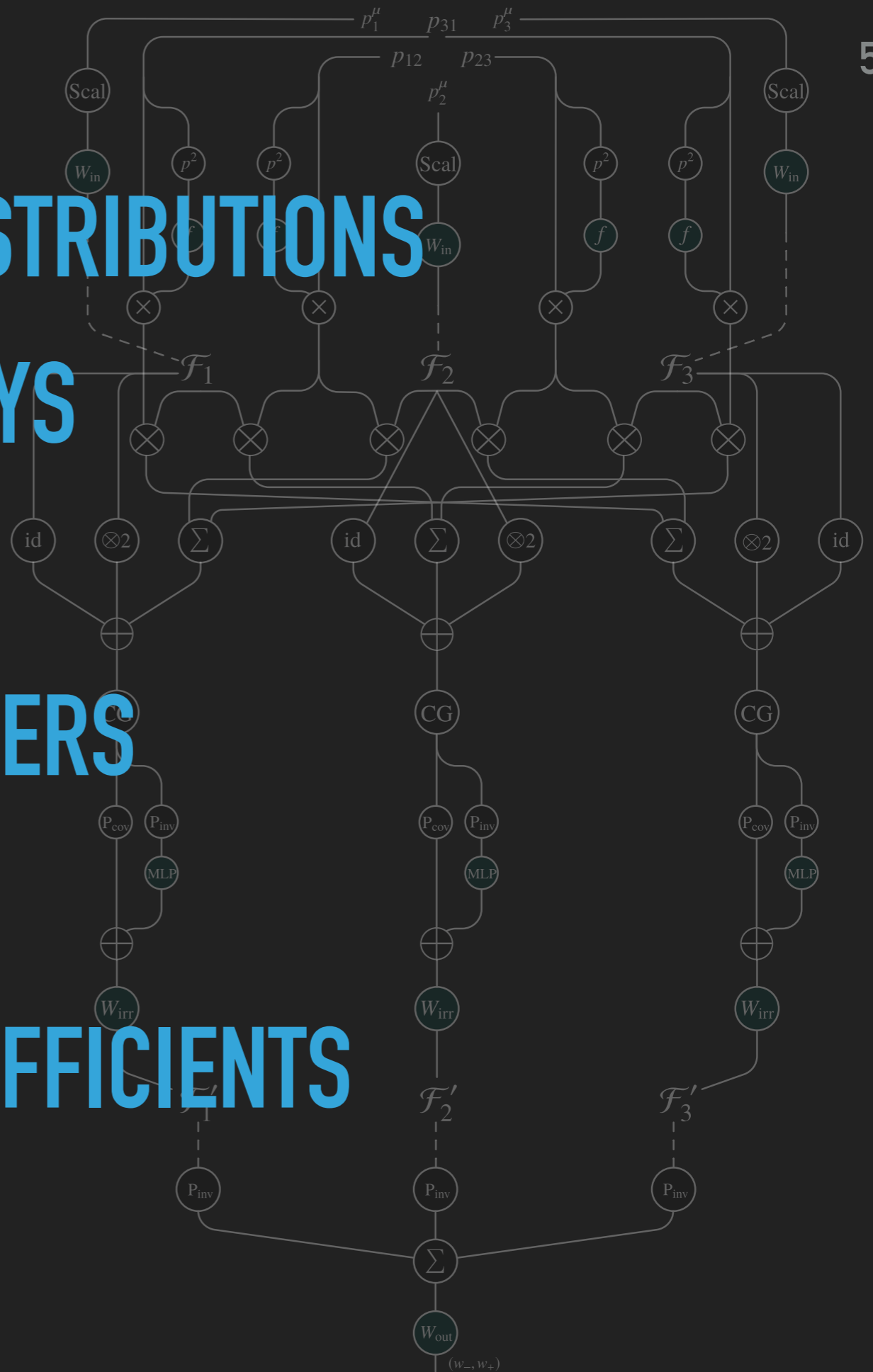
2) DATASET EVENT DISPLAYS

3) TRAINING STATS

4) COMPARISON TOP TAGGERS

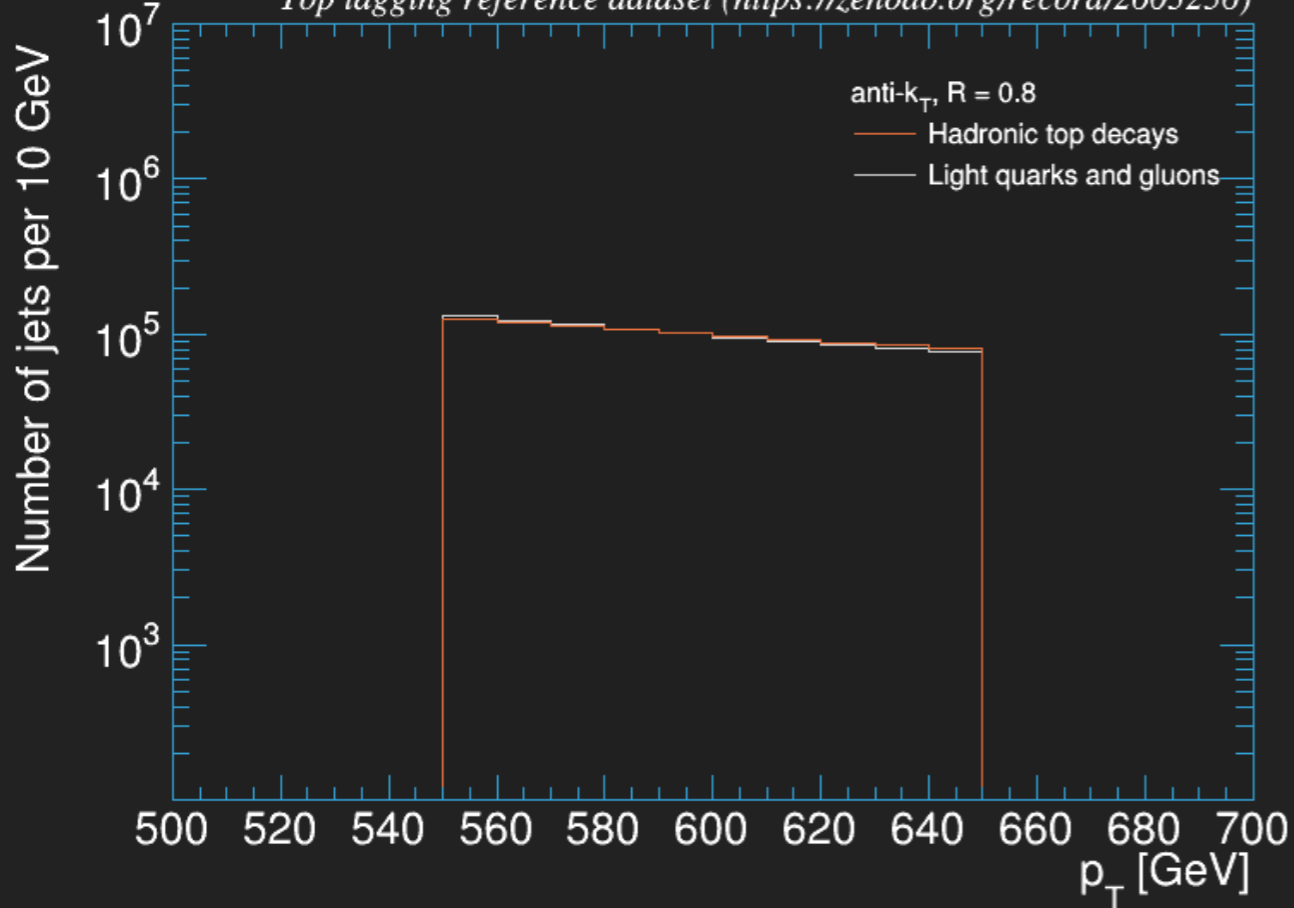
5) SCHUR'S LEMMA

6) CLEBSCH-GORDAN COEFFICIENTS

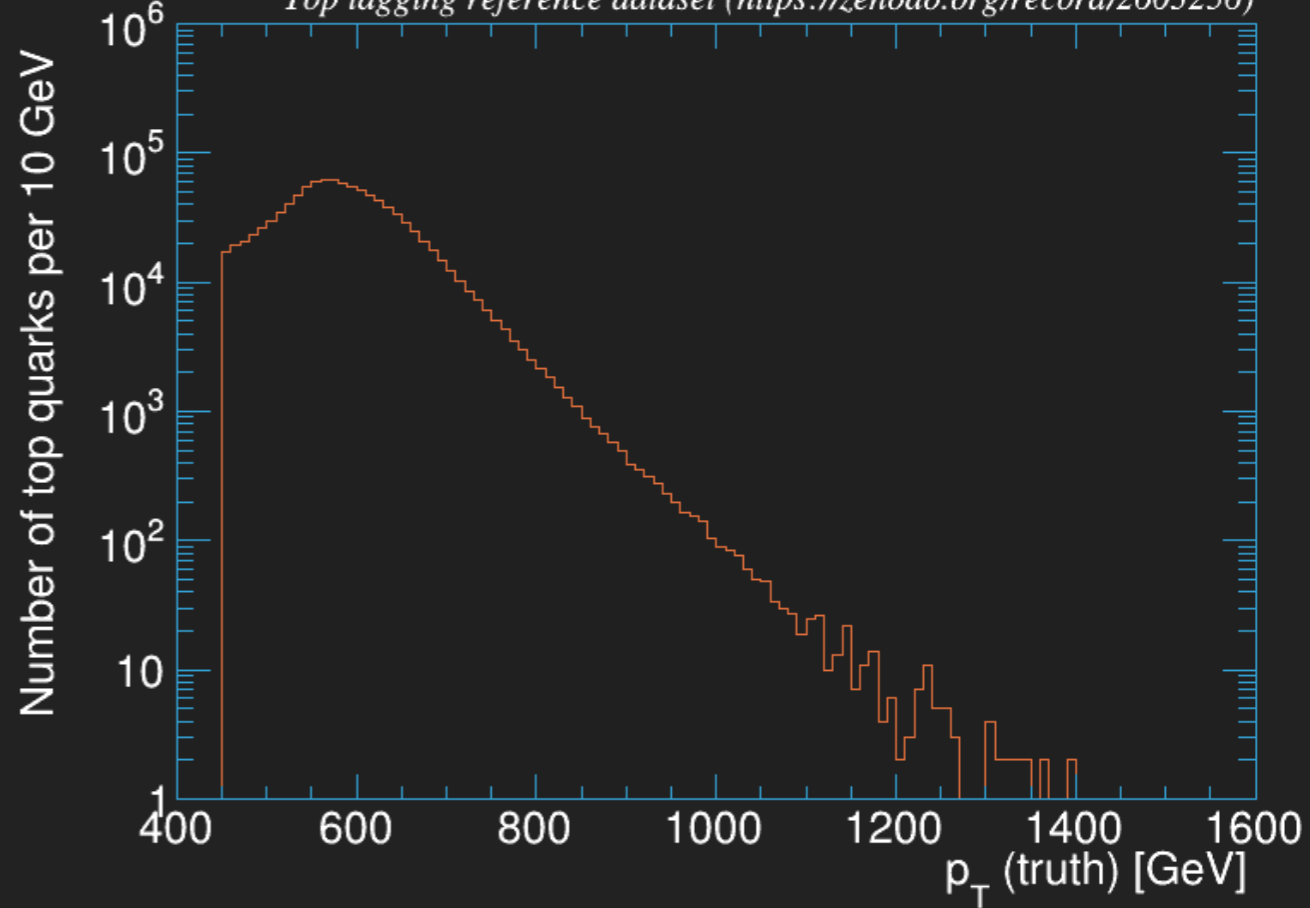


# JET $p_T$

Top tagging reference dataset (<https://zenodo.org/record/2603256>)

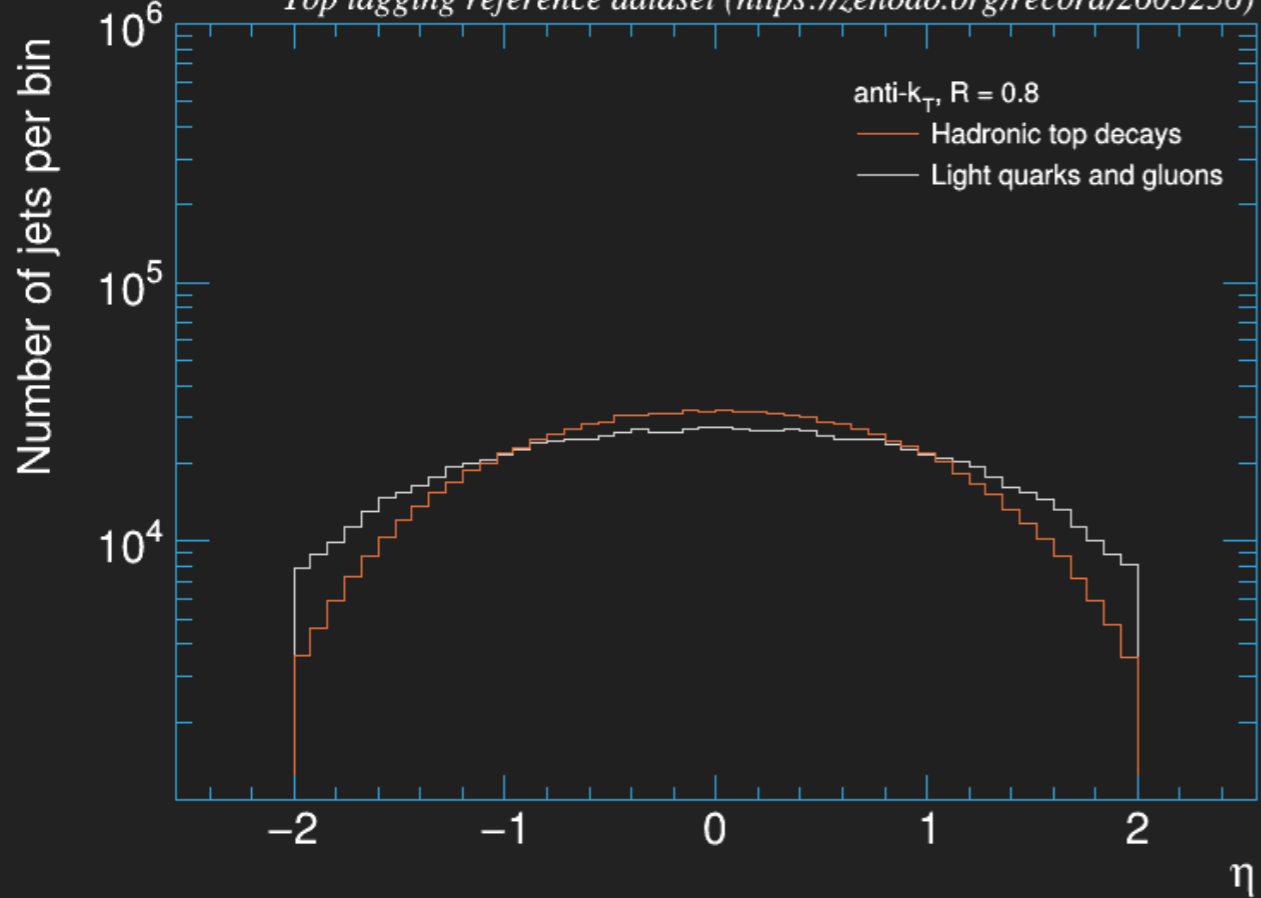


Top tagging reference dataset (<https://zenodo.org/record/2603256>)

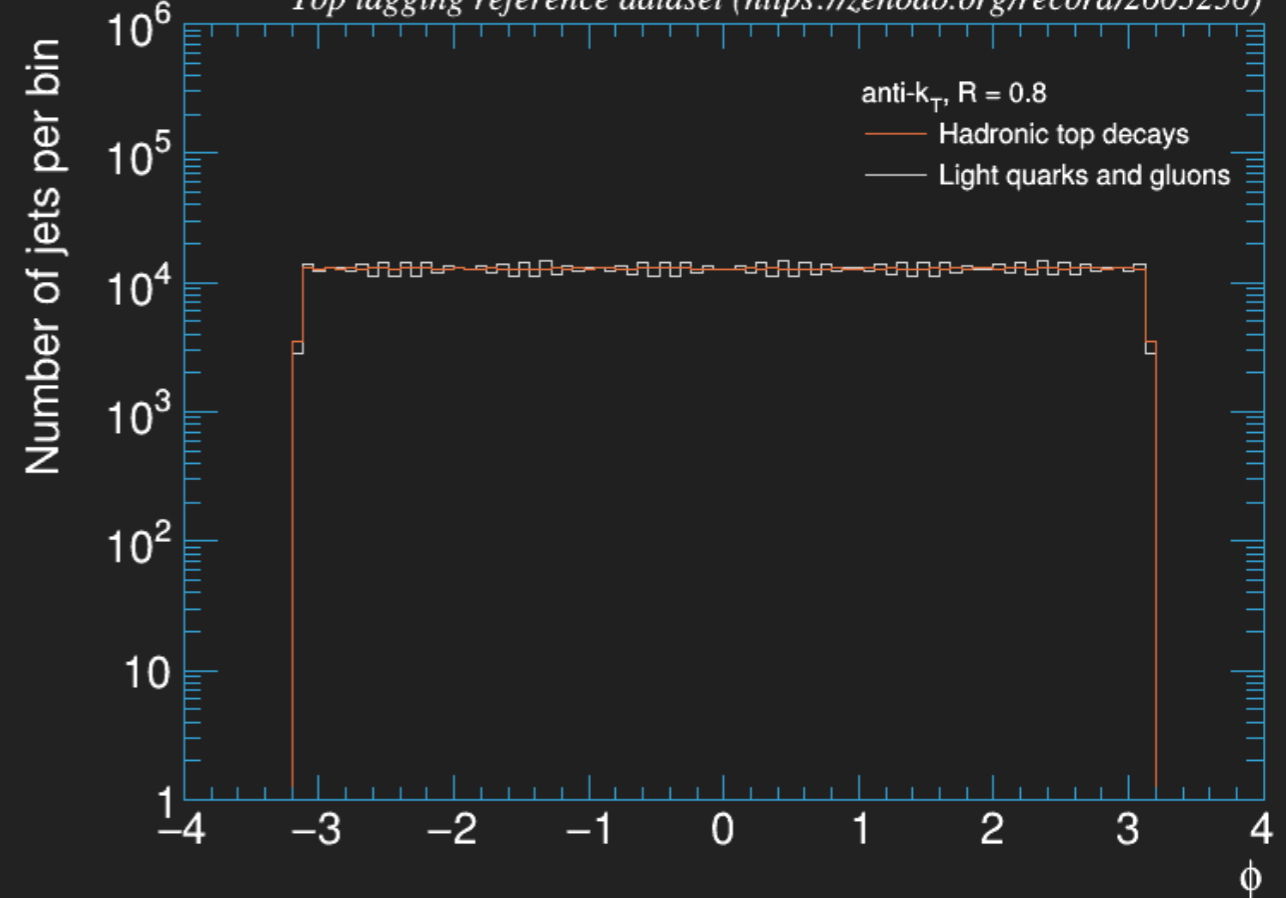


# JET $\eta$ & $\phi$

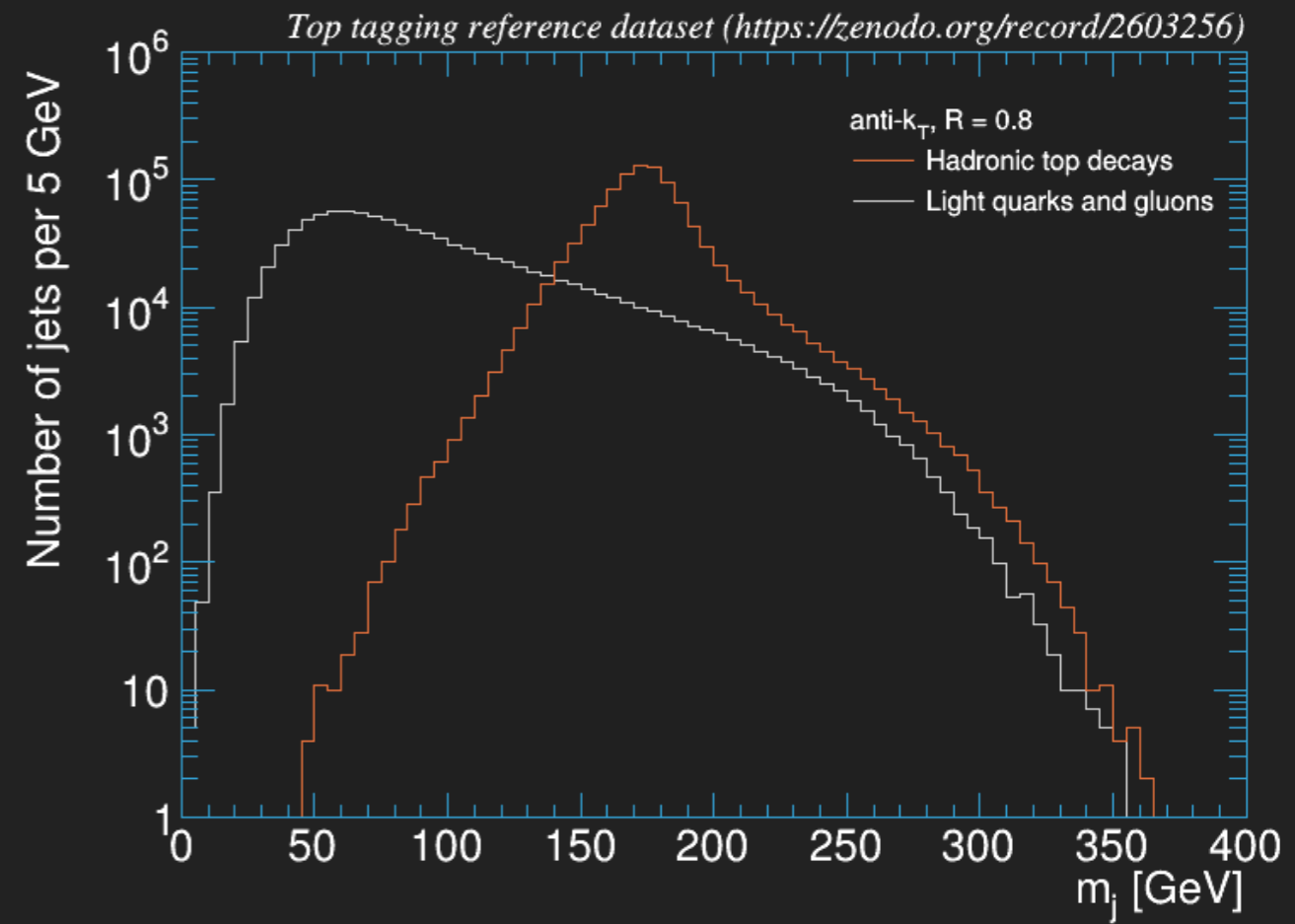
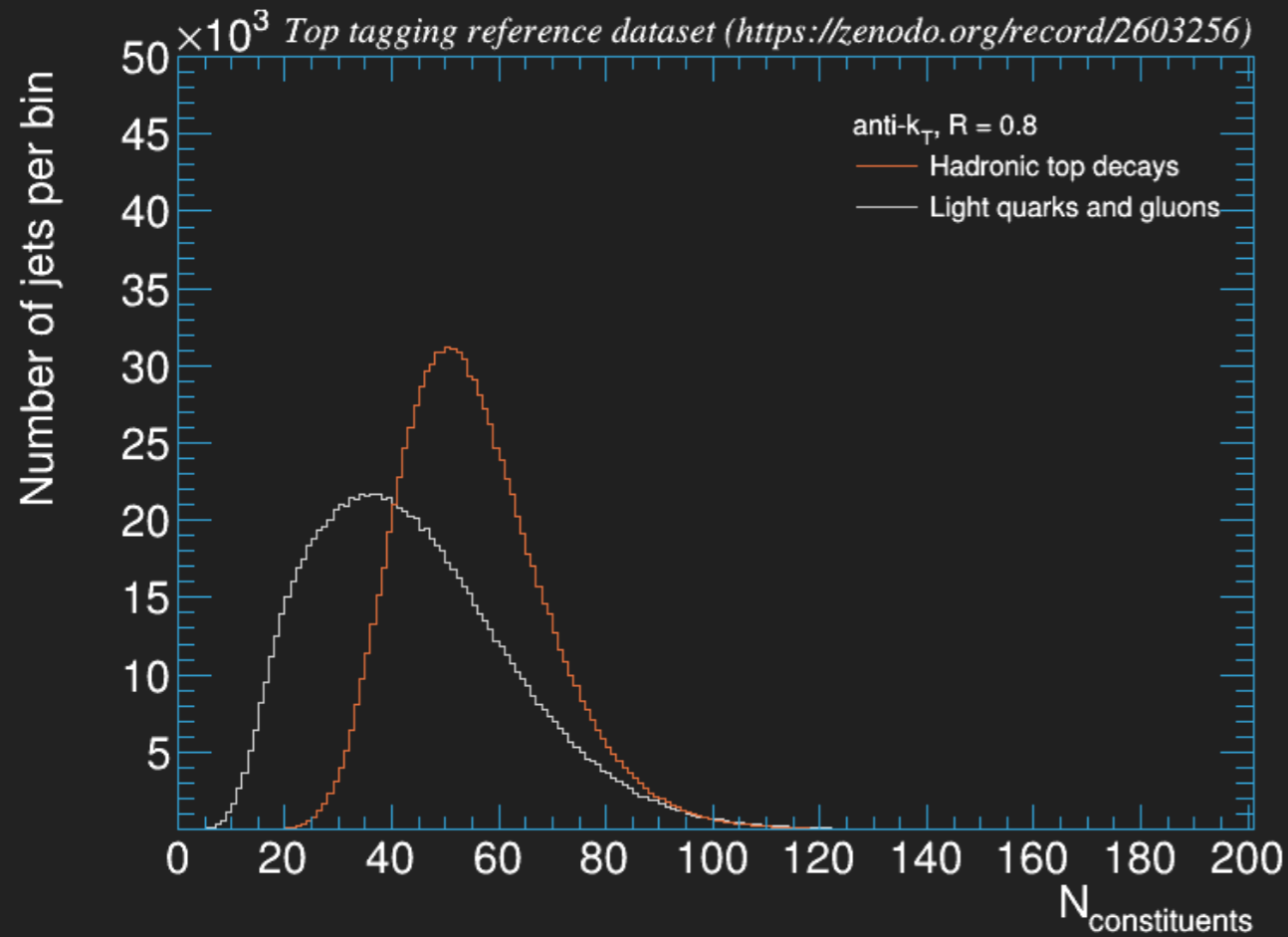
Top tagging reference dataset (<https://zenodo.org/record/2603256>)



Top tagging reference dataset (<https://zenodo.org/record/2603256>)

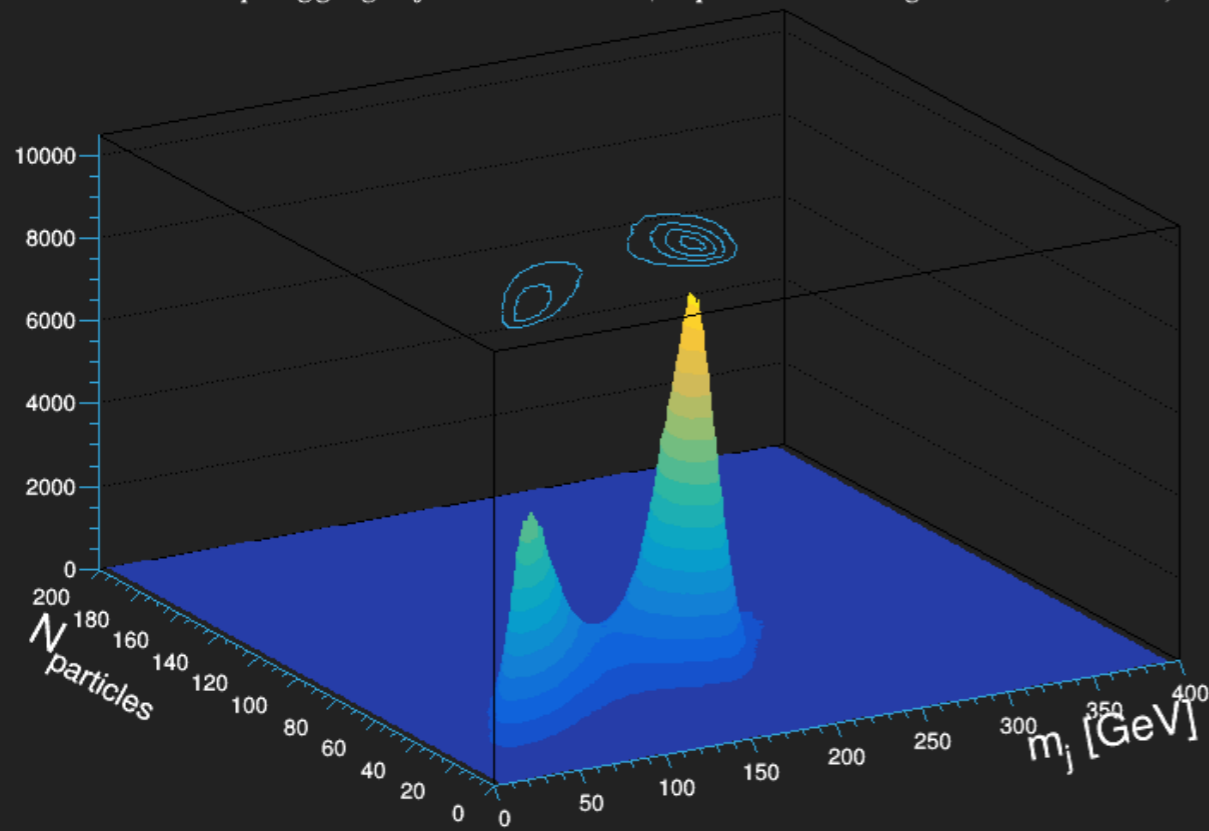


# JET NUMBER OF CONSTITUENTS & $m$

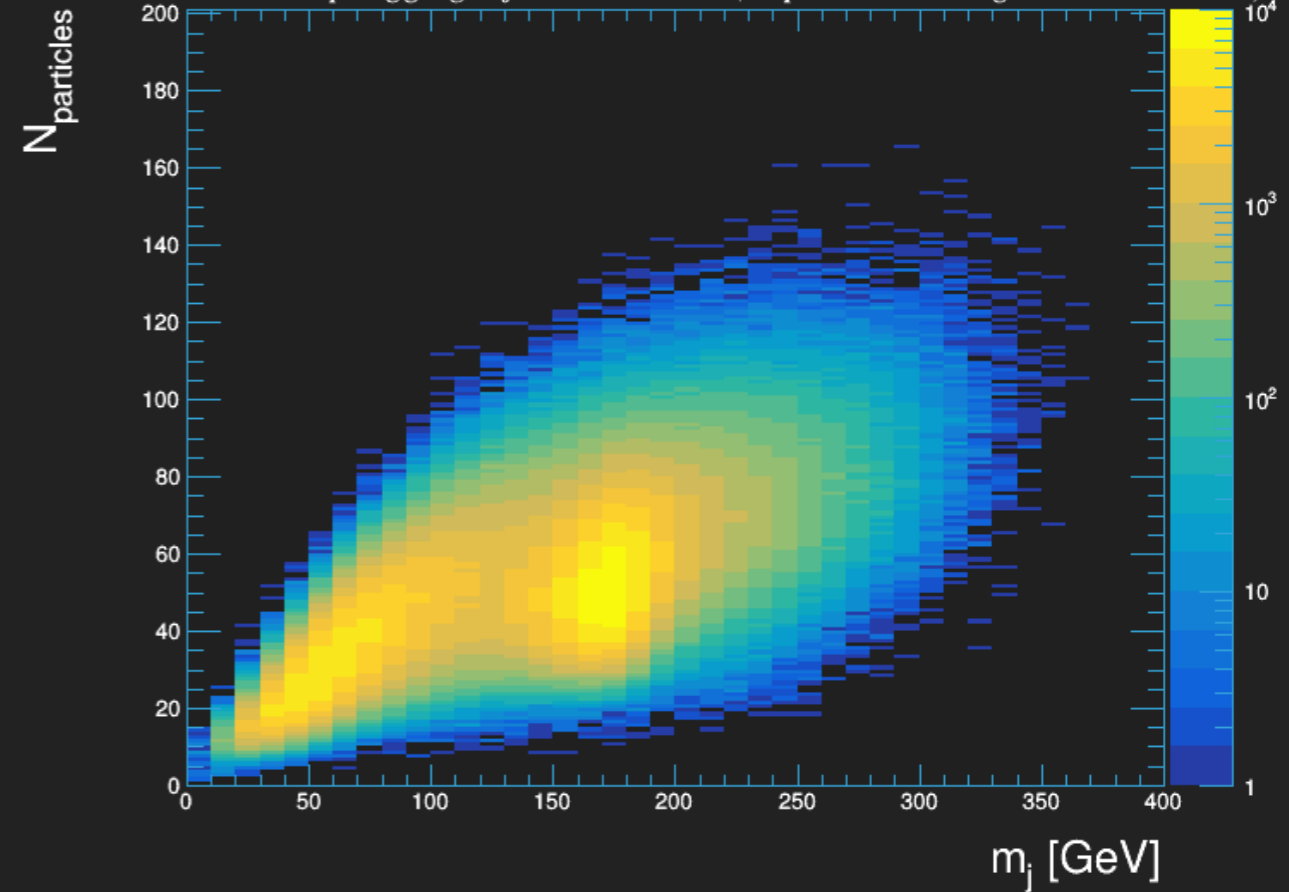


# JET NUMBER OF CONSTITUENTS VS. $m$

Top tagging reference dataset (<https://zenodo.org/record/2603256>)

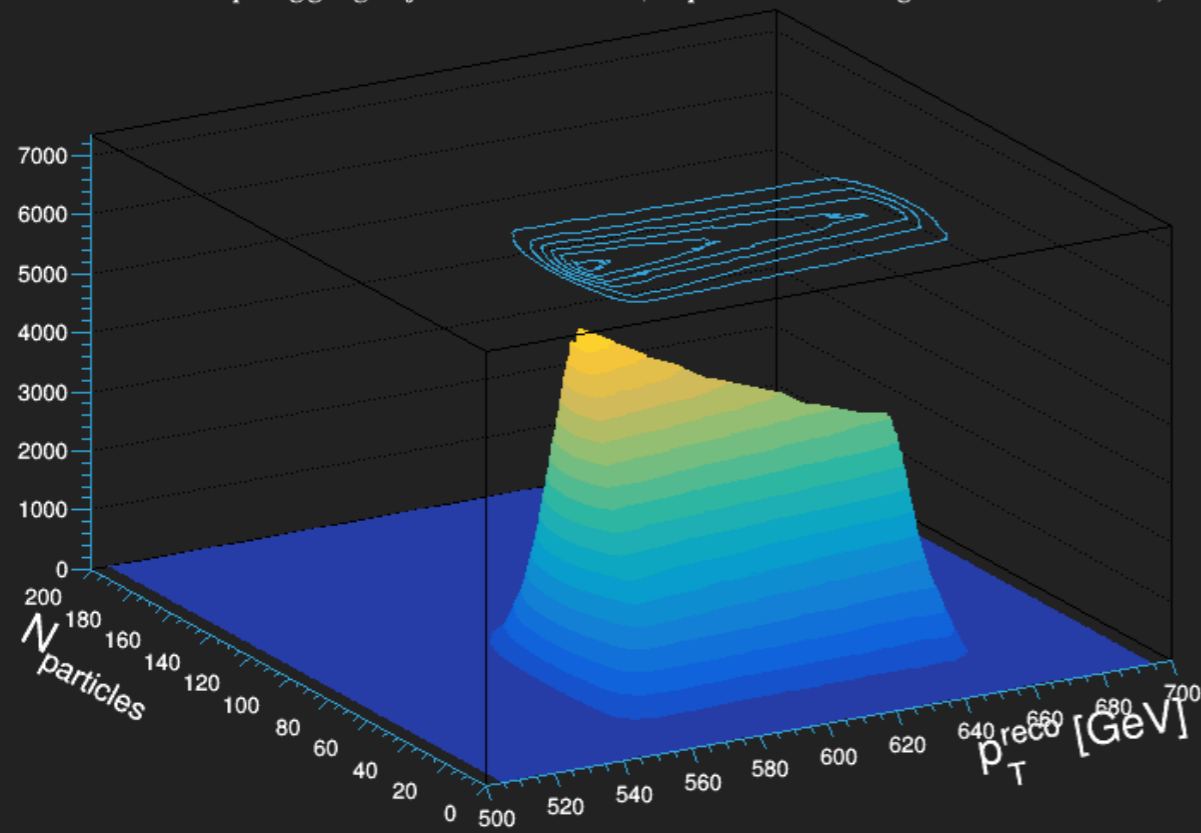


Top tagging reference dataset (<https://zenodo.org/record/2603256>)

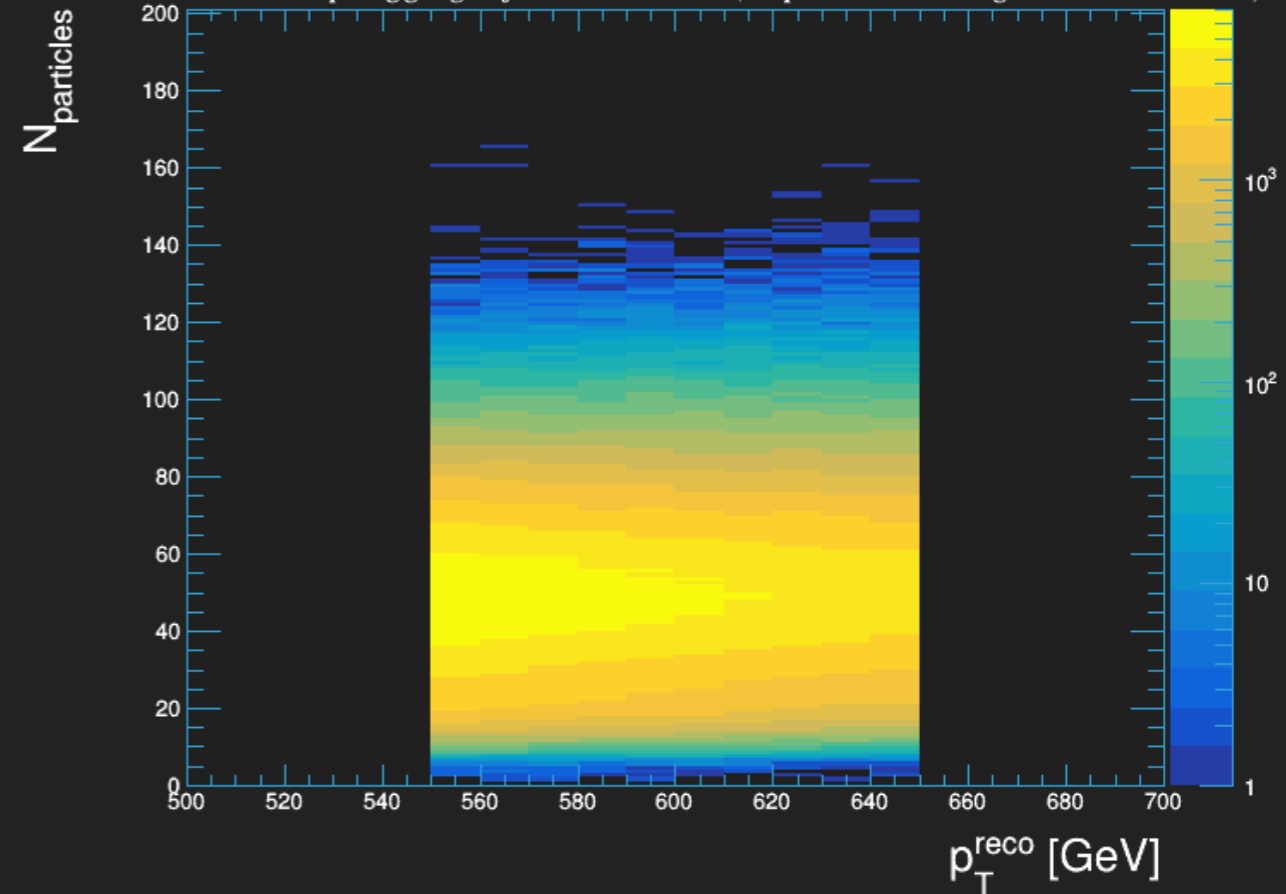


# JET NUMBER OF CONSTITUENTS VS. $p_T$

Top tagging reference dataset (<https://zenodo.org/record/2603256>)

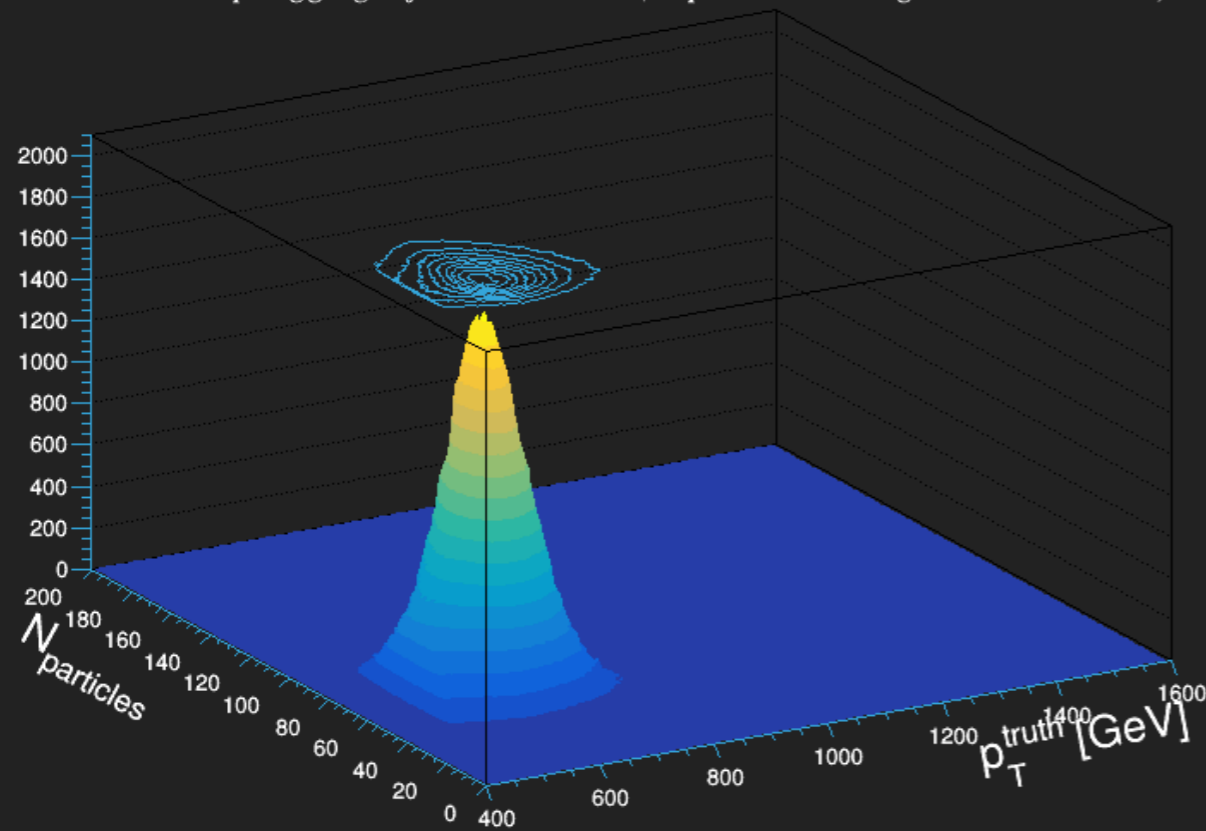


Top tagging reference dataset (<https://zenodo.org/record/2603256>)

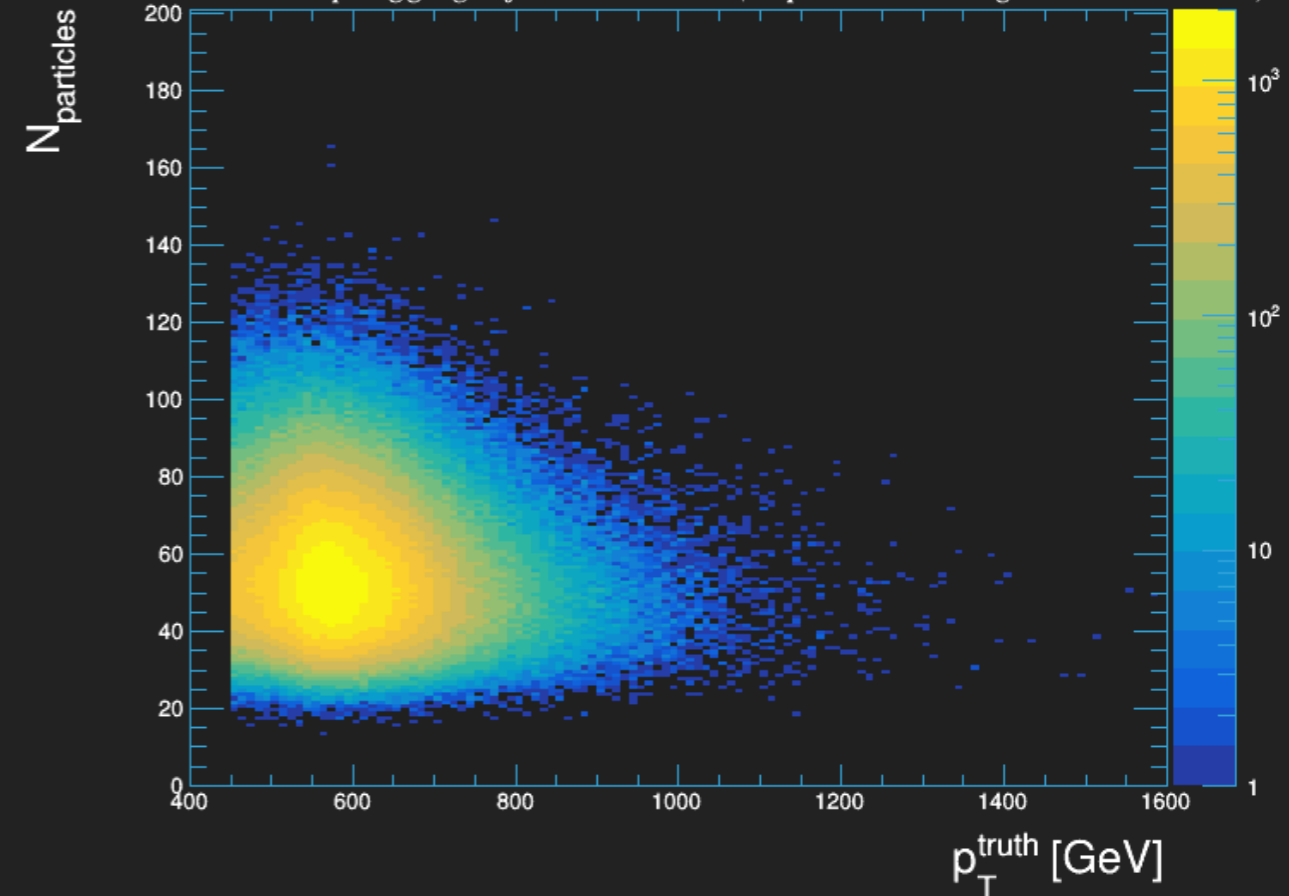


# JET NUMBER OF CONSTITUENTS VS. $p_T$

Top tagging reference dataset (<https://zenodo.org/record/2603256>)

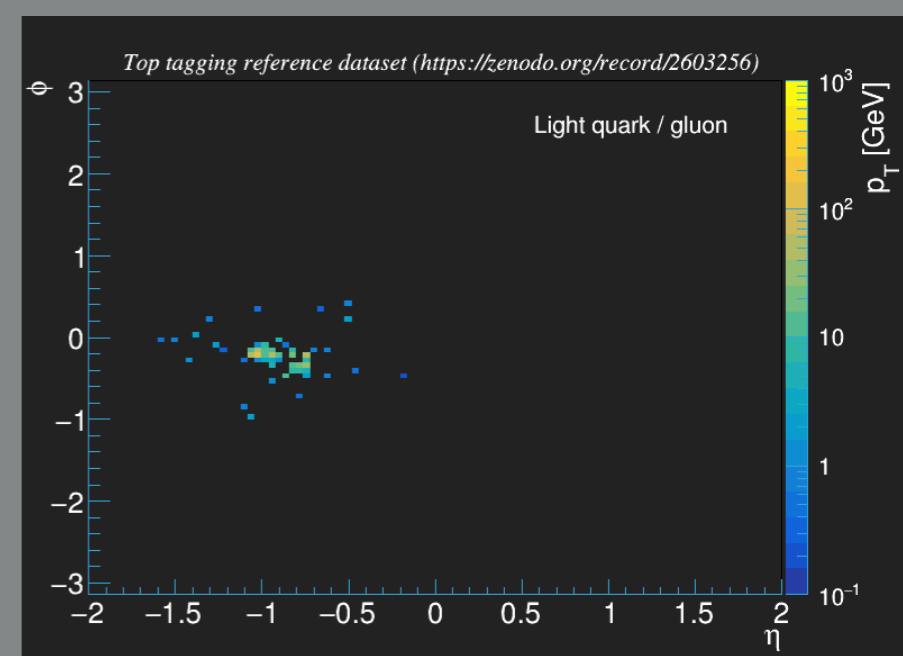
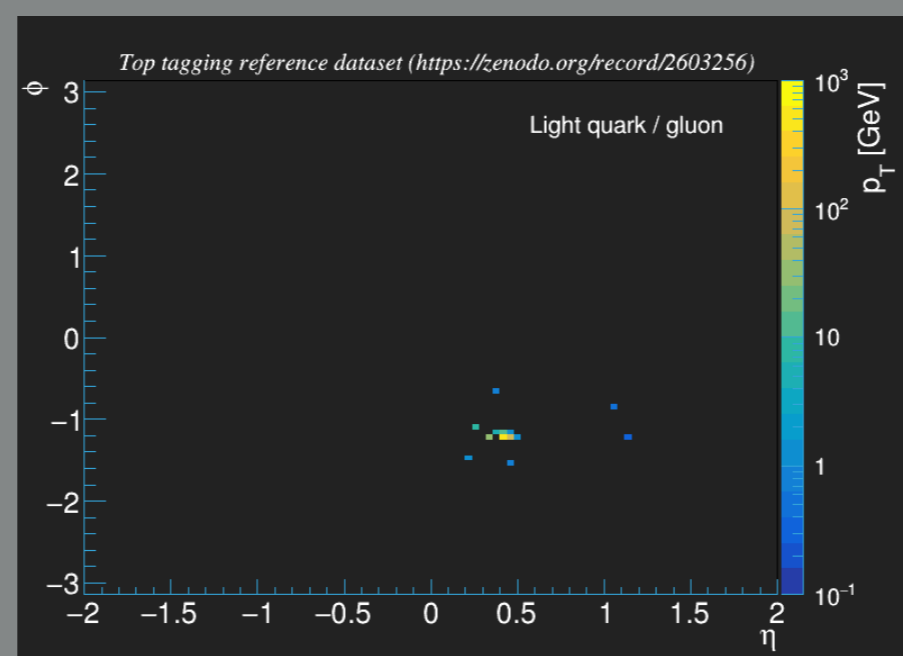
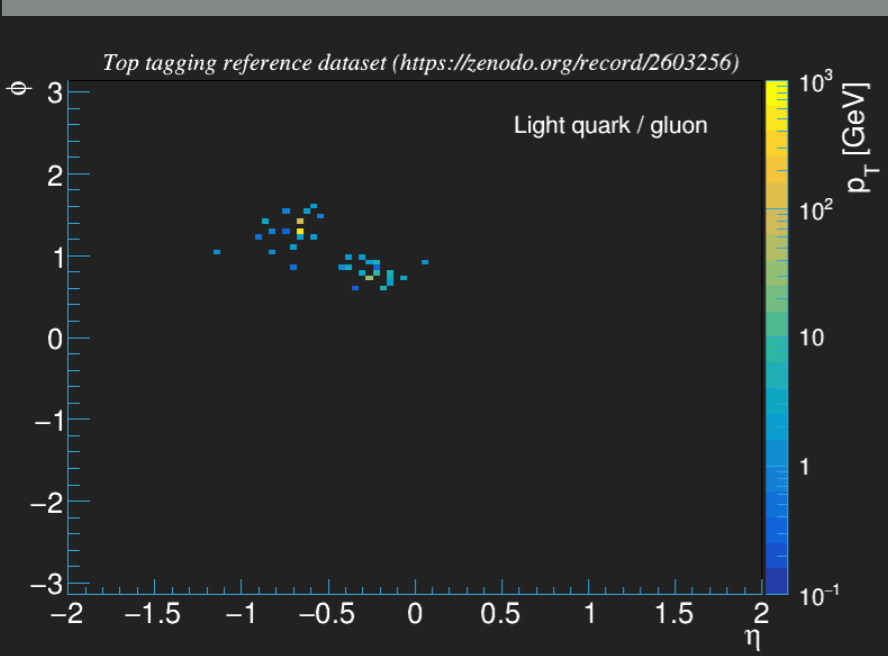
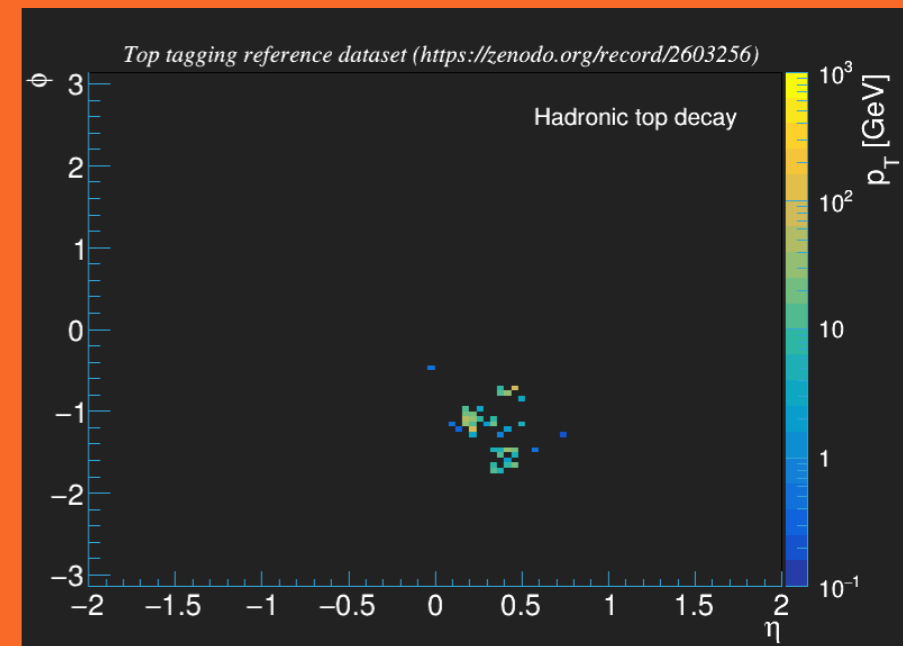
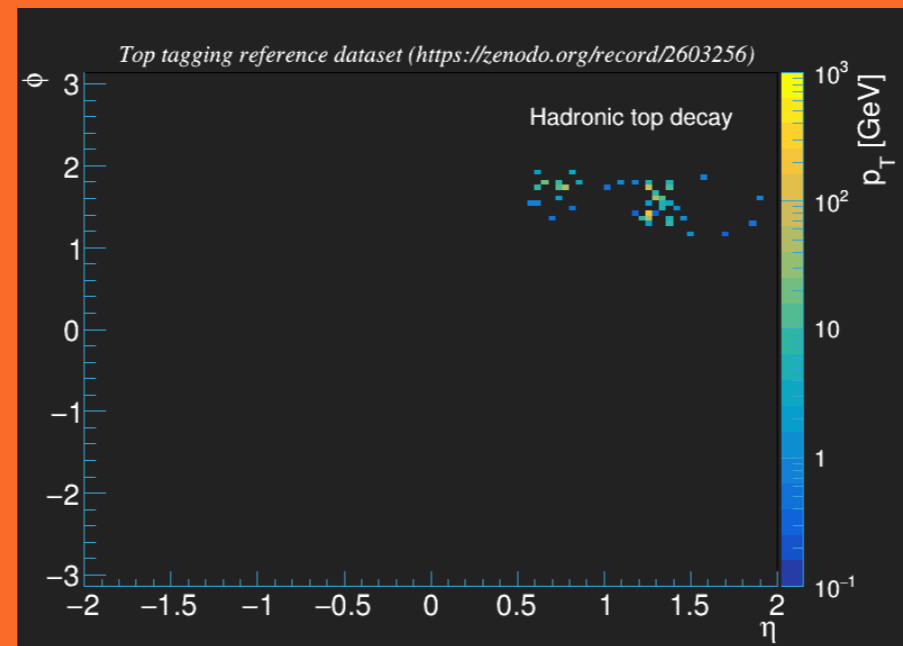
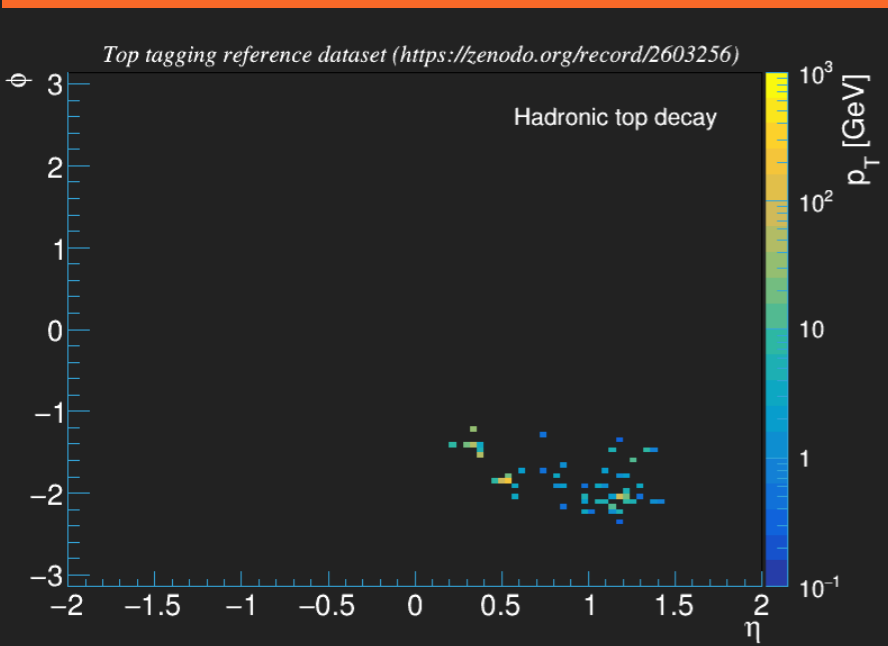


Top tagging reference dataset (<https://zenodo.org/record/2603256>)





# SAMPLE EVENT DISPLAYS (SIGNAL & BACKGROUND)



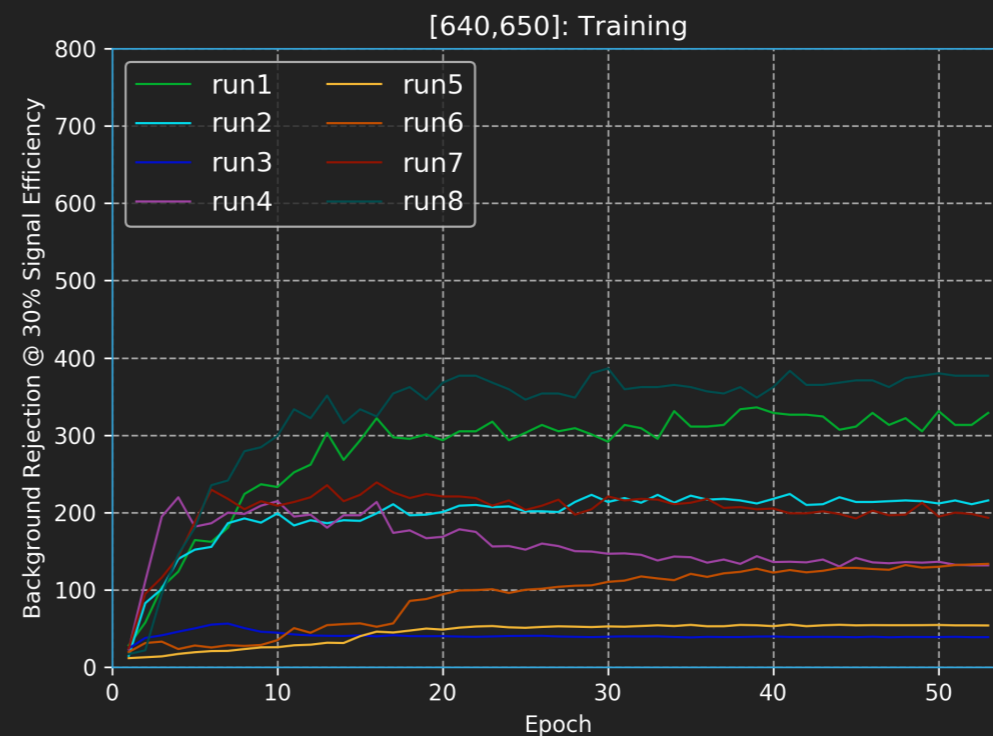
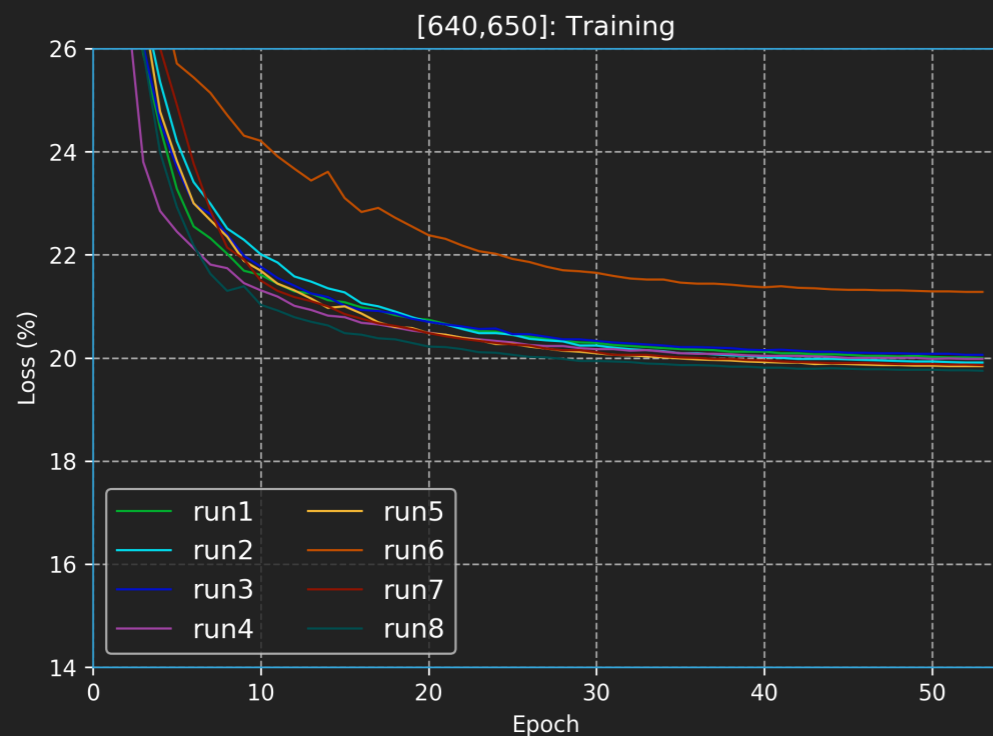
# TRAINING ON THE FULL DATASET

Note: Data from earlier epochs missing for run2.





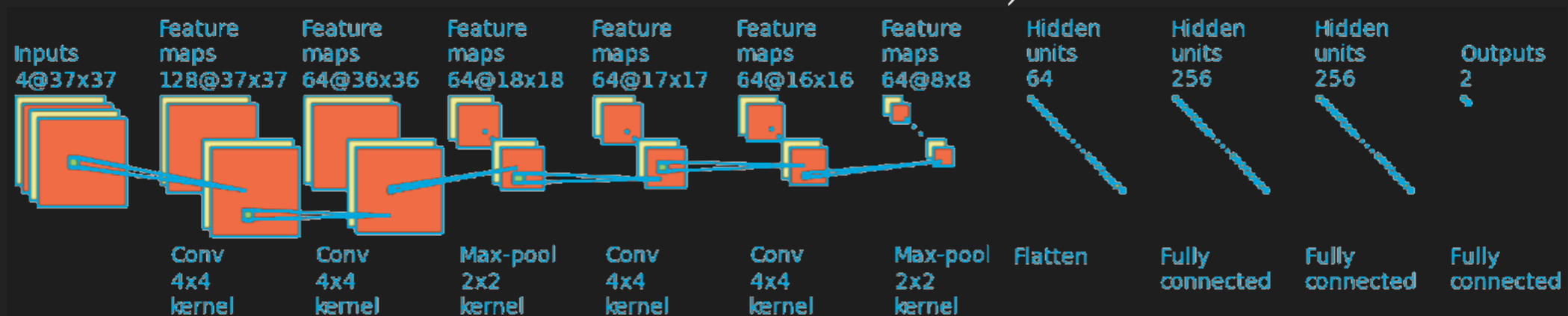
# TRAINING ON $p_T \in [640, 650]$ GeV





# CNN

- ▶ Uses jet images (in  $(\eta, \phi)$ ).
  - ▶ Images are pre-processed by centering on the jet's  $p_T$ -weighted centroid, rotating so that the 2nd highest-intensity cluster is along the vertical axis.
- ▶ Takes advantage of multiple channels  $(p_T^{\text{neutral}}, p_T^{\text{track}}, N^{\text{track}}, N^{\text{muon}})$ .





## RESNEXT

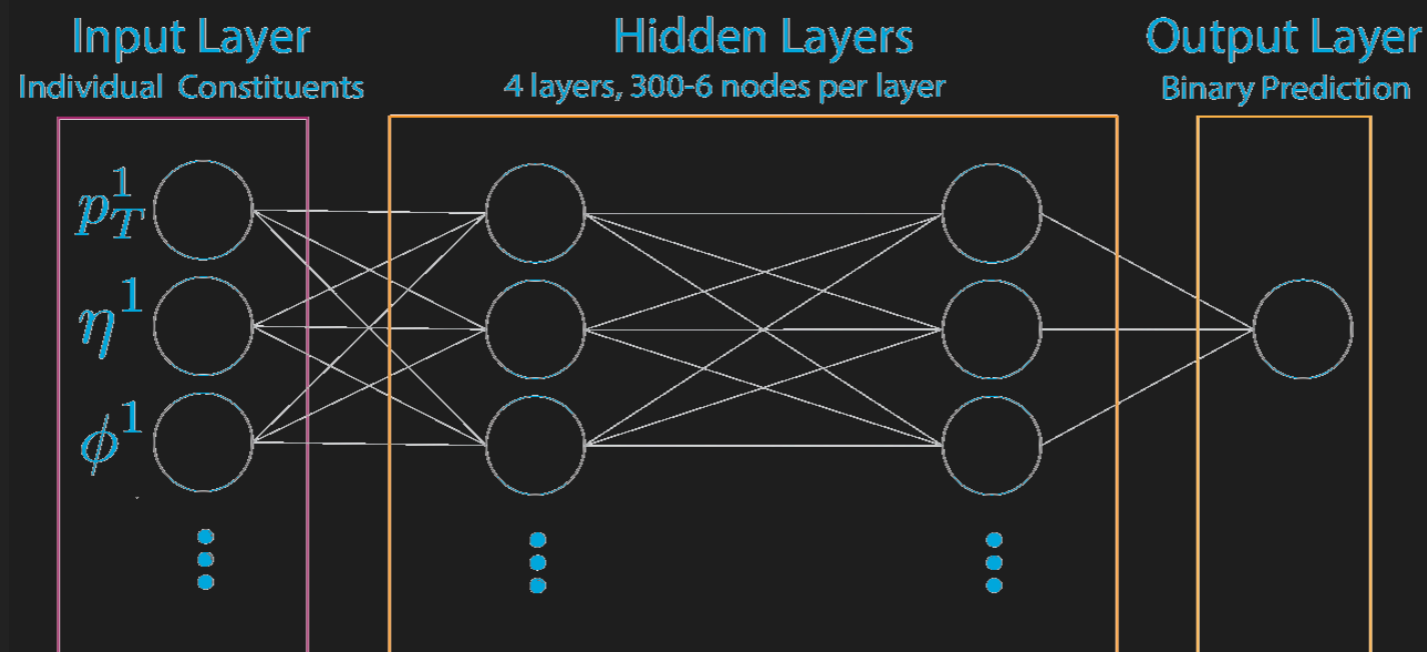
- ▶ Uses jet images (in  $(\eta, \phi)$ ).
  - ▶ Images are pre-processed by centering on the jet's  $p_T$ -weighted centroid.
- ▶ An “out-of-the-box” application of an image-identification.



## TOPODNN

- ▶ Deep neural network using jet constituents' 4-momenta components  $(p_T, \eta, \phi)$  as inputs.
- ▶ Jets are preprocessed by translation in  $(\eta, \phi)$  to center the leading subjet. Then the momenta are transformed:

$$p'_{y,n} = p_{y,n} \cos \theta - p_{z,n} \sin \theta, \quad p'_{z,n} = p_{y,n} \sin \theta - p_{z,n} \cos \theta, \quad \text{with } \theta = \arctan \left( \frac{p_{y,2}}{p_{z,2}} \right) + \frac{\pi}{2}.$$



[Pearkes, J., Fedorko, W., Lister, A., Gay, C.

(Jet Constituents for Deep Neural Network Based Top Quark Tagging, 2017)]



## MULTI-BODY N-SUBJETINESS

- ▶ Dense neural network.
- ▶ Uses a family of  $N$ -subjettiness variables  $\{\tau_i^{(\alpha)}\}$  as input.[\*]

$$\tau_N^{(\beta)} = \frac{1}{p_{T,J}} \sum_{i \in J} p_{T,i} \min \left\{ R_{1i}^\beta, R_{2i}^\beta \dots R_{Ni}^\beta \right\}$$

- ▶ No (unphysical) pre-processing of data necessary.
  - ▶  $\{\tau_i^{(\alpha)}\}$  may need to be calculated, but these are well-understood high-level variables.

\* [Thaler, J., Van Tilburg, K. (Identifying Boosted Objects with N-subjettiness, 2010)]  
[Moore, L., Nordström, K., Varma, S., Fairbairn, M. (Multi-body N-subjettiness, 2018)]





## TREE NIN

- ▶ Uses a “tree neural network” structure.<sup>[\*]</sup>
- ▶ Jets are restructured as binary trees, with each node carried a set of features  $(|\vec{p}|, \eta, \phi, E, E_{\text{frac}}, p_T, \theta)$ .
- ▶ The “Network in Network” structure allows for fully-connected layers in each binary tree node.

\* [Roy, D., Priyadarshini, P., Roy K. (Tree-CNN: A Hierarchical Deep Convolutional Neural Network for Incremental Learning, 2018)]  
[Macaluso, S., Cranmer, K (Tree Network in Network (TreeNiN) for Jet Physics, 2019)]



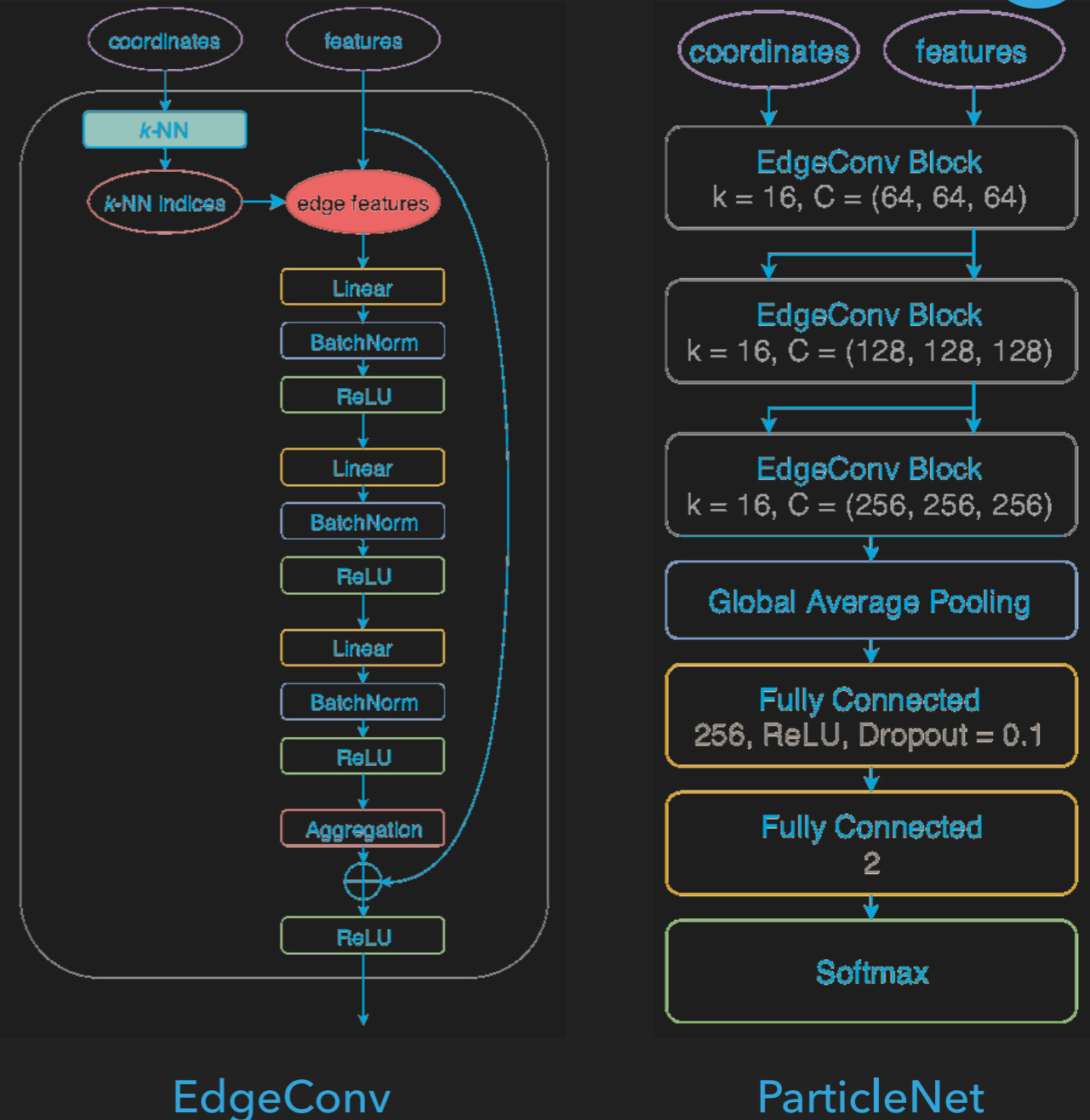
## P-CNN

- ▶ One-dimensional CNN, based on a DNN used by CMS.[\*]  
Similar to ResNet, but uses 1D convolutions.
- ▶ Jets are input as a  $p_T$ -ordered list of the 100 leading constituents.
- ▶ For each jet, P-CNN computes input features  $\left\{ \log p_T^i, \log E^i, \log(p_T^i/p_t^{\text{jet}}), \Delta\eta^i, \Delta\phi^i, \Delta R^i \right\}$ , with angular distances computed with respect to the jet axis.

\*[The CMS Collaboration  
(Boosted jet identification using particle candidates and deep neural networks, 2017)]  
[Kasieczka, G., Plehn, T., et. al. (ML Landscape of top taggers, 2019)]

# PARTICLENET

- ▶ Deep graph CNN.
- ▶ Jets are represented as unordered sets of particles.
- ▶ A graph is constructed for each jet, with particles as vertices. Edges connect each constituent to its  $k$  nearest neighbors in  $(\eta, \phi)$ .
- ▶ Edge convolutions<sup>[\*]</sup> are applied to the graph, with graph distances updated after each convolution.



<sup>[\*]</sup>[Wang, Y., Sun, Y., Liu, Z., Sarma, S., Bronstein, M. M., Solomon, J. M. (Dynamic Graph CNN for Learning on Point Clouds, 2018)]

[Qu, H., Gouskos, L. (ParticleNet, 2019)]



## LORENTZ BOOST NETWORK

- ▶ Jet constituents are input as four-momenta.
  - ▶ The jets are pre-processed by anti- $k_T$  reclustering, with  $\Delta R = 0.2$ , to provide a consistent constituent ordering.
- ▶ An intermediate layer treats half the inputs as constituents and the other half as rest frames, into which the constituents are boosted.
- ▶ An output layer computes a set of features from the boosted constituents,  $(E, m, p_T, \phi, \eta)$ , as well as cosine of the angles between all boosted constituents.



## LORENTZ LAYER

- ▶ Jet constituents are input as four-momenta.
- ▶ A combination layer (CoLa) linearly combines the momenta:

$$k_{\mu,i} \rightarrow \tilde{k}_{\mu,j} = k_{\mu,i} C_{ij}.$$

- ▶ The Lorentz Layer (LoLa) calculates a set of Lorentz invariants:

$$k_j \rightarrow \hat{k}_j = \left\{ m^2(\tilde{k}_j), p_T(\tilde{k}_j), w_{jm}^{(E)} E(\tilde{k}_m), w_{jm}^{(m^2)} m^2(\tilde{k}_m), w_{jm}^{(d)} d_{jm}^2 \right\}.$$



## LATENT DIRICHLET ALLOCATION

- ▶ Jets are input as a series of subsets, which are produced by Cambridge-Aachen clustering, followed by sequential de-clustering  $j_0 \rightarrow j_1 j_2$ .

- ▶ For each subset  $j_0$ , inputs are given as the observables

$$\left\{ m_{j_0}, \frac{m_{j_1}}{m_{j_0}}, \frac{m_{j_2}}{m_{j_1}}, \frac{\min(p_{T,1}^2, p_{T,2}^2)}{m_{j_0}^2} \Delta R_{1,2}^2 \right\}.$$

- ▶ The likelihood of generating jet  $j = \{o_1, o_2, \dots, o_n\}$  is modeled by

$$p(j | \alpha, \beta) = \int_{\omega} p(\omega | \alpha) \prod_{o \in j} \left( \sum_t p(t | \omega) p(o | t, \beta) \right) d\omega.$$

↑
↑
↑

*theme*
*hyper-*
*theme*

*parameter*
*proportion*

- ▶ Model does not account for  $p(o_i | o_{i-1})$ .
- ▶ A neural network is trained to invert the above expression to find  $(\beta, \omega)$ .



## ENERGY FLOW POLYNOMIALS

- ▶ For a jet with  $M$  constituents and a multigraph  $G$  with  $N$  vertices and edges  $(k, l) \in G$ , the corresponding EFP is

$$\text{EFP}_G = \sum_{i_1=1}^M \cdots \sum_{i_N=1}^M z_{i_1} \cdots z_{i_N} \prod_{(k,l) \in G} \theta_{i_k i_l}, \text{ with } z_i \equiv \frac{E_i}{\sum_{j=1}^M E_j}.$$

- ▶ EFP's form a *complete linear basis* for jet substructure, so that any IRC-safe observable can be computed as  $S \simeq \sum_{G \in \mathcal{G}} s_G \text{EFP}_G$ .
- ▶ In practice, one truncates  $\mathcal{G}$  via a max number of edges.
- ▶ EFP's can be used in linear regression or as DNN inputs.

[Komiske, P. T., Metodiev, E. M., Thaler, J.

(Energy flow polynomials: A complete linear basis for jet substructure, 2017)]



## ENERGY/PARTICLE FLOW NETWORKS

- ▶ IRC-safe observables can be approximated as

$$F \left( \sum_{i=1}^M (z_i) \Phi(\hat{p}_i) \right), \text{ where:}$$

- ▶  $z_i = \{E_i, p_{T,i}\}$  for EFN,  $z_i = 1$  for PFN,  $\hat{p}_i = \frac{\vec{p}}{|\vec{p}|}$ .
- ▶  $\Phi : \mathbb{R}^d \rightarrow \mathbb{R}^l$  is a per-particle mapping,  $F : \mathbb{R}^l \rightarrow \mathbb{R}$  is a continuous function.
- ▶ These can be parametrized as neural network layers for complicated observables.



One formulation (from Kondor & Trivedi):

*Let  $\{\rho(g) : U \rightarrow U\}_{g \in G}$  and  $\{\rho'(g) : V \rightarrow V\}_{g \in G}$  be two irreducible representations of a compact group  $G$ .*

*Let  $\phi : U \rightarrow V$  be an equivariant linear mapping for these reps, i.e.*

$$\phi(\rho(g)(u)) = \rho'(g)(\phi(u)) \quad \forall u \in U.$$

*Then, unless  $\phi$  is the zero map,  $\rho$  and  $\rho'$  are equivalent representations.*

$$\text{SO}(3), \text{SU}(2) : \quad B^{-1} : R_{l_1} \otimes R_{l_2} \rightarrow \bigoplus_{l=|l_1-l_2|}^{l_1+l_2} R_l \quad |l_1, m_1\rangle \otimes |l_2, m_2\rangle = \sum_{l,m} B_{l_1, m_1; l_2, m_2}^{l, m} |l, m\rangle$$

$$(2l_1 + 1)(2l_2 + 1) \times (2l + 1)$$

$$\text{SO}(1,3)^+, \text{SL}(2, \mathbb{C}) : \quad T^{(k,n)} \simeq \bigoplus_{l=|k-n|/2}^{(k+n)/2} R_l$$

$$H^{-1} : \quad T^{(k_1, n_1)} \otimes T^{(k_2, n_2)} \rightarrow \bigoplus_{k=|k_1-k_2|}^{k_1+k_2} \bigoplus_{n=|n_1-n_2|}^{n_1+n_2} T^{(k,n)}$$

$$H_{(k,n), l, m}^{(k_1, n_1), l_1, m_1; (k_2, n_2), l_2, m_2} = \sum_{m'_1, m'_2} B_{l, m}^{\frac{k}{2}, m'_1+m'_2; \frac{n}{2}, m-m'_1-m'_2} B_{\frac{k}{2}, m'_1; \frac{k_2}{2}, m'_2}^{\frac{k_1}{2}, m'_1; \frac{k_2}{2}, m'_2} B_{\frac{n}{2}, m-m'_1-m'_2}^{\frac{n_1}{2}, m_1-m'_1; \frac{n_2}{2}, m_2-m'_2} B_{l_1, m_1}^{\frac{k_1}{2}, m'_1; \frac{n_1}{2}, m_1-m'_1} B_{l_2, m_2}^{\frac{k_2}{2}, m'_2; \frac{n_2}{2}, m_2-m'_2}$$

$$(k_1 + 1)(n_1 + 1)(k_2 + 1)(n_2 + 1) \times (k + 1)(n + 1)$$

$$D_{(k,n)}(\alpha, \beta, \gamma) = \left( H_{(k,n)}^{(k,0), (0,n)} \right)^T \cdot \left( D^{k/2}(\alpha, \beta, \gamma) \otimes \overline{D^{n/2}(-\alpha, \beta, -\gamma)} \right) \cdot \left( H_{(k,n)}^{(k,0), (0,n)} \right).$$