

## Article

# Optimization of Total Saponin Extraction from *Polyscias fruticosa* Roots Using the Ultrasonic-Assisted Method and Response Surface Methodology

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**Abstract:** *Polyscias fruticosa* (L.) Harms is commonly used for medicinal purposes in Vietnam. In traditional medicine, the plant is used to cure ischemia, reduce inflammation, and increase cerebral blood circulation. Triterpene saponins are the major chemical constituents found in the roots of *P. fruticosa*. This compound exhibited a broad spectrum of biological effects, including lowering blood sugar, suppressing tumor growth and inflammation. This study focused on optimizing the process of total saponins extraction from *P. fruticosa* roots using the ultrasonic-assisted extraction (UAE) method, ethanol solvent and response surface methodology, and Box–Behnken design model, then evaluating the cytotoxic effect against some cancer cell lines. The results showed that under the optimal conditions, including an extraction temperature of 60 °C and ultrasonic power of 185 W in 65 min, the maximum extraction yield and total saponin content were  $14.51 \pm 1.15\%$  and  $41.24 \pm 1.68$  mg/g, respectively. Moreover, the saponin extract had cytotoxic effects against A549, HepG<sub>2</sub>, PC-3, and Hela. The results of this study confirmed that triterpene saponin is an important chemical component which is present in a high content in *P. fruticosa* roots and gives rise to significant biological activities. In addition, UAE can be used as a highly efficient method for triterpene saponins extraction from *P. fruticosa* roots.

**Keywords:** *Polyscias fruticosa* (L.) Harms; ultrasonic-assisted extraction; response surface methodology; saponin; extraction yield; cytotoxic effects



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## 1. Introduction

*Polyscias fruticosa* L. (Araliaceae) is commonly used for medicinal and therapeutic purposes worldwide. This plant is distributed in many Asian countries, including Vietnam, China, and India [1,2]. Previous studies have reported that the extracts from *P. fruticosa* root and leaves contain a significantly high level of saponins, followed by polyacetylenes, polyphenols, polyscioside, alkaloids, glycosides, amino acids, essential oils, and vitamins B1, B2, B6, and C, thus, giving rise to a broad range of well-recognized pharmacological effects, including hypoglycemic, hepatoprotective, antioxidant, antimicrobial, anti-inflammatory, antidepressant, and anti-stress [3,4]. *P. fruticosa* is favorably used in (1) treatments against neurodegenerative and inflammatory diseases, as well as (2) strengthening the immunity system and (3) improving metabolism, memory, and fertility [5–8]. For instance, one study has shown that the saponin compounds present in the methanol extract

of *P. fruticosa* leaves synergistically exerted inhibitory activity against  $\alpha$ -amylase and  $\alpha$ -glucosidase which are responsible for carbohydrate hydrolysis in diabetes treatment [9]. In addition, *P. fruticosa* leaves extract was reported to prevent in vivo degradation of dopaminergic neurons in larval and adult *Drosophila* models containing ubiquitin carboxyl-terminal hydrolase (dUCH)-knockdown, thus, being regarded as a potential agent for Parkinson's disease treatment [10]. Moreover, the plant extract also exerted inhibitory action against the growth of *Staphylococcus aureus*, *E. coli*, *Bacillus subtilis*, and *Candida albicans*, due to the presence of saponins and polyacetylenes [11]. Despite various biological activities, the anti-cancer activity of *P. fruticosa* extract is still unexploited.

The major constituent of *P. fruticosa* root extracts is known as saponins (approximately 1.65%) [12,13]. Saponins are naturally occurring glycosides with significant structural variability and are widely recognized as a natural anti-cancer agent [14]. Recently, the mechanisms of action of plant-based saponins have been typically known to induce apoptosis and autophagy in various cancer cell types and xenograft tumors via (1) caspases and reactive oxygen species (ROS) generation, (2) upregulate peroxiredoxin (Prdx1 and Prdx2) and metalloproteinases (MMP-2 and MMP-9) proteins involved in redox homeostasis and metastasis, respectively, (3) downregulate numerous signaling pathways, and (4) alter the G2/M cell cycle [15–19]. While studies on saponins and their biological activity are numerous, the extraction of saponins and their effects have remained limitedly known.

The ultrasound-assisted extraction (UAE) method is recognized as a frequently used green technology with several advantages such as increasing extraction efficiency, affordability, reduced use of hazardous chemicals and solvents, minimizing the loss and degradation of the extract as well as the volatile compounds [20,21]. Many naturally occurring anti-cancer agents have been extracted with high efficiency and recovery by UAE, such as mangiferin from *Mangifera indica* L. [22], taxol from *Taxus mairei* [23], flavonoids from *Celastrus hindsii* [24], and tannins and polyphenols from *Pistacia lentiscus* [25]. The extraction of saponins with anti-cancer activity from plants such as *Curcuma angustifolia*, *Psidium guajava*, *Rhus tripartite*, and *Calotropis gigantea*, have still not been reported from *P. fruticosa* [26–29]. Simultaneously, response surface methodology (RSM) is also commonly employed for extraction optimization of plant-based compounds and analysis of the interactions between different factors as well as the effect of each factor on the response values. Box–Behnken design (BBD) is a constituent of RSM which is suitable for three-level experimental designs [30]. The extraction processes optimized by using RSM have been reported to display improved extraction efficiency and yield, while allowing the adjustment of various factors.

Considering the aforementioned gaps, the present work performed saponin extraction from *P. fruticosa* roots using UAE and optimized the extraction conditions (i.e., ultrasonic power, extraction time, and temperature) using RSM. In addition, the cytotoxic effects of the obtained *P. fruticosa* roots extract was investigated against A549, HepG<sub>2</sub>, PC-3, and Hela cells. These findings have shown that UAE is an effective method for saponins extraction from *P. fruticosa* roots, thereby being used as a promising drug for the production of anti-cancer medicines.

## 2. Materials and Methods

### 2.1. Plant Samples

Fully mature and healthy *P. fruticosa* (at 5 years of age) were harvested between November and December 2020 in a local farm located in Dak Lak province, Vietnam (12.7100° N, 108.2378° E), then authenticated using the DNA method (Phusa Biochem Ltd. Company, Can Tho City, Vietnam). Within 24 h after harvesting, soil and dirt was washed off the plants. Afterwards, the *P. fruticosa* roots were separated, cut into pieces of 0.5 cm, and dried at 60 ± 5 °C for 48 h using a Memmert UF110 oven (Germany) until the moisture was below 10%. The dried roots were allowed to cool down in a vacuum desiccator (JEIOTECH VDR-25, Jeiotech Co., Seoul, Korea) and the moisture content was maintained by an Ohaus MB45 moisture analyzer balance (Pine Brook, NJ, USA). Finally,

*P. fruticosa* root was ground into fine powder and stored in vacuum polyethylene bags for subsequent experiments.

## 2.2. Extraction Process

*P. fruticosa* root powder (50 g) was weighed and added to a three-neck flask, then dissolved completely with 70% ethanol at a solvent to material ratio of 20:1. The extraction temperature was adjusted by using an ultrasonic homogenizer (UP200Ht, Germany) and a water bath. The extraction time and power were also adjusted. The extract was separated from the solid phase by filtration using a Buchner funnel. Finally, the concentrated process yielded the extract with a moisture content of less than 15%.

## 2.3. Total Saponin Content Determination

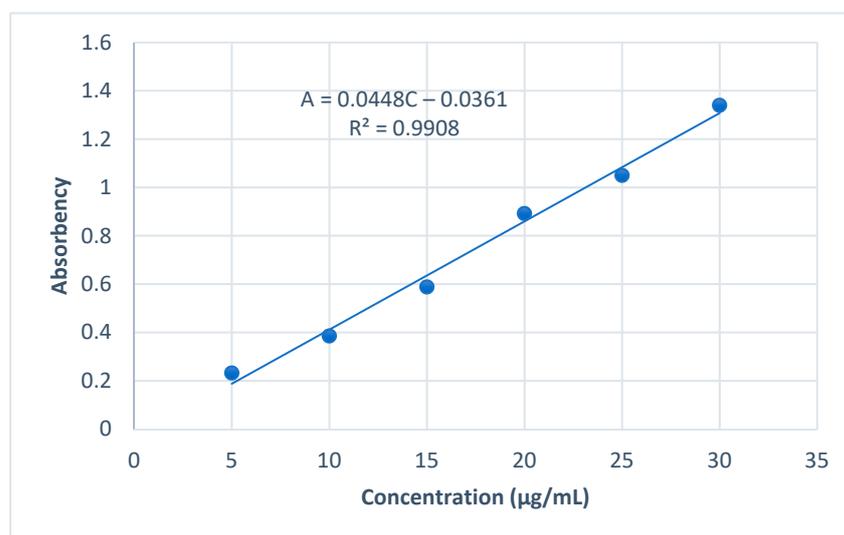
### 2.3.1. The Standard Curve

TSC was determined following the description of Zhaobao et al. (2001), with minor adjustments [31]. The standard curve used in the analysis was prepared as follows. Different volumes of oleanolic acid (0.1 mg/mL) from 0.5 to 3 mL were prepared. A total of 0.6 mL of prepared solvent was mixed with vanillin–acetic acid solution (5% *w/v*) and perchloric acid, followed by 20 min incubation at 70 °C in a water bath. Then, the tubes were cooled down for 2 min. After adding ethyl acetate to obtain 5 mL, the tubes were cooled down at room temperature. Absorbance measurements were performed at 300–800 nm using a double beam UV/Vis spectrophotometer (Shimadzu, UV-1800, Japan). The maximum adsorption was at 550 nm and absorbance was measured using a glass cell (1 cm).

The linear relationship between the concentration of the standard and the absorbance value is demonstrated by Figure 1 and Equation (1) below:

$$A = 0.0448C - 0.0361; R^2 = 0.9908 \quad (1)$$

where  $C$  ( $\mu\text{g/mL}$ ) is TSC and  $A$  is the absorbance at 550 nm.



**Figure 1.** The standard curve of oleanolic acid.

### 2.3.2. Determination of TSC

The extract was diluted with 0.2 mL of ethanol in a test tube and the absorbance measurement was performed. Total saponin content (TSC) was calculated using Equation (2) below:

$$\text{TSC (mg/g)} = C * 10^{-3} * K * \frac{V}{W} = 10^{-3} * \frac{A + 0.0361}{0.0448} * K * \frac{V}{W} \quad (2)$$

where  $V$  is the total volume of extract (mL),  $W$  is the dried sample weight (g),  $A$  is absorbance, and  $K$  is dilution.

#### 2.4. Extraction Yield

The yield of extraction (%) was calculated as follows:

$$Y(\%) = \frac{W_1}{W} \times 100 \quad (3)$$

where  $W_1$  and  $W$  are the weight of the extract and dried sample (g), respectively.

#### 2.5. Experiment Design and Optimization

The optimization of saponin extraction employed the RSM in the Box–Behnken model. The extraction yield and TSC were dependent variables, while ultrasonic power, extraction temperature, and time were independent variables. The variables were applied to the second order polynomial equation, as described by Tran et al. (2021) [32]. Design-Expert 12.0.0 software (Stat-Ease, MN, USA) was used to produce 17 sets of process factors (Tables 1 and 2). To confirm the model's validity, the approximation results were examined using an Analysis of Variance (ANOVA). The best conditions were then estimated using the final model and validated using an actual experiment.

**Table 1.** Independent variables and their corresponding levels.

Independent Variables	Codes	Variable Range ( $\Delta$ )	Levels		
			−1	0	1
$Z_1$ : Extraction temperature ( $^{\circ}\text{C}$ )	A	10	45	55	65
$Z_2$ : Extraction time (min)	B	20	40	60	80
$Z_3$ : Ultrasonic power (W)	C	50	100	150	200

**Table 2.** Experimental design and response values.

Run	A	B	C	$Y_1$ (%)	$Y_2$ (mg/g)
1	−1	−1	0	7.68 ± 0.17	29.90 ± 0.19
2	+1	−1	0	12.79 ± 0.13	34.85 ± 0.22
3	−1	+1	0	10.92 ± 0.18	32.30 ± 0.19
4	+1	+1	0	13.72 ± 0.15	36.68 ± 0.18
5	−1	0	−1	8.83 ± 0.21	36.66 ± 0.23
6	+1	0	−1	12.52 ± 0.22	37.57 ± 0.24
7	−1	0	+1	9.55 ± 0.16	38.85 ± 0.21
8	+1	0	+1	13.88 ± 0.11	41.51 ± 0.27
9	0	−1	−1	9.83 ± 0.12	35.05 ± 0.22
10	0	+1	−1	13.41 ± 0.19	37.39 ± 0.26
11	0	−1	+1	10.95 ± 0.14	39.00 ± 0.28
12	0	+1	+1	14.05 ± 0.15	40.63 ± 0.22
13	0	0	0	13.80 ± 0.22	41.66 ± 0.25
14	0	0	0	13.88 ± 0.14	41.52 ± 0.27
15	0	0	0	14.50 ± 0.17	40.35 ± 0.29
16	0	0	0	14.44 ± 0.22	39.37 ± 0.28
17	0	0	0	14.36 ± 0.18	40.17 ± 0.24

( $Y_1$  is the extraction yield,  $Y_2$  is TSC).

## 2.6. Cytotoxicity Assays

The cytotoxicity of the saponin extract from *P. fruticosa* roots was evaluated on four established cell lines using the MTT assay as described by Wei et al. [33]. Briefly, A549 (CLS 300114), HepG<sub>2</sub> (CLS 300198), PC-3 (CLS 300312), and Hela (CLS 300675) were purchased from Germany and maintained at the Institute of Biotechnology, Vietnam Academy of Science and Technology. The culture medium included Eagle's minimum essential medium (EMEM), Dulbecco's modified Eagle's medium (DMEM), and 10% fetal bovine serum thermoactive activity (FBS) purchased from Sigma-Aldrich (St. Louis, MO, USA). The cell lines were incubated in 95% air and 5% CO<sub>2</sub> in a CB 220 incubator (Thermo Scientific) at 37 °C. The cytotoxicity of *P. fruticosa* roots was evaluated using the MTT [3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide] assay and expressed as an IC<sub>50</sub> value [34].

## 2.7. Statistical Analysis

The RSM model with a Box–Behnken design was constructed by using Design Expert 12.0.0 software (Stat-Ease Inc., Minneapolis, MN, USA). The data analysis employed an analysis of variance (ANOVA) with a 95% statistical significance level by using Statgraphics Centurion 15.0 (Statpoint, Inc., Washington, DC, USA).

## 3. Results and Discussion

### 3.1. Optimization of the Saponin Extraction Process

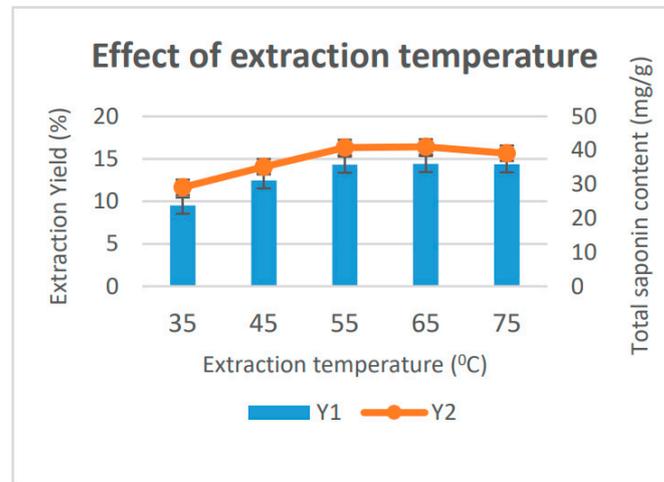
Based on the results from the preliminary study, the ultrasound power, extraction temperature, and time were selected as 150 W, 55 °C, and 60 min, respectively. The results of extraction yield and TSC are illustrated in Figure 2.

Firstly, the extraction temperature was varied from 35 to 75 °C. Results showed that as the temperature was raised from 35 to 55 °C, the extraction yield and TSC were also proportionally increased from 9.51 to 14.32% and from 29.14 to 40.88 mg/g, respectively (Figure 2a). Both extraction yield and TSC were then slightly increased to 14.40% and 41.05 mg/g, respectively, as the temperature continued to increase to 65 °C. Finally, when the extraction temperature reached 75 °C, the yield remained unchanged while the TSC decreased to 39.17 mg/g. A possible explanation could be that the high temperatures are likely to accelerate the diffusion of compounds, leading to increased extraction yield and TSC [35]. However, excessively high extraction temperature (75 °C) would reduce the ability to extract saponins, probably due to the decomposition and denaturation of saponins, thus, leading to reduced TSC. Similar results were reported by Anh et al. [36]. Thus, to ensure extraction yield and manage energy consumption, the extraction temperature at 55 °C was selected as the baseline level (0) for subsequent experiments (Table 1).

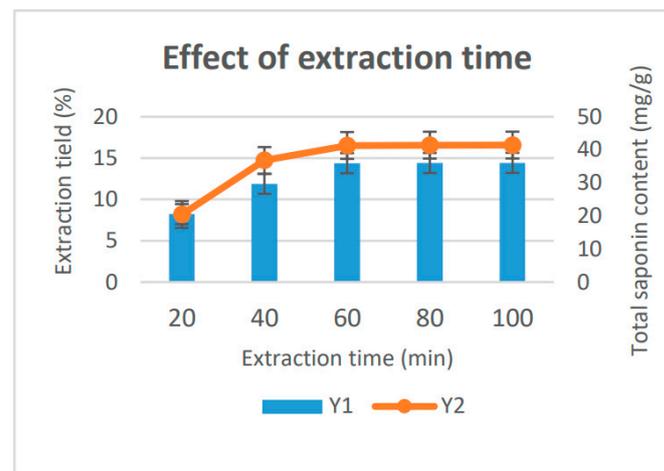
Secondly, the extraction time was varied from 20 to 100 min. Results showed that after 60 min of extraction, both extraction yield and TSC increased significantly from 8.22 to 14.38% and from 20.45 to 41.32 mg/g, respectively (Figure 2b). During the next 20 min of extraction, these values remained relatively unchanged at 14.41% and 41.42 mg/g, respectively. This can be explained by the equilibrium achieved as the solutes initially diffused into the solvent. Prolonging the extraction time not only contributed only a negligible amount to the extraction efficiency but also consumed energy and added to the production expenses [37]. Therefore, the extraction time of 60 min was selected as the baseline level (0) for the subsequent experiments (Table 1).

Lastly, the ultrasonic power was varied from 50 to 250 W (Figure 2c). The results showed that as the ultrasonic power increased from 50 to 150 W, the extraction yield and TSC increased proportionally from 7.16 to 14.42% and from 18.21 to 41.44 mg/g, respectively. Further adding the ultrasonic power to 200 W reduced these values slightly to 41.40 mg/g and 14.38%, respectively. When the output power was at 250 W, both extraction yield and TSC decreased quickly to 13.84% and 37.87 mg/g, which can be explained by the fact that ultrasonic vibrations may tear down the plasma membrane of plants, thus, allowing for easier diffusion. However, excessive ultrasonic power would produce air bubbles which

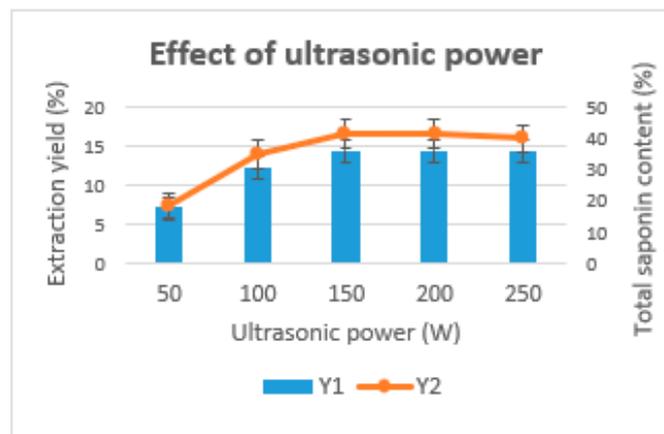
decelerated the extraction process. The surface area of contact between the sample and the solvent was reduced, resulting in decreased performance. A comparable observation was obtained by Yan et al. [38]. Hence, the ultrasonic power of 150 W was used for the further experiments (Table 1).



(a)



(b)



(c)

**Figure 2.** The effects of extraction temperature (a), extraction time (b), and ultrasonic power (c) on extraction yield and TSC content from the root of *P. fruticosa* (L.) Harms.

### 3.2. Statistical Prediction and Analysis Model

The results from the RSM analysis with a Box–Behnken are demonstrated in Tables 3 and 4.

**Table 3.** Regression coefficient of the predicted second-order polynomial models for extraction yield and TSC.

Source	Y <sub>1</sub>		Y <sub>2</sub>	
	F-Value	p-Value	F-Value	p-Value
Model	48.37	<0.0001 *	16.63	0.0006 *
A	179.13	<0.0001 *	18.18	0.0037 *
B	83.02	<0.0001 *	7.35	0.0302 *
C	10.50	0.0142 *	19.37	0.0032 *
AB	7.58	0.0284 *	0.07	0.7970 <sup>NS</sup>
AC	0.58	0.4704 <sup>NS</sup>	0.67	0.4411 <sup>NS</sup>
BC	0.32	0.5891 <sup>NS</sup>	0.11	0.7514 <sup>NS</sup>
A <sup>2</sup>	85.21	<0.0001 *	39.48	0.0004 *
B <sup>2</sup>	25.02	0.0016 *	56.19	0.0001 *
C <sup>2</sup>	29.33	0.0010 *	6.31	0.0403 *
Lack of fit	2.48	0.2008 <sup>NS</sup>	1.53	0.3368 <sup>NS</sup>
R <sup>2</sup>	0.9842		0.9553	
Adj- R <sup>2</sup>	0.9636		0.8981	

\*  $p < 0.05$  = significant; NS = non-significant.

**Table 4.** Empirical second-order polynomial model of extraction yield and TSC content.

Response	Model Equations	R <sup>2</sup>	p-Value
Extraction yield	$Y_1 = 14.20 + 1.99A + 1.36B + 0.48C - 0.58AB - 1.89A^2 - 1.03B^2 - 1.11C^2$ (4)	0.9842	<0.0001
TSC	$Y_2 = 40.61 + 1.61A + 1.02B + 1.66C - 3.27A^2 - 3.91B^2 + 1.31C^2$ (5)	0.9553	0.0006

The experimental design matrix was composed of 17 experiments with 17 groups of outcomes. The model was analyzed using ANOVA and statistical significance was determined as  $p < 0.05$ . According to these results, all models were statistically significant. (Table 3). The model's coefficients of determination ( $R^2$ ) were 0.9842 and 0.9553 of the response variability, confirming the model's competence and accuracy within the restricted limits. The lack of fit of F- and p-values were non-significant ( $p > 0.05$ ). This suggested that the polynomial model was accurate enough for further analysis.

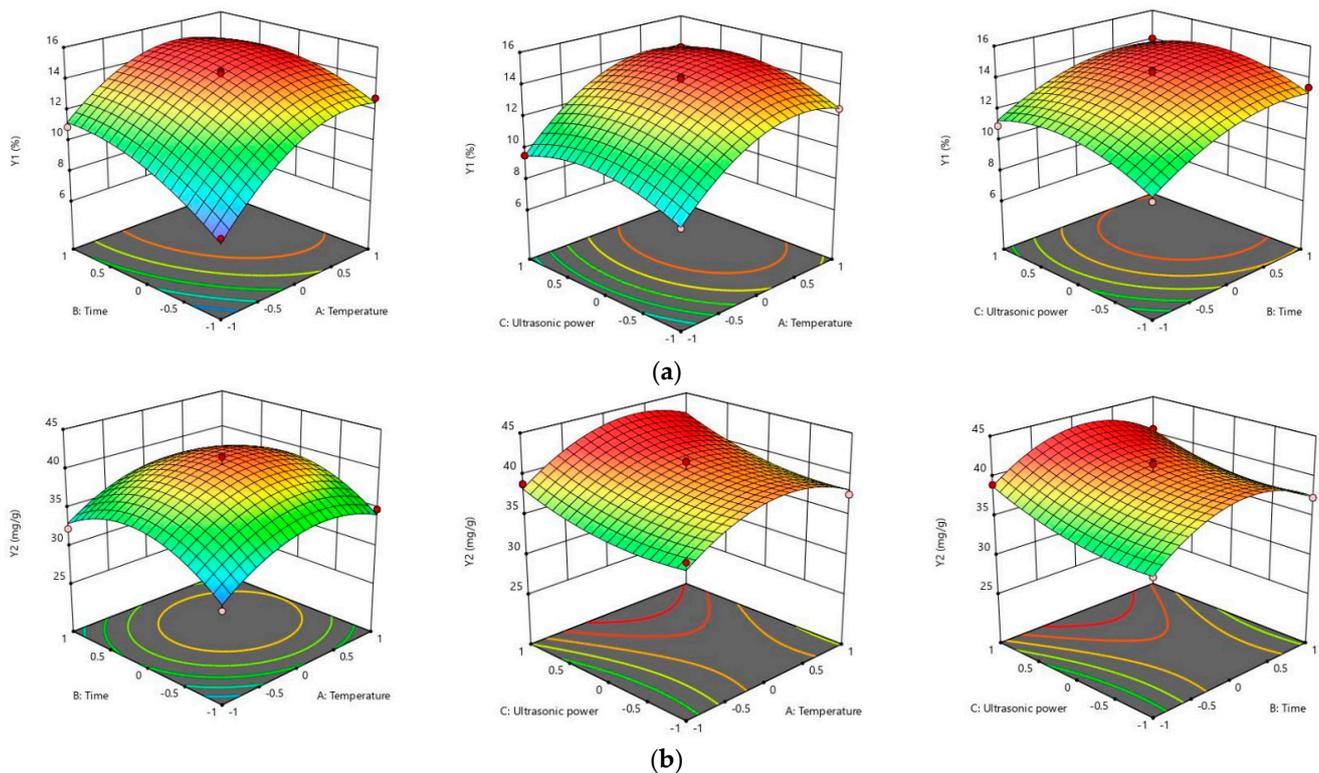
Regression Equations (4) and (5) showed that all A, B, and C technological parameters had a progressive effect on the responding values. Particularly, the order of positive effects changed from C to A ( $C < B < A$ ), indicating the coefficients of C, B, and A in regression Equation (4). However, in regression Equation (5), the order was different from B to A to C ( $B < A < C$ ), corresponding to the coefficients of B, A, and C in regression Equation (5). The squared effects  $A^2$ ,  $B^2$ , and  $C^2$  have little influence on the values of the regression equations.

### 3.3. Response Surfaces Analysis

The 3D response surfaces are demonstrated in Figure 3.

The optimization regions are represented as the dark red zones on the response surfaces. There, the objective function values of  $Y_1$  and  $Y_2$  lay within their regions of maximum values. Figure 3a shows that the response surfaces of A/B and A/C show a larger optimization area than that of B/C, so it can be seen that the influence of the two technology factors A and B is greater than that of C. Furthermore, the effect of the technological factors

on  $Y_1$  was statistically varied. When extraction temperature, time, and ultrasonic power reached below 0, the  $Y_1$  value was also reduced. Conversely, when these technological factors increased from 0 to 1, the  $Y_1$  value increased to the maximum value. This can be explained by the fact that the increasing extraction temperature, time, and ultrasonic power within the experimental range accelerated the mass transfer process. In particular, a high temperature would reduce the solvent viscosity and promote the dissolution [39]. High ultrasonic power would actively break the material cell membrane and provide favorable conditions for dissolving the chemicals upon release into the environment [39]. The prolonged extraction time would ensure complete extraction of compounds from their sources.



**Figure 3.** Response surface of the extraction yield (a) and TSC (b).

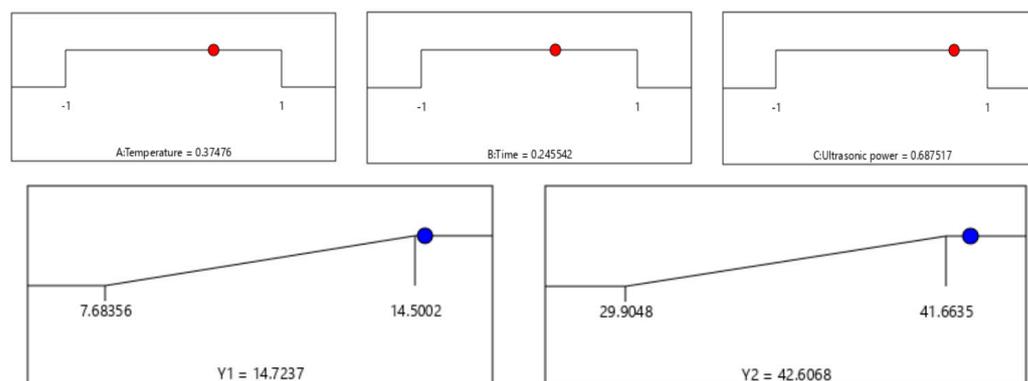
Similarly, Figure 3b shows that the response surfaces of  $A/C$  and  $B/C$  show a larger optimal region than that of  $A/B$ . Therefore, it can be concluded that the influence of factors  $A$  and  $C$  is larger than  $B$ . These results are completely consistent with the degree of influence of technological factors on the regression Equations (4) and (5) [40,41]. Simultaneously, it can be seen that  $Y_2$  reached the maximum values as extraction temperature and time were within the range from 0 to 0.5. As aforementioned, when the temperature and time were below 0, the process of mass transfer occurred, thus, reducing the extraction yield and TSC. However, at an excessively high temperature for a long time ( $>0.5$ ), the saponin content can be degraded and the TSP can be lowered [36]. The ultrasonic power did not affect the  $Y_2$  value significantly, although a maximum  $Y_2$  value was obtained when the ultrasonic power was from 0.5 to 1.

To maximize the extraction yield and TSC, optimization was performed by using second-order regression equations. The significance of the two results was determined as follows: extraction yield ( $Y_1$ ) at level 3 and TSC ( $Y_2$ ) at level 5. The predicted results showed that the extraction yield and TSC attained their maximum at an extraction temperature of 58.8 °C in 64.9 min, and 184.4 W of ultrasonic power (Table 5 and Figure 4). However, the optimal extraction conditions were selected as an extraction temperature of 60 °C and ultrasonic power of 185 W in 65 min. Under these conditions, the predicted

and experimental values of extraction yield were 14.72% and  $14.51 \pm 1.15\%$ ; and were 42.61 mg/g and  $41.24 \pm 1.68$  mg/g for TSC, respectively. As these two values are almost equivalent, this established model has a high degree of compatibility.

**Table 5.** The values of the independent variable and real variables.

Independent Variables			Real Variables		
A	B	C	Extraction Temperature (°C)	Extraction Time (min)	Ultrasonic Power (W)
0.375	0.245	0.687	58.8	64.9	184.4



**Figure 4.** Optimal conditions by the solution of ramps.

### 3.4. Cytotoxic Activity

The saponin extract obtained by the UAE method was evaluated for its inhibitory activity against the proliferation of A549, HepG<sub>2</sub>, PC-3, and Hela. The results are shown in Table 6. A moderate inhibition was observed for all tested cell lines, as indicated by IC<sub>50</sub> values of 18.5, 14.3, 20.4, and 25.2 µg/mL, respectively. The IC<sub>50</sub> values of the positive control (Paclitaxel) were 3.5, 3.8, 3.7, and 4.0 ng/mL, respectively. These results are similar to some previous studies on the genus *Polyscias*, in which the triterpene saponins isolated from this genus showed inhibitory effects on some cancer cell lines such as A2780, HepG<sub>2</sub>, U87MG, and AML12 [42]. These data suggest that the extraction of saponins from the roots of *P. fruticosa* using the UAE method has potential for biological applications.

**Table 6.** The cytotoxic activity of *P. fruticosa* root extract against several cancer cell lines.

Sample	IC <sub>50</sub>			
	A549	HepG <sub>2</sub>	PC-3	Hela
<i>P. fruticosa</i> extract (mg/mL)	18.5	14.3	20.4	25.2
Paclitaxel <sup>a</sup> (ng/mL)	3.5	3.8	3.7	4.0

<sup>a</sup> positive control.

## 4. Conclusions

UAE is one of the commonly used extraction methods for natural-based compounds. In this study, the UAE method and ethanol solvent were employed for saponin extraction from *P. fruticosa* roots. Then, RSM with a Box–Behnken design was used to optimize the process and obtain high TSC. The results from the present study showed that under the optimal conditions (ultrasonic power 185 W, extraction temperature 60 °C in 65 min), the extraction yield and TSC were  $14.51 \pm 1.15$  (%) and  $41.24 \pm 1.68$  mg/g, respectively. The saponin extract exhibited cytotoxic effects against A549, HepG<sub>2</sub>, PC-3, and Hela. These findings suggest that saponins extraction from *P. fruticosa* can be a potential anti-cancer agent for the treatment of lung, liver, prostate, and cervical cancers.

**Author Contributions:** Conceptualization, L.X.D., T.Q.T. and P.T.H.M.; methodology, L.T.K., H.T.T.H. and H.V.C.; software, L.T.K., H.T.T.H. and H.T.B.; validation, H.V.C. and T.T.H.; investigation, H.T.B., P.T.H.M., L.X.D. and T.Q.T.; writing—original draft preparation, L.T.K. and L.X.D.; writing—review and editing, T.T.H. and D.T.N.P.; visualization, T.Q.T. and H.T.B. All authors have read and agreed to the published version of the manuscript.

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